

# UNIVERSITY OF HOUSTON COMPLIANCE MATRIX

This **Compliance Matrix** details the decentralized matrix of UH officers and administrators responsible for specific compliance areas, coordinated and supported by the Office of General Counsel, the Office of Internal Audit, and the Office of Compliance and Ethics.

The **Responsible Person** is the accountable senior member of Administration with overall operational responsibility for the designated compliance area.

The **Functionally Responsible Contact** is the administrator or staff member with day-to-day responsibility for the designated compliance area.

Compliance Area	Accountable Dept/Division/ Office	Responsible Person	Functionally Responsible Contact	Representative Issues	Representative Laws and Guidance
Academic Programs	<a href="#">Office of the Provost</a>	Diane Chase, Provost <a href="mailto:dzchase@uh.edu">dzchase@uh.edu</a>	Jim Briggs, Assoc. Provost, Faculty Development/Affairs <a href="mailto:jbriggs@uh.edu">jbriggs@uh.edu</a>  Bradley Eanes, Interim Assoc. Provost, Finance & Administration <a href="mailto:bteanes@uh.edu">bteanes@uh.edu</a>  College Deans	<ul style="list-style-type: none"> <li>• Academic fee mgmt.</li> <li>• Academic integrity &amp; standards</li> <li>• Budgets/Equity balances</li> <li>• Faculty appointments, reappointments, promotions &amp; tenure</li> <li>• Faculty performance and post-tenure review</li> <li>• Financial accountability</li> <li>• Financial value transparency</li> <li>• Gainful employment</li> <li>• Privacy of student education records</li> </ul>	<ul style="list-style-type: none"> <li>• Title VI, VII, IX of Civil Rights Act</li> <li>• FERPA</li> <li>• Financial Value Transparency/ Gainful employment Regs.</li> <li>• HEOA</li> <li>• Texas Educ. Code</li> <li>• Faculty Handbook</li> </ul> SAM 03.G.01, 06.A.09 MAPP 11.03.01, 12.05.01
Accounting/ Fiscal Management	<a href="#">Finance</a> – Accounts Payable, HUB, Payroll & Tax Department,	Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a>	Usha Mathew, Ass't VP, Finance/Controller <a href="mailto:ujmathew@uh.edu">ujmathew@uh.edu</a>	<ul style="list-style-type: none"> <li>• Accounting</li> <li>• Financial reporting</li> <li>• Internal controls</li> <li>• Payment for expenditures</li> </ul>	<ul style="list-style-type: none"> <li>• General Appropriations Act</li> <li>• Red Flag Rules</li> <li>• Tax Code</li> <li>• Texas Educ. Code</li> </ul>

	Financial Reporting and Accounting Services	Unit Leader: Karin Livingston AVP for Finance <a href="mailto:klivingston@uh.edu">klivingston@uh.edu</a>		<ul style="list-style-type: none"> <li>• Property inventory</li> <li>• Travel</li> </ul>	
Accreditation	<a href="#">Office of the Provost</a>	Diane Chase, Provost <a href="mailto:dzchase@uh.edu">dzchase@uh.edu</a>	Joseph Curtin, Assoc. Provost, Inst. Research <a href="mailto:jcurtin2@uh.edu">jcurtin2@uh.edu</a>	<ul style="list-style-type: none"> <li>• University accreditation (SACS)</li> <li>• UH Quality Enhancement Plan (QEP)</li> </ul>	<ul style="list-style-type: none"> <li>• HEOA, SARA, SACS and Programmatic Accreditations</li> <li>• THECB reporting</li> </ul>
Admissions	<a href="#">Office of the Provost</a>	Diane Chase, Provost <a href="mailto:dzchase@uh.edu">dzchase@uh.edu</a>	Mardell Maxwell, Exec. Dir. of Admissions <a href="mailto:mrm Maxwell@uh.edu">mrm Maxwell@uh.edu</a>	<ul style="list-style-type: none"> <li>• Non-discrimination</li> <li>• Privacy of student education records</li> </ul>	<ul style="list-style-type: none"> <li>• FERPA</li> <li>• Texas Educ. Code</li> </ul>
Advancement	<a href="#">Advancement and Alumni</a>	Eloise Brice, VP Advancement and Alumni <a href="mailto:edbrice@uh.edu">edbrice@uh.edu</a>	Kristin Burch Senior Ass't VP, Advancement & Alumni Engagement <a href="mailto:ktburch@uh.edu">ktburch@uh.edu</a>	<ul style="list-style-type: none"> <li>• Donor instructions</li> <li>• IRS rules on gifts/endowments</li> <li>• State regs on solicitation and confidentiality of donor identity</li> </ul>	<ul style="list-style-type: none"> <li>• CAN-SPAM Act</li> <li>• Gift terms</li> <li>• IRS regulations</li> <li>• Philanthropy Act of 1995</li> <li>• State registration requirements</li> </ul>
Assets, Endowment, Banking	<a href="#">Office of the Treasurer</a>	Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a>  Unit Leader: Karin Livingston AVP for Finance <a href="mailto:klivingston@uh.edu">klivingston@uh.edu</a>	Louis Edwards, Treasurer <a href="mailto:lwedwards2@uh.edu">lwedwards2@uh.edu</a>	<ul style="list-style-type: none"> <li>• Endowment spending</li> <li>• Fiduciary obligations</li> <li>• Public finance</li> </ul>	<ul style="list-style-type: none"> <li>• GLBA</li> <li>• IRS regulations</li> <li>• SEC regulations</li> <li>• State and federal banking regulations</li> <li>• UPMIFA</li> </ul>
Athletics	<a href="#">Athletics</a>	Raymond Bartlett, Interim VP, Intercollegiate Athletics <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a>	Lauren DuBois, Sr. Assoc. Athletic Director <a href="mailto:ledubois@uh.edu">ledubois@uh.edu</a>	<ul style="list-style-type: none"> <li>• Gender equality (reporting and compliance)</li> <li>• Minors, protection of</li> <li>• Name, image, likeness</li> <li>• NCAA compliance</li> <li>• Recruiting</li> <li>• Records; Consent and release forms</li> </ul>	<ul style="list-style-type: none"> <li>• Equity in Athletics Disclosure Act</li> <li>• FERPA</li> <li>• NCAA regulations</li> <li>• Texas Educ. Code</li> <li>• Title IX</li> </ul>

Campus Safety and Security	<a href="#">UHPD</a>	<p>Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a></p> <p>Unit Leader: Ceaser Moore, Chief of Police <a href="mailto:cmoore5@uh.edu">cmoore5@uh.edu</a></p>	<p>Lori Percle, Manager, UHPD Compliance <a href="mailto:lapercle@uh.edu">lapercle@uh.edu</a></p>	<ul style="list-style-type: none"> <li>• Campus carry enforcement</li> <li>• Campus SaVE Act reporting</li> <li>• <a href="#">Clery Act reporting</a></li> <li>• Drone operation</li> <li>• Emergency planning</li> <li>• Law enforcement</li> <li>• VAWA compliance and reporting</li> </ul>	<ul style="list-style-type: none"> <li>• Campus Carry law</li> <li>• Campus SaVE Act</li> <li>• Campus Sexual Assault Victim Bill of Rights</li> <li>• Campus Sex Crimes Prevention Act</li> <li>• Clery Act</li> <li>• Title IX</li> <li>• VAWA</li> </ul>
Compliance and Ethics	<a href="#">Office of Compliance and Ethics</a>	Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>	Sergio V. Leal, Chief Compliance Officer <a href="mailto:svleal@uh.edu">svleal@uh.edu</a>	<ul style="list-style-type: none"> <li>• Compliance issues</li> <li>• Compliance training</li> <li>• Ethical culture</li> </ul>	<ul style="list-style-type: none"> <li>• BOR Code of Ethics</li> <li>• Federal Acquisition Regulation (FAR)</li> <li>• Federal Sentencing Guidelines for Orgs.</li> <li>• Federal Ethics statutes</li> <li>• OMB Uniform Guidance</li> <li>• Texas Gov't Code</li> <li>• Texas Penal Code</li> </ul>
Contract Administration	<a href="#">Office of Contract Administration</a>  <a href="#">Office of General Counsel</a>	Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>	<p><i>Contracts:</i> Mark Yzaguirre, Assoc. VP &amp; Assoc. GC Contracts Administration <a href="mailto:myzaguirre@uh.edu">myzaguirre@uh.edu</a> <a href="mailto:contractadmin@uh.edu">contractadmin@uh.edu</a></p> <p><i>Real Estate &amp; Construction Contracts:</i> <a href="mailto:recc@central.uh.edu">recc@central.uh.edu</a></p>	<ul style="list-style-type: none"> <li>• Contract administration</li> <li>• Compliance with relevant state contracting laws</li> </ul>	<ul style="list-style-type: none"> <li>• Texas Educ. Code</li> <li>• Texas Gov't Code</li> </ul>

