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Integrating Theoretical Components: A Graphical Model for Graduate Students and Researchers

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Key words:	domain, integration, philosophy of science, theory, ecology
Abstract:	Recent work identifies principles representing the broadest conceptual domains within ecology, which encompasses extremely broad spatial and temporal scales. These broad scales present challenges to maintaining conceptual and theoretical clarity yet theory development requires clear understanding of theoretical components. Although researchers often test hypotheses using existing theories, many endeavors could benefit from a formal structure for examining the theoretical underpinnings of their research. We present a graphical model to organize the theoretical components underlying any particular research effort. We provide an example and suggest that scientists use this framework to present their research in a robust theoretical context. The benefits of this approach include: accurately defining theoretical components used in research; identifying novel questions while avoiding redundancy; and explicitly linking constituent theories, thereby facilitating integration. Many scientists aspire to impact existing theory, and using this

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	approach provides a succinct framework to identify how an individual's research affects ecological theory.

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3 1 **Integrating Theoretical Components: a Graphical Model for Graduate Students and**
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5 2 **Researchers**
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43 18 **Coauthor contributions:** All coauthors wrote sections and edited drafts of this manuscript.
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45 19 David Choate and Chelse Prather took the lead on a significant portion of the writing and editing
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47 20 for this paper. Matt Michel worked on the section describing the model, tables and figures.
48
49

50 21 Ashley Baldrige and Matthew Barnes drafted the introduction. David Hoekman wrote the
51
52 22 section on the model example. Christopher Patrick and Janine Rüegg drafted the discussion.
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54

55 23 Todd Crowl gave overall guidance on this project and edited several drafts. This manuscript is
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3 24 the product of a graduate seminar on Philosophy of Ecology at the University of Notre Dame.
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7
8 26 **Abstract**
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10 27 Recent work identifies principles representing the broadest conceptual domains within ecology,
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12 28 which encompasses extremely broad spatial and temporal scales. These broad scales present
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14 29 challenges to maintaining conceptual and theoretical clarity yet theory development requires
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16 30 clear understanding of theoretical components. Although researchers often test hypotheses using
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18 31 existing theories, many endeavors could benefit from a formal structure for examining the
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20 32 theoretical underpinnings of their research. We present a graphical model to organize the
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22 33 theoretical components underlying any particular research effort. We provide an example and
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24 34 suggest that scientists use this framework to present their research in a robust theoretical context.
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26 35 The benefits of this approach include: accurately defining theoretical components used in
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28 36 research; identifying novel questions while avoiding redundancy; and explicitly linking
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30 37 constituent theories, thereby facilitating integration. Many scientists aspire to impact existing
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32 38 theory, and using this approach provides a succinct framework to identify how an individual's
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34 39 research affects ecological theory.
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40 40 *Keywords: domain, ecology, integration, philosophy of science, theory*
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46 42 **Introduction**
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50 44 Recently, scientists have suggested sets of fundamental principles representing the widest
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52 45 domains of biology in general (Scheiner 2010), and ecology specifically (Dodds 2009, Pickett et
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54 46 al 2007, Scheiner and Willig 2011). These domains, especially those encompassed by ecology,
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3 47 span numerous levels of biological organization (e.g., microbes to mammoths) over extremely
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5 48 broad spatial (individuals to ecosystems) and temporal (minutes to millenia) scales. As a result
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7 49 of the wide spatial and temporal time scales that ecology attempts to explain, conceptual
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9 50 confusion and the lack of clear, formalized theories represent a challenge to ecological science
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11 51 (Shrader-Frchette and McCoy 1993, Pickett et al 2007, Reiners and Lockwood 2010). Context-
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13 52 dependent results suggest to some that there are no general rules in ecology (Peters 1991,
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15 53 Shrader-Frchette and McCoy 1993), but a fundamental need exists for ecologists to better
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17 54 understand the broadest conceptual and theoretical frameworks that underpin their research to
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19 55 address some of the conceptual challenges these broad domains present.
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24 56 Belovsky et al. (2004) identified several conceptual issues and provided ten suggestions to
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26 57 improve the advancement of ecological science. Several common themes emerged from their
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28 58 assumptions including the need for: clearer definitions of concepts (but see Hodges 2008), better
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30 59 links between theoretical and empirical research, and more comparative studies over space and
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32 60 time. While Belovsky et al. (2004) provided a compelling list of suggestions and other scientists
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34 61 and philosophers have been critical of progress in ecology (Peters 1991, Allen and Hoekstra
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36 62 1992, Shrader-Frchette and McCoy 1993, Cuddington and Beisner 2005), they provided no
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38 63 formal framework for individuals to facilitate ecological progress.
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43 64 Many ecologists informally delineate the theory underlying their research hypotheses while
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45 65 designing their research. This delineation of theoretical components is important to both
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47 66 experiments that directly manipulate factors to test hypotheses, and observational work that
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49 67 examines patterns of response variables over various levels of important factors (e.g. gradients of
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51 68 latitude, moisture, biotic variation, etc). Increasingly, scientists seek to determine the relative
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53 69 importance of different processes on already established patterns, for example, the relative role
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3 70 of predators and nutrients on a prey species' population dynamics (see example below). In cases
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5 71 like these, where multiple factors are known to be important to a process, there might not be a
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8 72 specific a priori prediction (e.g., predation is 10x more important than nutrients), but rather a
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10 73 desire to test general hypotheses concerning the relative importance of multiple drivers (e.g.,
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12 74 under what conditions are different drivers dominant). Graduate course work and committee
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14 75 members often lead students through the process of developing studies to effectively test
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16 76 hypotheses.

