

**University of Houston System
Office of the Treasurer**

Annual Investment Information Disclosure

Fiscal Year 2011

Investment questions should be directed to:
Raymond S. Bartlett, Treasurer
Phone: 713-743-8781
Fax: 713-743-8795
rbartlett@uh.edu
Mail Code: TREAS 2009
Building: Ezekiel W. Cullen Building, Room 2
P.O. Box 988
Houston, Texas 77001-0988

**University of Houston System
Office of the Treasurer
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Fiscal Year 2011**

In accordance with Chapter 2.3 of the State Auditor's Office Report No. 02-058, the following information is submitted:

1. *Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution employs outside investment managers and they have authority to make investment decisions within the investment policy guidelines without obtaining prior approval. The investment managers with whom the institution has funds invested as of fiscal year end 2011 are as follows:*

Aberdeen Funds
3435 Stelzer Road, Suite 1000
Columbus, OH 43219

Anchorage Capital Partners Offshore, Ltd.
c/o International Fund Services (Ireland) Limited
78 Sir John Rogerson's Quay
Dublin 2
Ireland

Asian Century Quest Offshore Fund, Ltd.
c/o Morgan Stanley Fund Services (Bermuda) Ltd.
P.O. Box 15000
The Observatory
7-11 Sir John Rogerson's Quay
Dublin 2
Ireland

Berwind Property Group
770 Township Line Road, Suite 150
Yardley, PA 19067

Coatue Offshore Fund, Ltd.
c/o Morgan Stanley Fund Services (Ireland) Limited
P.O. Box 15000
The Observatory
7-11 Sir John Rogerson's Quay
Dublin 2
Ireland

Columbia Management
One Financial Center
Boston, MA 02111-2621

Commonfund Capital
15 Old Danbury Road
Wilton, CT 06897-0812

Cougar Investment Fund
Department of Finance
C.T. Bauer College of Business
University of Houston
Houston, TX 77204-6021

Davidson Kempner Institutional Partners, L.P.
885 Third Avenue, Suite 3300
New York, NY 10022

Dreyfus
144 Glenn Curtis Boulevard
Uniondale, NY 11556-0144

EnCap Energy Capital Fund VII-B, L.P.
1100 Louisiana Street, Suite 3150
Houston, Texas 77002

Fisher Lynch
One International Place
Boston, MA 02110
HarbourVest Partners
One Financial Center, 44th Floor
Boston, MA 02111

HBK Offshore Fund II L.P.
c/o Citco (Canada) Inc.
2 Bloor Street East, Suite 2700
Toronto, Ontario M4W 1A8
Canada

HEDGENERGY Offshore Fund, