

**University of Houston System
Office of the Treasurer**

Annual Investment Information Disclosure

Fiscal Year 2012

Investment questions should be directed to:
Raymond S. Bartlett, Treasurer
Phone: 713-743-8781
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P.O. Box 988
Houston, Texas 77001-0988

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Office of the Treasurer
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In accordance with Chapter 2.3 of the State Auditor's Office Report No. 02-058 and as further clarified in procedures adopted in 2012, the following information is submitted:

1. Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution contracts with an investment consultant, Cambridge Associates in Dallas, Texas, to provide investment consulting advice, but they do not have discretionary authority. Yes, the institution contracts with outside investment managers and they have authority to make investment decisions within the investment policy guidelines without obtaining prior approval. The investment managers with whom the institution has funds invested as of fiscal year end 2012 are as follows:

Separately Managed Accounts:

Columbia Management
One Financial Center
Boston, MA 02111-2621

Institutional Capital LLC
225 West Wacker Drive, Suite 2400
Chicago, IL 60606

JPMorgan Asset Management
522 Fifth Avenue
New York, NY 10036

Luther King Capital Management
301 Commerce Street, Suite 1600
Ft. Worth, TX 76102

Mayo Investment Advisers LLC
30 Rowes Wharf, Suite 500
Boston, MA 02110

Smith Graham & Company
6900 Chase Tower
600 Travis Street
Houston, TX 77002-3007

State Street Global Advisors
One Lincoln Street, SFC/33
Boston, MA 02111-2900

Commingled Funds:

Aberdeen Funds
3435 Stelzer Road, Suite 1000
Columbus, OH 43219

Advent International Corporation
75 State Street
Boston, MA 02109

Anchorage Capital Partners Offshore, Ltd.
c/o International Fund Services (Ireland) Limited
78 Sir John Rogerson's Quay
Dublin 2
Ireland

Asian Century Quest Offshore Fund, Ltd.
c/o Morgan Stanley Fund Services (Bermuda) Ltd.
P.O. Box 15000
The Observatory
7-11 Sir John Rogerson's Quay
Dublin 2
Ireland

Berwind Property Group
770 Township Line Road, Suite 150
Yardley, PA 19067

Coatue Offshore Fund, Ltd.
c/o Morgan Stanley Fund Services (Ireland) Limited
P.O. Box 15000
The Observatory
7-11 Sir John Rogerson's Quay
Dublin 2
Ireland

Columbia Funds
c/o Columbia Management Services, Inc.
P.O. Box 8081
Boston, MA 02266-8081

Commonfund Capital
15 Old Danbury Road
Wilton, CT 06897-0812

Cougar Investment Fund
Department of Finance
C.T. Bauer College of Business
University of Houston
Houston, TX 77204-6021

Covalent Capital Partners (Offshore), L.P.
c/o AlphaMetrix360, LLC (Cayman)
Piccadilly Center, 3rd Floor
P.O. Box 10243
Grand Cayman, Cayman Islands KY1-003

Davidson Kempner Institutional Partners, L.P.
885 Third Avenue, Suite 3300
New York, NY 10022

Denham Commodity Partners Fund VI-A L.P.
c/o Latham & Watkins LLP
555 Eleventh Street, NW, Suite 1000
Washington, DC 20004

Dreyfus
144 Glenn Curtis Boulevard
Uniondale, NY 11556-0144

EnCap Energy Capital Fund VII-B, L.P.
1100 Louisiana Street, Suite 3150
Houston, Texas 77002

EnCap Flatrock Midstream Fund II-C Cayman GP, LLC
1826 N. Loop 1604 W., Suite 200
San Antonio, Texas 78248

Fisher Lynch
One International Place
Boston, MA 02110

HarbourVest Partners
One Financial Center, 44th Floor
Boston, MA 02111

HBK Offshore Fund II L.P.
c/o Citco (Canada) Inc.
2 Bloor Street East, Suite 2700
Toronto, Ontario M4W 1A8
Canada

Highline Capital International, Ltd.
c/o Goldman Sachs (Cayman) Trust, Limited
P.O. Box 896
Gardenia Court, Suite 3307
45 Market Street, Camana Bay
Grand Cayman KY1-1103
Cayman Islands, B.W.I.
Indus Europe Fund, Ltd.
c/o Goldman Sachs Administration Services Co.
Hardwicke House, 2nd Floor
Hatch Street
Dublin 2
Ireland

Invesco Aim
11 Greenway Plaza, Suite 100
Houston, TX 77046

J.H. Whitney VII, L.P.
c/o J.H. Whitney Capital Partners, LLC
130 Main Street
New Canaan, CT 06840

Mason Capital Management, LLC.
110 East 59th Street, 30th Floor
New York, NY 10022

Maverick Fund, Ltd.
c/o Citco (Canada) Inc.
2 Bloor Street East, Suite 2700
Toronto, Ontario M4W 1A8
Canada