Copyright	<a href="#">University Information Technology</a>	<p>Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a></p> <p>Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a></p> <p>Unit Leader: Dennis Fouty, Senior Assoc. VP, CIO IT <a href="mailto:dfouty@uh.edu">dfouty@uh.edu</a></p>	Sven Hahues, Ass't VP, IT Security <a href="mailto:shahues@uh.edu">shahues@uh.edu</a>	<ul style="list-style-type: none"> <li>• Course materials</li> <li>• Fair Use</li> <li>• Licensing</li> <li>• Music downloads</li> <li>• Peer to peer file sharing</li> <li>• University logos</li> </ul>	<ul style="list-style-type: none"> <li>• Bayh-Dole Act</li> <li>• Copyright Act</li> <li>• Copyright Term Extension Act</li> <li>• CREATE Act</li> <li>• Digital Millennium</li> <li>• HEOA</li> <li>• Lanham Act</li> <li>• TEACH Act</li> <li>• Trademark Revision Act</li> <li>• Visual Arts Rights Act</li> </ul>
Discrimination; Affirmative Action (limited to employee recruitment)	<a href="#">Equal Opportunity Services</a>  <a href="#">Office of General Counsel</a>	<p>Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a></p> <p>Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a></p>	<p>Rebecca Lake, Ass't VP, EOS <a href="mailto:rmlake@uh.edu">rmlake@uh.edu</a></p> <p>Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a> (DEI compliance)</p>	<ul style="list-style-type: none"> <li>• AAP federal compliance</li> <li>• Accessibility</li> <li>• Age discrimination</li> <li>• Sex (including gender and pregnancy) discrimination</li> <li>• Hiring/promotion discrimination</li> <li>• Hostile work environment</li> <li>• Reasonable accommodations</li> <li>• Title IX compliance</li> </ul>	<p>Age Discrimination Act; ADA; Exec Order 11246, VEVRAA, Section 503/504; Equal Pay Act; Title VI, VII, IX; Fair Housing Act; Pregnancy Discrim. Act; Pregnancy Workers Fairness Act; PUMP Act; Older Workers Benefit Protection Act; Uniformed Svcs Employment and Reemployment Act; Texas Educ. Code §51.982 (pregnant students)</p>
Emergency Management	<a href="#">Office of Emergency Management</a>	<p>Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a></p> <p>Kelly Boysen, Ass't VP, Campus Safety <a href="mailto:krboysen@uh.edu">krboysen@uh.edu</a></p>	Ginger Walker, Dir. Emergency Mgmt. <a href="mailto:gkwalker@uh.edu">gkwalker@uh.edu</a>	<ul style="list-style-type: none"> <li>• Continuity of operations planning</li> <li>• Emergency operations center</li> <li>• Emergency preparedness planning</li> </ul>	<ul style="list-style-type: none"> <li>• State Office of Risk Management Continuity Policy</li> <li>• Texas Educ. Code (multi-hazard emergency operations plan)</li> </ul>

Environmental Health & Safety	<a href="#">Environmental Health &amp; Safety</a>	<p>Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a></p> <p>Unit Leader: Kelly Boysen, Ass't VP, Campus Safety <a href="mailto:krboysen@uh.edu">krboysen@uh.edu</a></p>	<p>Lisa Benford, Dir. EHS <a href="mailto:lbenford@uh.edu">lbenford@uh.edu</a></p> <p>Chris McDonald, Exec. Dir., Fire &amp; Life Safety/Fire Marshal <a href="mailto:cjmcdonald@uh.edu">cjmcdonald@uh.edu</a></p>	<ul style="list-style-type: none"> <li>• Compliance committees' regulation of hazardous materials (biological, chemical and radiological)</li> <li>• Disposal of hazardous materials</li> <li>• Laboratory Safety program (research and instructional)</li> <li>• Occupational Safety program</li> </ul>	<ul style="list-style-type: none"> <li>• Clean Air Act</li> <li>• Clean Water Act</li> <li>• NIH Office of Science Policies</li> <li>• TDSHS, EPA, RCRA, OSHA, CERCLA</li> <li>• Toxic Substance Control Act</li> </ul>
Export Control	<a href="#">Office of Compliance and Ethics</a>	<p>Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a></p> <p>David Schultz, Ass't VP, Contracts and Grants <a href="mailto:dschultz@uh.edu">dschultz@uh.edu</a></p> <p>Sergio V. Leal, Chief Compliance Officer <a href="mailto:svleal@uh.edu">svleal@uh.edu</a></p>	<p>Angelica Grado-Wright, Export Control Officer <a href="mailto:amgrado-wright@uh.edu">amgrado-wright@uh.edu</a></p>	<ul style="list-style-type: none"> <li>• Deemed export assessments</li> <li>• Drone procurement</li> <li>• Export awareness training</li> <li>• Export Licenses</li> <li>• International shipments</li> <li>• International travel</li> <li>• I-129 ECO Certification</li> <li>• Restricted Party Screening (RPS)</li> <li>• Technology Control Plans</li> </ul>	CFR, EAR, ITAR, OFAC, NISPOM, NSDD-189