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20 77 In a text widely used for experimental design courses, Ford (2000) extensively describes
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22 78 how students should use a scientific method for developing ecological hypotheses, and the role
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24 79 of existing theory in experimental design. However, the experimental design approach to
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26 80 ecology often emphasizes logistical realities over the theoretical foundation of research
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28 81 hypotheses. Consequently, many papers, presentations, and proposals seem to lack a solid
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30 82 understanding of basic ecological theories, a trend noticed by us as well as other authors
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32 83 (Cuddington and Beisner 2005). Cuddington and Beisner (2005) further attribute this
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34 84 phenomenon to the technological movement towards electronic papers leading to a loss of older
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36 85 literature, especially with younger researchers. Failure to understand prior work can lead to
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38 86 wasted research effort and resources, resulting from "reinvention of the wheel" and failure to
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40 87 make appropriate linkages to relevant sub-disciplines of ecology.

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46 88 In their book, *Ecological Understanding: the Nature of Theory and the Theory of Nature*,
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48 89 Pickett et al. (2007) emphasized the need for the development of formal theory to encourage
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50 90 integration within and among disciplines. During discussions of this book in a graduate seminar,
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52 91 we found the crucial first step of defining the theoretical components and boundaries of our own
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54 92 research to be quite challenging (see also Prather et al. 2009, Crowl 2009). Like many other
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3 93 students and faculty struggling through this process, we had many “eureka” moments of
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6 94 realization when we understood how our individual research fit into theory developed by other
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8 95 sub-disciplines of ecology or even entirely different disciplines. In this paper, we describe a
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10 96 graphical model that can be used to help identify and organize the various facets of theory
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12 97 underlying research endeavors. Explicitly mapping out these ideas greatly facilitates attaining
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14 98 these “eureka” moments. Therefore, our objective is to provide a method for mapping out
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16 99 conceptual pathways based on clear definitions for theoretical components.
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20 100 To accomplish this objective, we first define the theoretical components of a graphical
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22 101 model, and describe how to use this model for integration. As a specific example, we utilize a
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24 102 study on the importance of top-down versus bottom-up effects in food webs (Hoekman 2010) to
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26 103 demonstrate how one proceeds through our modeling process. Even though we present this
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28 104 process in a step-wise fashion, scientists arrive at hypotheses through a variety of paths (Bump
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30 105 2007). We suggest the goal should be to identify the theoretical drivers of our research questions
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32 106 prior to conducting research (Prather et al. 2009, Crowl 2009). We describe the benefits and
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34 107 pitfalls of using this approach, and suggest that using this type of approach may facilitate
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36 108 integration among different sub-disciplines of ecology and biology, where integration is the
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38 109 linkage of different theory components across different domains.
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46 111 **Constructing a model of theory**

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50 113 **Theory components.** A theory, most broadly, is a system of conceptual constructs that organizes
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52 114 and explains the observable phenomena in a stated domain of interest (Pickett et al. 2007).
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55 115 Traditional definitions of the components of theory do not lend themselves readily for use in
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3 116 ecology. Consequently, ecologists have modified these terms for better application to ecological
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5 117 theory. For clarification, we present a description of classic philosophy of science definitions for
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8 118 terms used in this text (Flew 1984, Lacey 1996), along with the basis for our usage (Pickett et al.
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10 119 2007) and modified definitions (Ford 2000) used by ecologists (Table 1).

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13 120 Hypotheses originate from the identification and assembly of conceptual constructs and
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15 121 empirical facts pertinent to the proposed research question. Conceptual constructs are
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17 122 abstractions of reality and include: (1) assumptions – speculations about the construction of the
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20 123 study system, the interaction of its components and the manifestation of possible dynamics, (2)
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22 124 concepts – specified ideas dependent on the identification of the assumptions (Table 1), and (3)
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24 125 definitions – establishment of important parameters such as limits and units. Both concepts and
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27 126 definitions arise from the assumptions of a theory. Similarly, the objects, interactions and states
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29 127 that are the subject of theory must be clearly defined. As an example (from Pickett et al. 2007),
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31 128 competition is a complex concept that may be defined as the process of concurrent use of a
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34 129 limiting resource by more than one organism. This process-based definition determines how an
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36 130 ecologist would measure the effect of competition: a difference in amount or availability of a
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39 131 resource used by both organisms when together or separate. Alternatively, defining competition
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41 132 as the negative effect of an interaction suggests measuring densities of the organisms when
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44 133 together or separate. Therefore, careful specification of the conceptual constructs is essential -
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46 134 many debates about the importance of ecological factors have occurred when researchers did not
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48 135 clearly define what was tested (e.g., McIntosh 1985, Belovsky et al. 2004).

