

**UNIVERSITY OF HOUSTON SYSTEM  
ADMINISTRATIVE MEMORANDUM**

**SECTION: General Administration**

**NUMBER: 05.B.01**

**AREA: Athletics**

**SUBJECT: Principles Guiding the Operation of Intercollegiate Athletics**

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1. PURPOSE

- 1.1. This document sets forth the statement of principles promulgated by the Second Century Imperatives on Intercollegiate Athletics (Presidential Leadership-Institutional Accountability).
- 1.2. The Second Century Imperatives on Intercollegiate Athletics was created by the NCAA Presidential Task force for the purpose of proposing reform for the future of Division 1 Intercollegiate Athletics. In June 2005, the Presidential Task Force set forth to address issues in four areas: fiscal responsibility, implications of academic values and standards, presidential leadership of internal and external constituencies, and student -athlete well-being. ([Second Century Imperatives on Intercollegiate Athletics](#), p.30-32).
- 1.3. The Board of Regents subscribes to this statement of principles to guide the operation of intercollegiate athletic programs at all component universities. The policy reference for this document is Board Policy [22.01-Intercollegiate Athletics](#).

2. STATEMENT OF THE SECOND CENTURY IMPERATIVES

- 2.1. The System is committed to a philosophy of firm institutional control of athletics, to the unquestioned academic and financial integrity of our athletics program, and to the accountability of the Athletics Department to the values and goals befitting higher education. In support of that commitment, the Board, officers, faculty and staff of this institution have examined and agreed to the following general principles as a guide to our participation in intercollegiate athletics:
  - 2.1.1. The educational values, practices and mission of this institution determine the standards by which we conduct our intercollegiate athletics program;
  - 2.1.2. The responsibility and authority for the administration of the Athletics Department including all basic policies, personnel and finances are vested in the Chancellor;

- 2.1.3. The welfare, health and safety of student-athletes are primary concerns of athletics administration at the University of Houston System. This institution will provide student-athletes with the opportunity for academic experiences as close as possible to the experiences of their classmates;
- 2.1.4. Every student-athlete, in all sports, will receive equitable and fair treatment;
- 2.1.5. The admission of student-athletes--including junior college transfers--will be based on the ability to show a reasonable promise of success in a course of study leading to an academic degree. That judgment will be made by an Academic Review Panel;
- 2.1.6. Continuing eligibility to participate in intercollegiate athletics will be based on the student-athletes' ability to demonstrate each academic term that they will graduate within five years of their enrollment.
- 2.1.7. Student-athletes, in each sport, will be graduated in at least the same proportion as non-athletes who have spent comparable time as full-time students;
- 2.1.8. All funds raised and spent in connection with intercollegiate athletics programs will be channeled through the institution's general treasury, or other applicable department in accordance with component university policies and procedures, not through independent groups, whether internal or external. The Chancellor may delegate to the Director of Athletics the authority to approve certain expenditures by the Houston Athletic Foundation on behalf of the Athletics Department. The Athletics Department budget will be developed and monitored in accordance with general budgeting procedures on campus;
- 2.1.9. All athletics-related income from non-component university sources for coaches and athletic administrators will be reviewed and approved by the university. In cases where the income involves the component university's functions, facilities or name, contracts will be negotiated with the institution; and
- 2.1.10. Annual academic and fiscal audits of the athletics program will be conducted. Moreover, this institution will seek NCAA certification that the athletics program complies with the principles herein. This institution will promptly correct any deficiencies and will conduct the athletics program in a manner worthy of this distinction.

3. REVIEW AND RESPONSIBILITY

Responsible Party: Chancellor

Review: Every three years on or before June 1

4. APPROVAL

Approved: Carl P. Carlucci  
~~Executive-Interim~~ Vice Chancellor for Administration and Finance

Renu Khator  
 Chancellor

Date: December 1, 2010

**REVISION LOG**

<u>Revision Number</u>	<u>Approval Date</u>	<u>Description of Changes</u>
<u>1</u>	<u>03/09/1994</u>	<u>Initial edition</u>
<u>2</u>	<u>03/17/2003</u>	<u>Applied revised SAM template to meet current documentation standards. Changed Board Policy from 12.01 to 22.01 in Section 1.3. Removed emphasis on male and female, majority and minority in Section 2.1.d. Provided additional information on fund raising and fund spending in Section 2.1.h. Changed responsible party from Senior Vice Chancellor to Chancellor. Changed review period from odd numbered years before August 31<sup>st</sup> to every three years on or before August 31<sup>st</sup>. Added Vice Chancellor for Administration and Finance to Section 4 approval process</u>

<u>Revision Number</u>	<u>Approval Date</u>	<u>Description of Changes</u>
<u>3</u>	<u>12/01/2010</u>	<u>Applied revised SAM template to meet current documentation standards. Changed the Knight Commission to the Presidential Leadership-Institutional Accountability throughout procedure. Added NCAA Presidential Task force to Section 1.2. Changed reference to Second Century Imperatives on Intercollegiate Athletics in Section 1.2. Changed judgement for admission of student-athletes to Academic Review Panel in Section 2.1.5. Removed requirement that student-athletes who do not pass within five years of enrollment will not play from Section 2.1.6. Removed Section 5, Indexing Terms. Changed review period from every three years on or before August 131<sup>st</sup> to every three years on or before June 1<sup>st</sup></u>
<u>4</u>	<u>TBD</u>	<u>Added new Revision Log.</u>