Facilities	<a href="#">Facilities/Construction Management</a>	<p>Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a></p> <p>Unit Leader: David Oliver, Senior AVP Facilities/Construction Mgmt. <a href="mailto:jdoliver@uh.edu">jdoliver@uh.edu</a></p>	<p><i>Facilities Business Ops &amp; Compliance:</i> Magda Brady, Ass't VP Facilities Business Ops &amp; Compliance <a href="mailto:malanis6@uh.edu">malanis6@uh.edu</a></p> <p><i>Facilities Services:</i> Michael Oestereicher, Ass't VP, Facilities Services <a href="mailto:moestereicher@uh.edu">moestereicher@uh.edu</a></p> <p><i>Facilities Construction:</i> Nick Merry, Ass't VP Capital Project Mgmt. <a href="mailto:nlmerry@uh.edu">nlmerry@uh.edu</a></p> <p><i>Facilities Planning:</i> Christa Rieck, Ass't VP Facilities Planning <a href="mailto:choward4@uh.edu">choward4@uh.edu</a></p> <p><i>Facilities Partnerships &amp; Engagement:</i> Jennifer Rea, Exec. Dir. Facilities Partnerships <a href="mailto:jdrea2@uh.edu">jdrea2@uh.edu</a></p>	<p>Compliance, contract processing and management, financial control management, business oversight (IT, customer service, communications, inventory)</p> <p>Campus maintenance and operations; minor planned construction and repair projects management</p> <p>Capital level construction management; UH System projects &gt;\$1M Project development, deferred maintenance fund distribution, BOR approval, THECB capital expenditure reporting; facilities inventory certification</p> <p>BOR approval processing for Facilities related agenda items, policy review for Facilities department</p>	<ul style="list-style-type: none"> <li>• Life Safety Code</li> <li>• TCEQ regs</li> <li>• Texas Educ. Code §61</li> <li>• THECB reporting and approval requirements</li> </ul>
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Financial Aid	<a href="#">Office of Scholarships and Financial Aid</a>	<p>Diane Chase, Provost <a href="mailto:dzchase@UH.EDU">dzchase@UH.EDU</a></p> <p>Ben Montecillo, Exec. Dir., Student Fin. Aid <a href="mailto:bmontecillo@uh.edu">bmontecillo@uh.edu</a></p>	<p>Reginald Brazzle, Dir., Student Fin. Aid <a href="mailto:rbrazzle@uh.edu">rbrazzle@uh.edu</a></p> <p>Ben Montecillo, Exec. Dir., Student Fin. Aid <a href="mailto:bmontecillo@uh.edu">bmontecillo@uh.edu</a></p>	<ul style="list-style-type: none"> <li>• Federal (Title IV), State, and Institutional financial aid (eligibility, disbursement, etc.)</li> <li>• Reporting</li> </ul>	<ul style="list-style-type: none"> <li>• False Claims Act</li> <li>• Federal (Title IV), State, and Institutional financial aid (eligibility, disbursement, etc.)</li> <li>• FERPA</li> <li>• Internal Revenue Code (IRC)</li> <li>• Office of Mgmt. and Budget (OMB) and <a href="#">2 Code of Federal Regulations</a> (CFR)</li> <li>• Solomon Amendment</li> <li>• Texas Admin. Code (TAC), Texas Educ. Code (TEC), and THECB program rules</li> <li>• Uniform Prudent Management of Institutional Funds Act (UPMIFA)</li> </ul>
Fire and Life Safety	<a href="#">Fire and Life Safety</a>	<p>Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a></p> <p>Kelly Boysen, Ass't VP, Campus Safety <a href="mailto:krboysen@uh.edu">krboysen@uh.edu</a></p>	<p>Chris McDonald, Exec. Dir., Fire and Life Safety/Fire Marshal <a href="mailto:cjmcdonald@uh.edu">cjmcdonald@uh.edu</a></p>	<ul style="list-style-type: none"> <li>• Inspection of buildings (existing and under construction) for compliance with fire/life safety codes and standards</li> <li>• Inspection of on-campus food establishments</li> <li>• Response to and investigation of fires on UH property</li> </ul>	<ul style="list-style-type: none"> <li>• NFPA 1, Fire Code</li> <li>• NFPA 101, Life Safety Code</li> <li>• State Fire Marshal Rules</li> <li>• Texas Food Establishment Rules</li> </ul>