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50 136 Empirical facts are confirmable observations (compare with “axiom” from Ford 2000,
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52 137 Table 1), while the condensation of a large body of facts comprises confirmed generalizations.
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55 138 Because facts are given meaning by the theory to which they contribute, it is useful to distinguish
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3 139 between accepted facts that precede a theory, and the new observations under investigation
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5 140 (Pickett et al. 2007).

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8 141 Laws and models (Table 1) are then derived from conceptual constructs and empirical
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10 142 facts, but are more sophisticated than these elements because they contain an internal logical
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12 143 structure and are capable of generating predictions. Laws are quantitative or verbal statements
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14 144 that specify an empirically supported correlation or causal relationship between two or more
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16 145 constructs or facts. The important feature of a law is generality throughout a specified domain
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18 146 (Table 1; for extensive discussion, see Kuhn 1962, Pickett et al. 2007, Dodds 2009, and
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20 147 references therein). Models are constructs that explicitly distill assumptions, concepts,
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22 148 confirmed generalizations, and laws into a simplified representation of reality (Table 1). Several
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24 149 types of scientific models are recognized by ecologists including verbal, quantitative, graphical,
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26 150 or physical (Levins 1966, Haefner 1996, Williams et al. 2001). Even though many models can
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28 151 be idealized in a quantitative form, each represents a trade-off between generality, precision, and
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30 152 realism (Levins 1966).

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36 153 Although laws and models may generate hypotheses, the abstractions they represent must
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38 154 be translated for application in the specific field or laboratory setting (e.g., species and study site)
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40 155 in which the hypotheses are to be tested. Translation requires the researcher to address issues
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42 156 such as how abstract concepts will be measured or how change will be detected. In this way,
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44 157 translation bridges the theoretical aspects of a research question with the realities of empirical
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46 158 testing. Proper translation of laws and models results in predictive statements—hypotheses—
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48 159 that are tested within the spatio-temporal domain specified by the researcher (*see below*).

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53 160 Biological, statistical, and theoretical results from the experimental tests of the hypotheses can
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55 161 then refine the set of concepts, facts, laws and models used to initially formulate the hypotheses,
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3 162 denoted by bold back arrows in Figure 1, as well as refine theory components of other
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5 163 domains—what we define as integration and inference (i.e., dashed output arrows).
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8 164 Before discussing how integration occurs, we must first define the domain terms
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10 165 represented in Figure 1. We propose that the domain (*sensu* Pickett et al. 2007, Table 1)
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12 166 encompasses the space, time, phenomena, and level(s) of biological organization addressed by a
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14 167 theory. For example, Scheiner and Willig (2011) define the broadest domain of ecology as the
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16 168 ‘spatial and temporal patterns of the distribution and abundance of organisms, including causes
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18 169 and consequences.’ While the concept of domain is related to modeling scale, the domain
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20 170 includes numerous sub-domains from which concepts, facts, laws or models are either distilled
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22 171 from other domains or the present domain. The ways these sub-domains are linked reveal a key
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24 172 benefit of our proposed graphical model: researchers can explore relationships among (sub-)
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26 173 domains, enabling theory integration and identification of gaps in our understanding (i.e., poorly
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28 174 understood linkages). Several constituent theories have been proposed such as population
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30 175 dynamic theory and a metabolic theory of ecology (Pickett et al. 2007, Scheiner and Willig 2011,
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32 176 Dodds 2009), and these may provide an initial standardized basis for ecological domains.
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34 177 Results of a given study may then lead to expansion or refinement of a theory domain, and could
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36 178 even suggest the need for development of new theoretical domains.
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39 179 In Figure 1, the spatio-temporal extent in which the hypothesis formulated by the
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41 180 researcher is tested forms the sub-domain A. For simplicity, we suggest that the researcher
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43 181 limits the scope of the sub-domain A by the extent of the hypothesis. A criterion for inclusion of
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45 182 an element within a domain is whether the understanding of the item can be directly refuted or
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47 183 changed by a hypothesis test within the domain. Therefore, concepts, facts, laws or models that
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49 184 aid in the formulation of the hypotheses but reside outside the scope of the domain in which the
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3 185 hypothesis is tested (e.g., sub-domain A) are assigned to other sub-domains (e.g., B and C).

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5 186 Similarly, it is this sub-domain A where the spatio-temporal extent of a study is defined, for

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8 187 example, as the areal extent of a specific study site (e.g., a wildlife refuge) during a given time

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11 188 period (e.g., summer months over 3 years) when one or more interacting focal species are present

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13 189 (e.g., specific ungulate prey and their predators). In this example, models from predation theory

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15 190 (e.g., Lotka-Volterra predation) would reside in a different sub-domain (B or C), as would results

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17 191 from prior testing of food web theory.