Mondrian Investment Group (U.S.), Inc.
Mondrian Global Fixed Income Fund, L.P.
General Partner
1105 N. Market Street
Suite 1118
Wilmington, Delaware 19801

Morgan Stanley Investment Management
One Tower Bridge
100 Front Street, Suite 1100
West Conshohocken, PA 19428

Newlin Realty Partners, LP
44 Nassau Street, Suite 365
Princeton, NJ 08542

OZ Overseas Fund II
c/o Goldman Sachs Administration Services
P.O. Box 896
Gardenia Court, Suite 3307
45 market Street, Camana Bay
Grand Cayman, KY1-1103
Cayman Islands

PFM Diversified Offshore Fund, Ltd.
c/o Goldman Sachs Administration Services (Canada) Co.
Royal Trust Tower
77 King Street West, Suite 3400
P.O. Box 38, Toronto – Dominion Centre
Toronto, Ontario M5K 1B7
Canada

Samlyn Offshore, Ltd.
c/o Goldman Sachs (Cayman) Trust, Limited
P.O. Box 896, Suite 3307, Gardenia Court
45 Market Street
Camana Bay, Grand Cayman KY1-1103
Cayman Islands

Scout Capital
c/o Citigroup Fund Services Canada, Inc.
2920 Matheson Blvd. East
Mississauga, Ontario L4W 5J4
Canada

Silchester International Investors
780 Third Avenue, 42nd Floor
New York, NY 10017

Sustainable Woodlands Fund, LP
4265 San Felipe, Suite 900
Houston, TX 77027

Taconic Opportunity Offshore Fund Ltd.
c/o International Fund Services (Ireland) Limited
Bishop's Square, Third Floor
Redmond's Hill
Dublin 2
Ireland

TrueBridge-Kauffman
33 Arch Street, 26th Floor
Boston, MA 02110-1447

Wellington Trust Company, NA
75 State Street
Boston, MA 02109

Whippoorwill
c/o Citco Fund Services (Cayman Islands) Limited
89 Nexus Way
Camana Bay
PO Box 31106
Grand Cayman KY1-1205
Cayman Islands

William Blair Funds
222 West Adams Street
Chicago, IL 60606

York Institutional Partners
390 Park Avenue, 15th Floor
New York, NY 10022

2. Does the institution use soft dollar, directed brokerage or directed commission, commission recapture or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of arrangements. Yes, the institution has a commission recapture program that includes a network of equity brokers. Managers of separately managed equity accounts are requested, to the extent possible and on a best efforts basis, to direct up to 25% of the annual trades through the network of equity brokers. The commission rate paid on a commission recapture transaction shall, to the extent practical, be no different than the rate an investment manager would

normally pay on transactions done via a similar execution venue. Block trading orders shall not be precluded from trading in the commission recapture program.

3. Is the institution associated with an independent endowment or foundation? If the answer to this question is yes, the institution must provide contact information (name and address) for the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment's or foundation's investments. Following is the name and contact at each foundation and the market value of its investments as of August 31, 2012, unless otherwise noted:

Association for Community Broadcasting ltshumat@Central.UH.EDU
Attn: Lisa Shumate #832-842-5867
Houston PBS
4343 Elgin
Houston, Texas 77204-0008
Market Value of Endowment/Investments: \$1,014,103 (as of 6-30-12)

Cullen Engineering Research Foundation Gjmorris@tstar.net
Attn: Gregory Morris #830-598-4094
P.O. Box 8425
Horseshoe Bay, TX 78657
Market Value of Endowment/Investments: None

Foundation for Education and Research in Vision emaury@optometry.uh.edu
Attn: Elizabeth Mauzy #713-743-1795
College of Optometry
Houston, TX 77204-2020
Market Value of Endowment/Investments: \$2,519,798 (as of 6-30-12)

Houston Alumni Association jmdrummo@Central.UH.EDU
Attn: Judith Drummond # 713-266-7236
P.O. Box 230345
Houston, TX 77223-0345
Market Value of Endowment/Investments: \$6,084,925

Houston Athletics Foundation Darryls@lonestar-usa.net
Attn: Darryl Schroeder #713-799-1001
Address: 3100 Cullen, Suite 2004
Houston, Texas 77204-6004
Market Value of Endowment/Investments: \$3,000,378
([Matthew Houston](#) is the contact for investment reporting)

UH College of Business Foundation
Attn: Aracely Villalpando #713-743-4633
334 Melcher Hall
Houston, TX 77204-6021
Market Value of Endowment/Investments: \$18,511,145

avillalpando@uh.edu

UH Foundation
Attn: Terrylin G. Neale #713-622-6061
P.O. Box 27405
Houston, TX 77227-7405
Market Value of Endowment/Investments: \$80,838,954

TGNeale@aol.com

UH Law Foundation
Attn: Mybao Nguyen #713-743-2112
100 Law Center
Houston, TX 77204-6371
Market Value of Endowment/Investments: \$19,227,889

MNguyen@Central.UH.edu