Governance	<a href="#">Office of General Counsel</a>	Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>	Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>	<ul style="list-style-type: none"> <li>• Conflicts of interest</li> <li>• Ethics</li> <li>• Governance</li> <li>• Regent duties and responsibilities</li> <li>• Transparency</li> </ul>	<ul style="list-style-type: none"> <li>• BOR policies</li> <li>• Open Meetings Act</li> <li>• SACS</li> <li>• Texas Gov't Code</li> <li>• Texas Educ. Code Ch. 111</li> <li>• Title VI</li> </ul>
Grant Administration	<a href="#">Division of Research</a>	Claudia Neuhauser, Interim VP Research <a href="mailto:cmneuhauser@uh.edu">cmneuhauser@uh.edu</a>	David Schultz, Ass't VP, Office of Contracts and Grants <a href="mailto:dschultz@uh.edu">dschultz@uh.edu</a>	<ul style="list-style-type: none"> <li>• Effort Reporting</li> <li>• Financial management and reporting</li> <li>• Pre and Post Award Requirements</li> <li>• Regulatory Review</li> <li>• Research agreements (monetary and non-monetary)</li> <li>• Subaward issuance and monitoring</li> </ul>	<ul style="list-style-type: none"> <li>• Bayh-Dole Act</li> <li>• Byrd Amendment</li> <li>• FARs/DFARs</li> <li>• Higher Educ. Act §117</li> <li>• HEOA; HHS/NIH Regulations</li> <li>• Improper Payment Act</li> <li>• NSPM-33</li> <li>• Office of Mgmt. &amp; Budget (OMB)</li> <li>• Single Audit Act</li> <li>• Texas Educ. Code</li> <li>• Uniform Guidance</li> </ul>
Healthcare	<a href="#">Office of Compliance and Ethics</a>  <a href="#">Office of General Counsel</a>	Sergio V. Leal, Chief Compliance Officer <a href="mailto:svleal@uh.edu">svleal@uh.edu</a>  Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>	Amberley Ross, Healthcare Compliance Officer <a href="mailto:ahross2@uh.edu">ahross2@uh.edu</a>	<ul style="list-style-type: none"> <li>• AAAHC accreditation</li> <li>• Healthcare clinics under SAM 06.A.12 (comprehensive primary care, mental health/behavioral, and specialty clinics)</li> <li>• Healthcare fraud/abuse</li> <li>• Healthcare privacy</li> <li>• Medicare/Medicaid billing</li> </ul>	<ul style="list-style-type: none"> <li>• AAAHC</li> <li>• Affordable Care Act</li> <li>• Anti-Kickback Act</li> <li>• FERPA</li> <li>• HIPAA</li> <li>• HITECH</li> <li>• Texas Medical Records Privacy Act</li> <li>• TX Mental Health Code</li> <li>• Texas Occupations Code</li> <li>• Texas Psychologists' Licensing Act</li> <li>• 21<sup>st</sup> Century Cures Act</li> <li>• SAM 06.A.12</li> </ul>