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22 193 **Integration.** Integration occurs when theory components are linked across different domains

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24 194 through their distillation as sub-domains. While formulating a hypothesis, four avenues of

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27 195 integration (Integration Routes – IR, dashed lines in Figure 1) among domains are possible:

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29 196 • IR 1: Results from the test of a hypothesis in sub-domain B refine the concepts and facts
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31 197 of sub-domain A. Example: Results from studies examining the non-consumptive effects
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33 198 of predators on the habitat choice of prey populations combined with results from studies
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35 199 that demonstrate differences in susceptibility to disease of the prey based on habitat
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37 200 choice can be combined to form a new hypothesis on the effects of predation risk on
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39 201 disease transmission of the host-prey population.

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43 202 • IR 2: Results from the test of a hypothesis in sub-domain B refine the laws and models
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45 203 of sub-domain A. Example: A researcher has developed a model of primary productivity
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47 204 for streams. Recent research from terrestrial systems suggests that the different
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49 205 decomposition rates of leaves have a strong impact on nutrient cycling. The researcher
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51 206 then derives a new model that incorporates variables for fast and slow decomposing
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53 207 species of allochthonous inputs.
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3 208 • IR 3: The researcher derives a new law or model for sub-domain A from concepts and
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6 209 facts established in sub-domain C. Example: A researcher interested in predicting
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8 210 optimal foraging strategies under the risk of predation may draw from economic concepts
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10 211 (e.g., cost-benefit, diminishing returns) to model the foraging decision process as a trade-
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12 212 off between foraging and predator avoidance. The distinction between IR 2 and IR 3 is
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14 213 how different components (i.e., results from hypothesis testing vs. established concepts
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16 214 and facts) from other sub-domains influence the laws and models of sub-domain A.
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18 215 • IR 4: The researcher translates a law or model from sub-domain C into a testable
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20 216 hypothesis in sub-domain A. Example: Within sub-domain A, a researcher has
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22 217 developed a species-specific model for trading off feeding time in particular
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24 218 environments with minimizing heat stress in those environments. In order to translate
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26 219 that model into testable hypotheses, the researcher utilizes thermodynamics models of
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28 220 heat exchange between organisms and their environments to make specific testable
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30 221 predictions related to heat stress while foraging.
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36 222 We do not include integration routes between identical components (e.g., laws and models of
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38 223 sub-domain C to laws and models in sub-domain A), under the assumption that these are already
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40 224 part of the current theory domain (sub-domain A). Furthermore, the conceptual constructs must
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42 225 be precisely defined as described above; otherwise the integration routes may collapse into IR 1.
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44 226 After a study is completed, results can not only refine components within the specified sub-
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46 227 domain (bold arrows in Figure 1), but also link theory components with other domains through
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48 228 various output integration routes (dashed arrows in Figure 1).
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55 230 **An example application of the model: the relative importance of top-down vs. bottom-up**
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231 **effects in food webs**

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233 Here we illustrate the use of this graphical model with an example from our own research which
234 focused on factors that modulate the relative importance of top-down (i.e., predator effects on
235 prey) and bottom-up (i.e., resource availability effects on consumers) control in food webs
236 (Hoekman 2010). Specifically, this study began by asking how temperature affects the relative
237 importance of predators and resources in regulating population density of species. We then
238 focused on the species residing in pitcher plants, *Sarracenia purpurea*. The domain for this
239 research (Hoekman 2010) included numerous sub-domains (food web theory, population
240 regulation theory, metabolic theory) from which conceptual constructs, facts, laws or models
241 were distilled (Fig. 2). These components aided in the formulation of the hypotheses but reside
242 outside the scope of the sub-domain in which the hypotheses were tested – the pitcher plant sub-
243 domain. This nomenclature does not imply that the sub-domain is only spatially defined, but
244 refers to all of the theoretical structural and functional components of pitcher plant communities.

245 The *concepts* of top-down and bottom-up control have been well developed by prior
246 researchers (Carpenter et al. 1985, Hunter and Price 1992), including predation, competition,
247 decomposition, and nutrient cycling (DeAngelis 1992). These concepts include assumptions
248 about the interactions between species (e.g., the species are proximate) and were defined for the
249 pitcher plant sub-domain. For example, top-down and bottom-up effects were measured via
250 changes in species density (protozoa) or biovolume (bacteria). The *empirical facts* pertinent to
251 this research describe the model system employed, the pitcher plant inquiline community. This
252 community consists of mosquito larvae that consume protists and bacteria which consume
253 detritus (reviewed in Miller and Kneitel 2005). The spatio-temporal extent was defined by these

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3 254 small aquatic communities within pitcher plants, which grow in bogs and other wetlands
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6 255 throughout Eastern North America. Although the seminal work on top-down and bottom-up
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8 256 effects demonstrated that they can occur in lakes (Carpenter et al. 1985), the *sub-domain A* of
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10 257 inference of this particular study is limited to small aquatic habitats (pitcher plants, Fig. 2). This
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12 258 study may also expand the domain of population regulation theory by top-down and bottom-up
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15 259 control.

