University of Houston System
Office of the Treasurer

Annual Investment Information Disclosure

Fiscal Year 2012
In accordance with Chapter 2.3 of the State Auditor’s Office Report No. 02-058 and as further clarified in procedures adopted in 2012, the following information is submitted:

1. Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution contracts with an investment consultant, Cambridge Associates in Dallas, Texas, to provide investment consulting advice, but they do not have discretionary authority. Yes, the institution contracts with outside investment managers and they have authority to make investment decisions within the investment policy guidelines without obtaining prior approval. The investment managers with whom the institution has funds invested as of fiscal year end 2012 are as follows:

**Separately Managed Accounts:**

Columbia Management  
One Financial Center  
Boston, MA 02111-2621

Institutional Capital LLC  
225 West Wacker Drive, Suite 2400  
Chicago, IL 60606

JPMorgan Asset Management  
522 Fifth Avenue  
New York, NY 10036

Luther King Capital Management  
301 Commerce Street, Suite 1600  
Ft. Worth, TX 76102

Mayo Investment Advisers LLC  
30 Rowes Wharf, Suite 500  
Boston, MA 02110

Smith Graham & Company  
6900 Chase Tower  
600 Travis Street  
Houston, TX 77002-3007
State Street Global Advisors  
One Lincoln Street, SFC/33  
Boston, MA 02111-2900

**Commingled Funds:**

Aberdeen Funds  
3435 Stelzer Road, Suite 1000  
Columbus, OH 43219

Advent International Corporation  
75 State Street  
Boston, MA 02109

Anchorage Capital Partners Offshore, Ltd.  
c/o International Fund Services (Ireland) Limited  
78 Sir John Rogerson’s Quay  
Dublin 2  
Ireland

Asian Century Quest Offshore Fund, Ltd.  
c/o Morgan Stanley Fund Services (Bermuda) Ltd.  
P.O. Box 15000  
The Observatory  
7-11 Sir John Rogerson’s Quay  
Dublin 2  
Ireland

Berwind Property Group  
770 Township Line Road, Suite 150  
Yardley, PA 19067

Coatue Offshore Fund, Ltd.  
c/o Morgan Stanley Fund Services (Ireland) Limited  
P.O. Box 15000  
The Observatory  
7-11 Sir John Rogerson’s Quay  
Dublin 2  
Ireland

Columbia Funds  
c/o Columbia Management Services, Inc.  
P.O. Box 8081  
Boston, MA 02266-8081
Commonfund Capital
15 Old Danbury Road
Wilton, CT 06897-0812

Cougar Investment Fund
Department of Finance
C.T. Bauer College of Business
University of Houston
Houston, TX 77204-6021

Covalent Capital Partners (Offshore), L.P.
c/o AlphaMetrix360, LLC (Cayman)
Piccadilly Center, 3rd Floor
P.O. Box 10243
Grand Cayman, Cayman Islands KY1-003

Davidson Kempner Institutional Partners, L.P.
885 Third Avenue, Suite 3300
New York, NY 10022

Denham Commodity Partners Fund VI-A L.P.
c/o Latham & Watkins LLP
555 Eleventh Street, NW, Suite 1000
Washington, DC 20004

Dreyfus
144 Glenn Curtis Boulevard
Uniondale, NY 11556-0144

EnCap Energy Capital Fund VII-B, L.P.
1100 Louisiana Street, Suite 3150
Houston, Texas 77002

EnCap Flatrock Midstream Fund II-C Cayman GP, LLC
1826 N. Loop 1604 W., Suite 200
San Antonio, Texas 78248

Fisher Lynch
One International Place
Boston, MA 02110

HarbourVest Partners
One Financial Center, 44th Floor
Boston, MA 02111
Morgan Stanley Investment Management  
One Tower Bridge  
100 Front Street, Suite 1100  
West Conshohocken, PA 19428

Newlin Realty Partners, LP  
44 Nassau Street, Suite 365  
Princeton, NJ 08542

OZ Overseas Fund II  
c/o Goldman Sachs Administration Services  
P.O. Box 896  
Gardenia Court, Suite 3307  
45 Market Street, Camana Bay  
Grand Cayman, KY1-1103  
Cayman Islands

PFM Diversified Offshore Fund, Ltd.  
c/o Goldman Sachs Administration Services (Canada) Co.  
Royal Trust Tower  
77 King Street West, Suite 3400  
P.O. Box 38, Toronto – Dominion Centre  
Toronto, Ontario M5K 1B7  
Canada

Samlyn Offshore, Ltd.  
c/o Goldman Sachs (Cayman) Trust, Limited  
P.O. Box 896, Suite 3307, Gardenia Court  
45 Market Street  
Camana Bay, Grand Cayman KY1-1103  
Cayman Islands

Scout Capital  
c/o Citigroup Fund Services Canada, Inc.  
2920 Matheson Blvd. East  
Mississauga, Ontario L4W 5J4  
Canada

Silchester International Investors  
780 Third Avenue, 42nd Floor  
New York, NY 10017

Sustainable Woodlands Fund, LP  
4265 San Felipe, Suite 900  
Houston, TX 77027
2. Does the institution use soft dollar, directed brokerage or directed commission, commission recapture or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of arrangements. Yes, the institution has a commission recapture program that includes a network of equity brokers. Managers of separately managed equity accounts are requested, to the extent possible and on a best efforts basis, to direct up to 25% of the annual trades through the network of equity brokers. The commission rate paid on a commission recapture transaction shall, to the extent practical, be no different than the rate an investment manager would
normally pay on transactions done via a similar execution venue. Block trading
orders shall not be precluded from trading in the commission recapture program.

3. Is the institution associated with an independent endowment or foundation? If the
answer to this question is yes, the institution must provide contact information
(name and address) for the individual(s) who manage the independent endowment
or foundation. The institution must also provide, if available, the market value of
the endowment’s or foundation’s investments. Following is the name and contact
at each foundation and the market value of its investments as of August 31, 2012,
unless otherwise noted:

Association for Community Broadcasting
Attn: Lisa Shumate #832-842-5867
Houston PBS
4343 Elgin
Houston, Texas 77204-0008
Market Value of Endowment/Investments: $1,014,103 (as of 6-30-12)

Cullen Engineering Research Foundation
Attn: Gregory Morris #830-598-4094
P.O. Box 8425
Horseshoe Bay, TX 78657
Market Value of Endowment/Investments: None

Foundation for Education and Research in Vision
Attn: Elizabeth Mauzy #713-743-1795
College of Optometry
Houston, TX 77204-2020
Market Value of Endowment/Investments: $2,519,798 (as of 6-30-12)

Houston Alumni Association
Attn: Judith Drummond # 713-266-7236
P.O. Box 230345
Houston, TX 77223-0345
Market Value of Endowment/Investments: $6,084,925

Houston Athletics Foundation
Attn: Darryl Schroeder #713-799-1001
Address: 3100 Cullen, Suite 2004
Houston, Texas 77204-6004
Market Value of Endowment/Investments: $3,000,378
(Matthew Houston is the contact for investment reporting)
UH College of Business Foundation
Attn: Aracely Villalpando #713-743-4633
334 Melcher Hall
Houston, TX 77204-6021
Market Value of Endowment/Investments: $18,511,145

UH Foundation
Attn: Terrylin G. Neale #713-622-6061
P.O. Box 27405
Houston, TX 77227-7405
Market Value of Endowment/Investments: $80,838,954

UH Law Foundation
Attn: Mybao Nguyen #713-743-2112
100 Law Center
Houston, TX 77204-6371
Market Value of Endowment/Investments: $19,227,889