Human Resources	<a href="#">Human Resources</a>	Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a>  Unit Leader: Gaston Reinoso, AVP HR <a href="mailto:greinoso@uh.edu">greinoso@uh.edu</a>	Sandra Armstrong, Assoc. VP HR <a href="mailto:sgarmstrong@uh.edu">sgarmstrong@uh.edu</a>	<ul style="list-style-type: none"> <li>• Employment benefits</li> <li>• FMLA</li> <li>• Grievances</li> <li>• I-9 verification</li> <li>• Personnel policies</li> <li>• Recruiting/hiring</li> <li>• Termination and early retirement</li> <li>• Training</li> <li>• USERRA; Wage/hour</li> </ul>	<ul style="list-style-type: none"> <li>• Drug-Free Workplace Act</li> <li>• FACTA; FLSA; FMLA; FCRA</li> <li>• Immigration &amp; Nationality Act</li> <li>• Labor Management Relations Act</li> <li>• OWBPA</li> <li>• USERRA</li> </ul>
Immigration (Nonimmigrant Students and J Visa Exchange Visitors)	<a href="#">International Student and Scholar Services Office (ISSSO)</a>	Paul Kittle, VP Student Affairs <a href="mailto:prkittle@uh.edu">prkittle@uh.edu</a>	Stefan Johnsson, Assoc. Director ISSSO <a href="mailto:sjohnsson@uh.edu">sjohnsson@uh.edu</a>	<ul style="list-style-type: none"> <li>• Eligibility for student employment</li> <li>• Foreign scholars and students</li> <li>• Visa status</li> <li>• Visiting artists and lecturers</li> </ul>	<ul style="list-style-type: none"> <li>• Immigration &amp; Nationality Act</li> <li>• SEVIS regs</li> </ul>
Immigration (Faculty and Staff)	<a href="#">Office of General Counsel/Human Resources</a>	Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>	Casmin Hicks, Immigration Specialist <a href="mailto:cghicks@uh.edu">cghicks@uh.edu</a>  Valerie Coleman-Ferguson, Assoc. GC <a href="mailto:viferguson@uh.edu">viferguson@uh.edu</a>	<ul style="list-style-type: none"> <li>• Eligibility for employment</li> <li>• Visa status</li> </ul>	<ul style="list-style-type: none"> <li>• Immigration &amp; Nationality Act</li> </ul>
Intellectual Property & Technology Transfer	<a href="#">Office of Technology Transfer and Innovation</a>	Ramanan Krishnamoorti, VP Energy & Innovation <a href="mailto:ramanan@uh.edu">ramanan@uh.edu</a>	Rick James, Dir., Tech Commercialization <a href="mailto:rfjames@uh.edu">rfjames@uh.edu</a>	<ul style="list-style-type: none"> <li>• Commercialization and patents</li> <li>• Research-related invention disclosures</li> <li>• Technology licensing</li> </ul>	<ul style="list-style-type: none"> <li>• Bayh-Dole Act</li> <li>• Dep't of Commerce regs</li> <li>• NIH guidelines</li> <li>• Tech Transfer Act</li> </ul>

International Activities and Programs	<a href="#">Office of the Provost</a>  <a href="#">Institute for Global Engagement</a>	Diane Chase, Provost <a href="mailto:dzchase@UH.EDU">dzchase@UH.EDU</a>  Unit Leader: Michael Pelletier, Exec. Dir. Institute for Global Engagement <a href="mailto:mppelletier@uh.edu">mppelletier@uh.edu</a>	Andie Beer, Assoc. Dir. Learning Abroad <a href="mailto:ambeer@uh.edu">ambeer@uh.edu</a>  Maggie Mahoney, Dir. Global Engagement <a href="mailto:memahoney@uh.edu">memahoney@uh.edu</a>	<ul style="list-style-type: none"> <li>• Fulbright Scholar Program</li> <li>• Study Abroad</li> <li>• University Agreements and Global Initiatives</li> </ul>	Mutual Education and Cultural Exchange Act
Information Technology/ Privacy & Security	<a href="#">UIT Security</a>  Office of Compliance & Ethics	Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a>  Sergio V. Leal, Chief Privacy Officer <a href="mailto:svleal@uh.edu">svleal@uh.edu</a>  Unit Leader: Dennis Fouty, Senior Assoc. VP, CIO, IT <a href="mailto:dfouty@uh.edu">dfouty@uh.edu</a>	Sven Hahues, Ass't VP, IT Security <a href="mailto:shahues@uh.edu">shahues@uh.edu</a>	<ul style="list-style-type: none"> <li>• Classified/Controlled Unclassified Information</li> <li>• Identity theft</li> <li>• Privacy</li> <li>• Virus and hacking prevention</li> </ul>	<ul style="list-style-type: none"> <li>• Digital Millennium Copyright Act</li> <li>• FACTA; FISMA; FERPA</li> <li>• GDPR</li> <li>• Gramm Leach Bliley Act</li> <li>• HIPAA</li> <li>• NIST 800-171</li> <li>• No Electronic Theft Act</li> <li>• Texas Privacy Act</li> </ul>
Marketing and Communications	<a href="#">Marketing and Communications</a>	Lisa Holdeman, VP <a href="mailto:lholdeman@uh.edu">lholdeman@uh.edu</a>	Patrick Mulligan, Exec. Dir., Brand Mgmt., Licensing & Trademarks <a href="mailto:pjmulligan@uh.edu">pjmulligan@uh.edu</a>	<ul style="list-style-type: none"> <li>• Intellectual Property Use</li> <li>• Trademarks management</li> </ul>	U.S. Patent and Trademark Office (USPTO)
Purchasing/ Procurement	<a href="#">Purchasing</a>	Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a>  Unit Leader: Karin Livingston, AVP for Finance <a href="mailto:klivingston@uh.edu">klivingston@uh.edu</a>	Robert Adkins, Director, Purchasing <a href="mailto:rsadkins@uh.edu">rsadkins@uh.edu</a>	<ul style="list-style-type: none"> <li>• Procurement process</li> <li>• Purchasing rules</li> </ul>	<ul style="list-style-type: none"> <li>• Anti-Kickback Act</li> <li>• Contracts Dispute Act</li> <li>• E-Sign Act</li> <li>• FAR</li> <li>• OMB Uniform Guidance</li> <li>• Texas Educ. Code</li> <li>• Texas Gov't Code BOR 55.01</li> <li>• SAM 02.A.29 on Ethics</li> </ul>