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17 260 *Laws and models* were derived from the conceptual and empirical components described
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19 261 above and from integration with other sub-domains (food web theory, Fig. 2). Food web models
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21 262 provided a framework for the interactions of community members. Using the concepts and
22
23 263 empirical facts above we *derived* a food web model for a pitcher plant inquiline community
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25 264 incorporating both nutrient inputs through decomposition (DeAngelis 1992) and predation
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27 265 (Hairston et al. 1960, Schmitz 1992). Furthermore, drawing from observed relationships
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29 266 between temperature and metabolism (i.e., empirical facts) which form the basis of metabolic
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31 267 scaling laws (metabolic theory, Fig. 2), we made predictions about the effects of temperature on
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33 268 the members of this community.

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38 269 These laws and models were *translated* into specific *hypotheses*. Applying the metabolic
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40 270 scaling laws to the derived inquiline food web, we hypothesized that an increase in temperature
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42 271 would accelerate top-down processes via predator metabolism resulting in increased feeding
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44 272 rates. An increase in temperature was also hypothesized to accelerate bottom-up processes by
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46 273 promoting greater bacterial productivity resulting in faster decomposition rates. Furthermore,
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48 274 the relative strength of top-down versus bottom-up effects would depend on temperature.
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50 275 Translating these hypotheses further, top-down influences were defined as the number of
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53 276 predators (mosquito larvae) whereas bottom-up influences were manipulated by the density of
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3 277 resources (ant carcasses). These hypotheses were *tested* with factorial experiments manipulating
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6 278 top-down and bottom-up effects across a range of temperatures (Hoekman 2010).
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10 280 **Illustrating Integration Routes.** When first developing this study, the questions were
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12 281 approached from the perspective of a graduate student of community ecology – working from
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14 282 within the domain of food web theory. While the importance of climate on ecological
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16 283 interactions was appreciated, formally mapping out linkages between food web and metabolic
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18 284 theory provided a key insight into understanding this system. A central component of metabolic
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20 285 theory consists of scaling laws derived from empirical facts collected from a wide range of
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22 286 spatial and temporal sub-domains (e.g., Brown et al. 2004). By translating these scaling laws to
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24 287 the pitcher plant inquiline community we linked metabolic theory to our theory through IR 4
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26 288 (Fig. 1). For example, our hypotheses about how invertebrates in pitcher plants would respond
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28 289 to experimental warming were based on a general relationship, or law, that is itself based on
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30 290 multiple published accounts of metabolic responses to temperature. Results from testing
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32 291 hypotheses about top-down and bottom-up effects in different communities provide the
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34 292 conceptual constructs for our model through IR 1. Food web models developed from results of
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36 293 hypothesis testing in different systems were modified for application to pitcher plant
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38 294 communities via IR 2. Results from this study may be applied to other aquatic or detritus-based
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40 295 systems via output integration routes (e.g., IR 1, 2 for another sub-domain). For example, the
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42 296 strength of top-down effects was found to increase with temperature in this sub-domain. This
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44 297 result provides an empirical fact (i.e., the measured response in this study), as well as a
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46 298 conceptual construct (i.e., increased temperature increases top-down control) in a specific
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48 299 community (i.e., pitcher plant inquilines). Drawing from predation theory, one could derive a
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3 300 temperature-dependent functional response model and relate this to inquiline food webs to
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6 301 develop new hypotheses. Consequently, a key insight gained through this process was to link
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8 302 components of metabolic theory through predation concepts to food-web models to generate
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10 303 novel hypotheses, thereby broadening conceptual horizons for the researcher.
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15 305 **Applications of the model by researchers**

