

UNIVERSITY of
HOUSTON

C. T. Bauer College of Business
Office of Undergraduate Business Programs

UC 12733 13F
(Revised)

APPROVED MAR 26 2014
M.M.

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[Signature]

TO: UH Undergraduate Committee
ATTN: Jeanette Morales, Office of Academic Program Management
FROM: Frank Kelley, Associate Dean for Undergraduate Business Programs
SUBJECT: Commercial Banking Track and Certificate in the Finance Major
DATE: February 11, 2014

The Bauer College of Business proposes to the UH Undergraduate Committee a Commercial Banking Track and Certificate in the Finance Major:

Proposed Catalog Requirements:

Commercial Banking Track and Certificate

Finance majors interested in course work related to careers in Commercial Banking may pursue a Commercial Banking Track in Finance* and receive a Commercial Banking certificate of recognition from Bauer College by meeting the following requirements within the Finance Major:

- FINA 4330 Corporate Finance
- FINA 4340 Financial systems
- FINA 4341 Commercial Bank Management
- FINA 4380 Real Estate Finance
- FINA 43xx Credit Analysis (new course)
- FINA 43xx Financial Evaluation of Corporate Reports (new course)
- ACCT 3366 Financial Reporting Frameworks, ACCT 3367 Intermediate Accounting I, ACCT 3368 Intermediate Accounting II, and MARK 3337 Professional Selling (these course may count toward the required six hours of advanced business electives and six hours of advanced electives required of finance majors; if MARK 3337 is used as the business speech requirement, students would need an additional advanced elective)

Note: Students completing the Commercial Banking Certificate must satisfy all the requirements of the Finance major.

Justification

A Commercial Banking Track in the Finance Major allows students a structured option leading to careers in commercial banking, which is an area with good career opportunities. A certificate would recognize the completion of the requirements for this track.

HOUSTON'S CARNEGIE-DESIGNATED TIER ONE PUBLIC RESEARCH UNIVERSITY

**Certification Form for New Certificate Programs
at Universities and Health-Related Institutions
Texas Higher Education Coordinating Board**

Directions: An institution shall use this form to notify the Coordinating Board of a new certificate program that meets all criteria for automatic approval in Coordinating Board Rules, Chapter 5, Subchapter C, Section 5.44: (a) The certificate program has institutional approval, (b) the institution certifies that adequate funds are available to cover the costs of the new certificate program, and (c) the certificate program meets all other criteria in Section 5.48 of Board Rules (relating to Criteria for Certificate Programs at Universities and Health-Related Institutions).

Information: Contact the Division of Academic Affairs and Research at 512/427-6200 for more information.

Administrative Information

1. **Institution:** University of Houston

2. **Program Name:** Show how the program would appear on the Coordinating Board's program inventory (*e.g., Upper-Division Certificate in Management; Graduate Certificate in Human Resources; Undergraduate or Post-Baccalaureate EC-6 Generalist Bilingual Certificate*).
Upper-Division Certificate in Commercial Banking

3. **Proposed CIP Code:** 52.0803.00 16 Banking and Financial Support Services

4. **Number of Semester Credit Hours Required:** 30 SCH

5. **Administrative Unit:** Identify where the certificate program would fit within the organizational structure of the university (*e.g., The Department of Electrical Engineering within the College of Engineering*).
Department of Finance within the C.T. Bauer College of Business

6. **Implementation Date:** Report the first semester and year that students would enter the program.
Fall 2014

7. **Contact Person:** Provide contact information for the person who can answer specific questions about the program.

Name: Dr. Charles Guez

Title: NASDAQ Executive Professor of Finance; Director of Commercial Banking Program

E-mail: cquez@uh.edu; quezclion@aol.com

Phone: (713) 743-4757

Signature Page

I hereby certify that all of the following criteria have been met in accordance with the procedures outlined in Coordinating Board Rules, Chapter 5, Subchapter C, Section 5.44:

- (a) The certificate program has institutional approval.
- (b) The certificate program meets all other criteria in Section 5.48 of this title (relating to Criteria for Certificate Programs at Universities and Health-Related Institutions):
 - 1) Certificate programs for which academic credit is granted at universities and health-related institutions must meet the following criteria:
 - a) They must meet identified workforce needs or provide the student with skills and/or knowledge that shall be useful for their lives or careers.
 - b) They must be consistent with the standards of the Commission on Colleges of the Southern Association of Colleges and Schools.
 - c) They must meet the standards of all relevant state agencies or licensing bodies which have oversight over the certificate program or graduate.
 - d) Adequate financing must be available to cover all new costs to the institution five years after the implementation of the program.
 - 2) The following certificate programs do not require Board approval:
 - a) certificate programs for which no collegiate academic credit is given,
 - b) certificate programs in areas and at levels authorized by the table of programs of the institution with curricula of the following length:
 - i) at the undergraduate level of 20 semester credit hours or less,
 - ii) at the graduate and professional level of 15 semester credit hours or less.
 - 3) The following certificate programs require only Board notification and are automatically approved, subject to review:
 - a) upper-level undergraduate certificates of 21-36 hours in disciplinary areas where the institution already offers an undergraduate degree program.
 - b) graduate-level and professional certificates of 16 - 29 hours in disciplinary areas where the institution already offers a graduate program at the same level as the certificate.

I understand that the Coordinating Board will update the program inventory for the institution if no objections to the proposed certificate program are received during the 30-day public comment period.

Chief Executive Officer

Date