Records	<a href="#">Office of General Counsel</a>	Dona Cornell, VP, General Counsel <a href="mailto:dhcornell@uh.edu">dhcornell@uh.edu</a>		<ul style="list-style-type: none"> <li>• Discovery requests</li> <li>• Litigation holds</li> <li>• Public Information Act requests</li> <li>• Record retention</li> </ul>	<ul style="list-style-type: none"> <li>• FERPA</li> <li>• HIPAA</li> <li>• <a href="#">Texas Public Information Act</a></li> </ul>
Records, Academic	<a href="#">Office of the University Registrar</a>	Diane Chase, Provost <a href="mailto:dzchase@UH.EDU">dzchase@UH.EDU</a>	Scott Sawyer, University Registrar <a href="mailto:ssawyer@uh.edu">ssawyer@uh.edu</a>	Privacy of student education records	FERPA
Research Animal Care and Use	<a href="#">Division of Research</a>	Claudia Neuhauser, Interim VP Research <a href="mailto:cmneuhauser@uh.edu">cmneuhauser@uh.edu</a>	David Brammer, Exec. Dir., Animal Care Operations <a href="mailto:dwbrammer@uh.edu">dwbrammer@uh.edu</a>	The care and use of animals in biomedical research	<ul style="list-style-type: none"> <li>• AAALAC International</li> <li>• Animal Welfare Act</li> <li>• NIH Office of Laboratory Animal Welfare</li> <li>• U.S. Dep't of Agriculture</li> </ul>
Research Ethics/ Compliance	<a href="#">Research Integrity &amp; Oversight</a>	Claudia Neuhauser, Interim VP Research <a href="mailto:cmneuhauser@uh.edu">cmneuhauser@uh.edu</a>	Jamie Peno, Exec. Dir., Research Compliance <a href="mailto:jepeno@uh.edu">jepeno@uh.edu</a>	<ul style="list-style-type: none"> <li>• Animal Research</li> <li>• CITI training</li> <li>• Human Subjects</li> <li>• Research Conflict of Interest</li> <li>• Research Misconduct</li> <li>• Responsible Conduct of Research</li> </ul>	<ul style="list-style-type: none"> <li>• AAALAC accreditation</li> <li>• DHHS; FDA</li> <li>• FERPA</li> <li>• HIPAA</li> <li>• NIH/NSF/PHS</li> <li>• ORI</li> <li>• USDA</li> </ul>