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20 307 Outlining a new research project is a daunting task regardless of prior experience. The model
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22 308 presented here is intended to help structure the design process by sharpening the focus of
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24 309 research based on existing theory. This approach will enable scientists to form meaningful and
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27 310 novel questions, and facilitate the integration of their work with other research. We suggest that
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29 311 scientists from all levels of experience should use this framework to graphically organize and
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31 312 present their research in an explicit theoretical context, and we promote including these graphical
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34 313 models as publication supplements to facilitate integration. The way researchers approach the
35
36 314 model will vary depending on where they are in their career, and below we discuss how this
37
38 315 model may be applied at different points in a research career.
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43 317 **Beginning graduate students.** After a general question is identified (for suggestions on
44
45 318 generating novel questions see May 1999, Belovsky et al. 2004, Bump 2007) the student needs to
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47
48 319 return to the literature and address several questions to develop a model. 1) Has this question
49
50 320 been answered before in another domain? 2) What are the conceptual constructs I am employing
51
52 321 in asking this question? 3) What are the confirmed generalizations I am employing and how do
53
54 322 they influence my sub-domain? 4) What existing laws and models are incorporated in my
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3 323 question and how are they derived from my conceptual constructs and empirical facts? At this
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6 324 point the student should use the answers to amend the rest of the model, fill in the 'laws' and
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8 325 'models' boxes and then use translation modes to formulate a testable hypothesis.
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12 327 **Experienced graduate students.** Students who have already tested their hypotheses or are
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15 328 midway through their research can develop the model retrospectively. After developing their
16
17 329 model, students should proceed with a thoughtful analysis to answer the following questions. 1)
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19 330 Are there logically weak points in the project? 2) Does the research address any missing
20
21 331 components in the theory? 3) How can the results be generalized to return to the original theory?
22
23 332 4) What components of the theory are changed by these generalizations? These questions should
24
25 333 enable the student to visually identify how their research project fits into current theory. This
26
27 334 process may identify additional questions to complement the existing project or help to identify
28
29 335 weak areas. Rather than be discouraged, identification of conceptually weak points in the
30
31 336 research can be viewed as an opportunity to directly address potential gaps before reviewers
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33 337 point them out. Students may use the model as a guide to integrate the different dissertation
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35 338 research chapters with each other as well as relate their work back to the larger body of literature.
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39 340 **Established researchers.** Implicitly, scientists with greater experience have the advantage of
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41 341 intimately knowing the conceptual constructs related to their favorite study
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43 342 organism/system/process. From experience, they may typically employ well-established laws
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45 343 and models derived from these conceptual constructs. Still, it can be advantageous for
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47 344 established researchers to adopt this graphical model for the visualization of where their current
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49 345 work fits into existing theories, and envision linkages with other sub-domains. By identifying
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3 346 the broader impacts of their research, investigators can strengthen the theoretical foundations for
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6 347 new research, for example, in grant proposals.
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10 349 **Benefits and pitfalls of using this approach**
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15 351 To evaluate both benefits and pitfalls of using this graphical approach, we employ a point-
16
17 352 counterpoint analysis. Many benefits described overlap with Belovsky et al.'s (2004)
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19 353 suggestions to advance ecological science (marked with an “*”).
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21

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23
24
25 355 • **Benefit:** Mapping out explicit linkages of theoretical components will help to correct a
26
27 356 perceived lack of appreciation of classic literature* and provide better links between
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29 357 empirical, theoretical*, and natural history*. In completing this graphical model,
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31 358 researchers will trace the theoretical roots of their hypotheses to the older papers that
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33 359 newer researchers often ignore when using digital databases, including the more purely
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35 360 theoretical papers that can easily be ignored by those interested in empirical research and
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37 361 vice versa.
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41 362 • **Pitfall:** Devoting time to catching up on the classics could detract time from reading
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43 363 current literature. However, this better understanding of classic research and how it
44
45 364 relates to what younger researchers perceive as novel ideas could also allow for
46
47 365 avoidance of bandwagons*, i.e. research topics that go in and out of vogue without much
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49 366 resolution. It would also prevent the unintended repetition of previously conducted
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51 367 studies (Belovsky et al.2004).
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3 369 • **Benefit:** The process of defining concepts while mapping out a theoretical framework
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6 370 can help identify multiple meanings or ambiguities of concepts in the literature. A
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8 371 thorough review could lead to a publication that clarifies the issue(s) or helps to resolve
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10 372 disputes in the literature.
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13 373 • **Pitfall:** Devoting time to clarifying a conceptual issue could detract time from primary
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15 374 research, and be considered a less useful endeavor for students or junior researchers.
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20 376 • **Benefit:** Understanding how multiple studies across different spatial and temporal scales
21
22 377 expand the domain of a theory could increase replication over time and space in
23
24 378 ecological studies*. This can lead to greater rigor if researchers follow similar methods
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26 379 as the studies they are attempting to replicate.
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29 380 ▪ **Pitfall:** In replicating published studies, researchers run the risk of having their studies
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31 381 rejected by high impact journals – a consideration that is often so important in acquiring
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33 382 jobs and in the tenure process. This phenomenon could also lead effectively to scientists
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35 383 being caught in what Kuhn (1962) called periods of “normal science” as opposed to
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37 384 research that leads to scientific revolutions, i.e. ever more specific refinement of existing
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39 385 theory rather than pushing the limits to explore new terrain beyond established theoretical
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41 386 grounds. In attempting to make ecology a more rigorous scientific discipline with better
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43 387 resolved concepts, surely greater replication of experiments which expand the domains of
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45 388 existing ecological theories is necessary.
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53 390 ▪ **Benefit:** This graphical process may open new avenues for integration across disciplines,
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55 391 and show instances where new theoretical domains could be developed. Seasoned
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3 392 researchers may reassess the sub-domains of their work, and identify linkages between
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5 393 their individual projects and potentially new avenues for investigation. Indeed, some of
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8 394 the most new and exciting theoretical developments in ecology have come from using
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10 395 theoretical constructs from very different domains (e.g. the use of economic models for
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12 foraging theory).
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15 397 ■ **Pitfall:** Using different methods from very different disciplines can be time consuming
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17 398 and frustrating especially in the early stages, and use many research resources.
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21 22 400 **Theory integration and scientific progress**

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27 402 The graphical model we present here provides a way for researchers to articulate the theoretical
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29 403 components of their research. For example, carefully describing conceptual constructs including
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31 404 concepts, definitions and assumptions will facilitate communication among researchers (Grimm
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33 405 and Wissel 1997, Belovsky et al. 2004, but see also Hodges 2008). By the time the results are
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35 406 analyzed, an explicitly defined sub-domain provides the inference space for generalization, and
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37 407 the framework may directly point towards the next question(s) worthy of investigation. Making
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39 408 components explicit eases tests for logical consistency and agreement with results. Testing weak
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41 409 links (e.g. testing an assumption based on weak support from data) efficiently enables rapid
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43 410 progress of maturing theories by evaluating the pillars on which they are built. While this
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45 411 approach may enhance progress within a sub-discipline, the graphical model also highlights the
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47 412 links with components of other theory domains (e.g., ecological sub-disciplines) through the
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49 413 integration routes of its components. Understanding these integration routes may enhance the
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51 414 dialogue between empiricists and theorists, by elucidating the interaction between data and
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3 415 models (e.g., Kareiva 1989, Belovsky et al. 2004). Integration follows by investigating the
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6 416 linkages either among or within sub-disciplines. For example, studies employing the same
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8 417 theory but applying it in a different geographical region or system (e.g., moving from lakes to
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10 418 forests), may reinforce (if the data do not support) or expand (if consistent) the current domain of
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12 419 the theory. An explicit framework will also enable direct comparisons across studies in order to
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14 420 refine component models or laws. Rather than contributing to a debate about whether ecology
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16 421 has general laws as in other sciences (Lawton 1999, Turchin 2001, Colyvan and Ginzburg 2003,
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18 422 O’Hara 2005), reproducing studies in light of this graphical model provides a means for
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20 423 evaluating the invariance (Lange 2005) of the laws (as model components) across new spatio-
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22 424 temporal domains (i.e., against “counterfactual perturbations,” Lange 2005). To the extent that
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24 425 the laws would be invariant under repeated tests of their translated predictions, they would gain
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26 426 support, aiding in maturation of existing theories while enhancing scientific progress – especially
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28 427 in ecology.
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46 434 earlier drafts.
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527 **Table 1.** Comparison of definitions of common philosophy terms. Words in italics are
 528 synonyms used by the source for the given term.

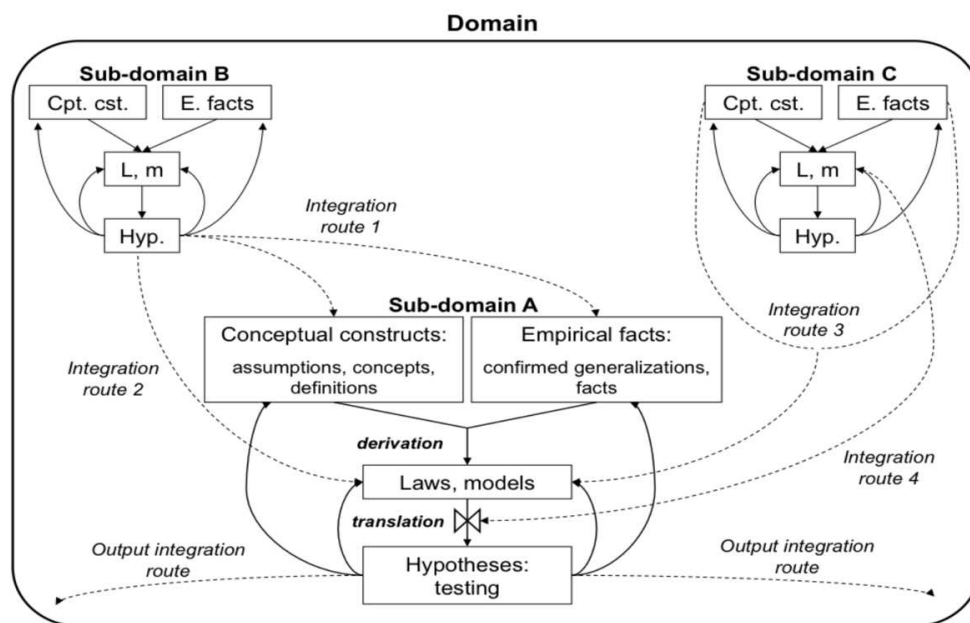
Term	Classical philosophy	Ford (2000)	Pickett <i>et al.</i> (2007)
Concept	Sentences, statements, propositions, beliefs, theories, and doctrines that can be said to be true or false ^a	Any object or idea to which we can give a name and define, and so enable things to be understood in a particular way	Labeled regularities in phenomena
Confirmed generalization	<i>Universal generalization</i> - An inference from a premise true of any arbitrarily chosen individual, to a conclusion about every individual ^a	<i>Over-arching axiom</i> - A fundamental proposition, used as an axiom, which states broad assumptions of the theory and cannot be challenged directly by single investigations	The condensation of a large body of facts
Domain	Set of the individuals which enter into the argument of a function ^a	The limitations to the importance and application of concepts	The scope in space, time, and phenomena addressed by a theory ^b
Empirical fact	Usually, that which corresponds to a statement or makes it true ^c	<i>Axiom</i> - A proposition assumed to be true on the basis of previous research, observations, or information, and is used in defining the working part of the theory that is the foundation for the research	Confirmable record of phenomena
Hypothesis	A prediction based on theory; an educated guess derived from various assumptions, which can be tested using a range of methods, but is most often associated with experimental procedure ^d	A statement that will be tested by investigation	Testable statements derived from or representing various components of theory
Integration	<i>Synthesis</i> - combination of separate parts into a unified whole ^a	<i>Scientific inference</i> - conducted for a specified question using the following procedures and standards:	The explicit joining of two or more areas of understanding into a single conceptual-