Research Security	<a href="#">Division of Research</a>	Claudia Neuhauser, Interim VP Research <a href="mailto:cmneuhauser@uh.edu">cmneuhauser@uh.edu</a>	Claudia Neuhauser, Research Security Officer <a href="mailto:cmneuhauser@uh.edu">cmneuhauser@uh.edu</a>  Angelica Grado-Wright, Export Control Officer <a href="mailto:amgrado-wright@uh.edu">amgrado-wright@uh.edu</a>	<ul style="list-style-type: none"> <li>• Classified/Controlled Unclassified Information</li> <li>• Export control</li> <li>• Foreign influence reporting</li> <li>• Malign Foreign Talent Recruitment Programs</li> <li>• NSPM-33</li> <li>• International research collaborations, business development initiatives, and inter-institutional agreements</li> <li>• Visa Candidate Affiliation</li> <li>• Restricted Party Screening</li> </ul>	<ul style="list-style-type: none"> <li>• BOR 42.03</li> <li>• CHIPs &amp; Science Act</li> <li>• NSPM-33</li> <li>• Texas Edu. Code §51.956</li> </ul>
Residential Life and Housing	<a href="#">Student Housing &amp; Residential Life</a>	Paul Kittle, VP Student Affairs <a href="mailto:prkittle@uh.edu">prkittle@uh.edu</a>	Don Yackley, AVP, SHRL <a href="mailto:dyackley@uh.edu">dyackley@uh.edu</a>	Student housing reasonable accommodations	<ul style="list-style-type: none"> <li>• ADA</li> <li>• State and local regs</li> </ul>
Risk Management	<a href="#">Risk Management</a>	Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a>  Unit Leader: Louis Edwards, Treasurer <a href="mailto:lwedwards2@uh.edu">lwedwards2@uh.edu</a>	Wayne Brown, Dir., Risk Management <a href="mailto:wwbrown@uh.edu">wwbrown@uh.edu</a>	<ul style="list-style-type: none"> <li>• Property and liability insurance</li> <li>• Workers compensation</li> </ul>	<ul style="list-style-type: none"> <li>• Insurance claims</li> <li>• Texas Workers' Compensation Act</li> </ul>
Sexual Violence and Sexual Harassment	<a href="#">Equal Opportunity Services</a>	Raymond Bartlett, Sr. VP, Administration and Finance <a href="mailto:rbartlett@uh.edu">rbartlett@uh.edu</a>	Rebecca Lake, Ass't VP, EOS <a href="mailto:rmlake@uh.edu">rmlake@uh.edu</a>	Sexual misconduct	<ul style="list-style-type: none"> <li>• Campus Sex Crimes Prevention Act</li> <li>• FERPA; Texas Educ. Code</li> <li>• Title IX; VAWA</li> </ul>

Student Affairs	<a href="#">Dean of Students</a>	Paul Kittle, VP Student Affairs <a href="mailto:prkittle@uh.edu">prkittle@uh.edu</a>	Donell Young, Dean of Students <a href="mailto:dlyoung3@uh.edu">dlyoung3@uh.edu</a>	<ul style="list-style-type: none"> <li>• ADA/504</li> <li>• Minors, Protection of</li> <li>• Residential life</li> <li>• Student discipline</li> <li>• Student organizations</li> </ul>	<ul style="list-style-type: none"> <li>• ADA/Rehabilitation Act of 1973</li> <li>• FERPA; HEOA</li> <li>• Texas Educ. Code</li> <li>• Title IX</li> </ul>
Support Organizations	<a href="#">Advancement and Alumni</a>	Eloise Brice, VP Advancement and Alumni <a href="mailto:edbrice@uh.edu">edbrice@uh.edu</a>	Ben Spofford, Chief of Staff to Advancement and Alumni <a href="mailto:bjspofford@uh.edu">bjspofford@uh.edu</a>	<ul style="list-style-type: none"> <li>• Expenses</li> <li>• Gift receipting</li> <li>• Internal controls</li> <li>• MOUs</li> </ul>	<a href="#">SAM 08.A.02</a> – Private Support Organizations and Foundations
Trademark Management	<a href="#">Marketing and Communications</a>	Lisa Holdeman, VP, Marketing and Communications <a href="mailto:lholdeman@uh.edu">lholdeman@uh.edu</a>	Patrick Mulligan, Exec. Dir., Brand Mgmt., Licensing & Trademark <a href="mailto:pjmulligan@uh.edu">pjmulligan@uh.edu</a>  Mark Yzaguirre, Assoc. VC/VP Legal Affairs & Assoc. GC <a href="mailto:myzaguirre@uh.edu">myzaguirre@uh.edu</a>	The legal protection, management, and enforcement of the rights in the Marks of the Board, the System and the System universities	<a href="#">SAM 01.D.03 – Trademark Management</a>