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3			1. A synthesis must be made	empirical structure ^b
4			of new results with existing	
5			theory	
6				
7			2. The synthesis provides a	
8			scientific explanation of why	
9			something exists or occurs	
10				
11			3. Scientific explanation must	
12			be coherent, explaining new	
13			and previously obtained	
14			information	
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17	Law	A rule or generalization	An empirical relationship	Conditional
18		which describes	between two or more	statement of
19		specified natural	concepts, established by	relationship or
20		phenomena within the	measurement, and asserted to	causation,
21		limits of experimental	be universally true	statements of
22		observation ^d		identity, or
23				statements of
24				process that hold
25				within a universe of
26				discourse
27				
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29	Model	An interpretation of the	Describes important features	Conceptual
30		set of axioms of that	in a simplified representation	construct that
31		system ^e	of a system and can be used	represents or
32			to illustrate how interactions	simplifies the
33			may take place to produce	structure and
34			particular outcomes	interactions in the
35				material world
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37				
38	Translation	N/A	<i>Data statement</i> - 1) defines	Procedures and
39	mode		the scientific procedure to be	concepts needed to
40			used in investigating a	move from the
41			postulate, 2) specifies the	abstractions of a
42			measurements to be made for	theory to the
43			each concept of a postulate	specifics of
44			and 3) specifies the	application or test or
45			requirements of the data for	vice versa
46			any statistical test to be	
47			applied	
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529 Notes: ^a – Mautner (1997); ^b – Definition differs from the one used in paper, see text; ^c – Lacey
 530 (1996); ^d – Walker (1998); ^e – Flew (1984).
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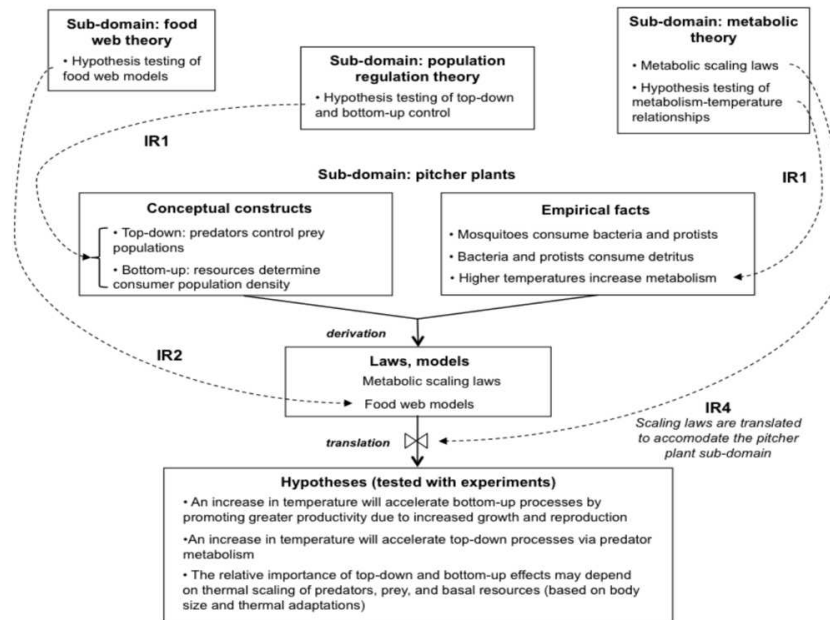
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3 Figure 1. Graphical model of theory integration. Abbreviations represent: Cpt. Cst =conceptual
4 constructs, E. facts = empirical facts, L, m = laws, models, Hyp. = hypotheses: testing. The focal
5 study is represented in Sub-domain A with all theory components interacting as explained in the
6 text (theory component interactions = solid lines), and draws components from both Sub-
7 domains B and C through four different Integration routes (integration routes = dashed lines).
8 Results from the study conducted in Sub-domain A can inform studies within the same sub-
9 domain for refinement or studies within other sub-domains (output integration routes).
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22 Figure 2. An example of the graphical model filled out to summarize a paper based on a chapter
23 in a dissertation (Hoekman 2010). While this figure only encompasses a single sub-domain, its
24 components are derived from multiple domains.
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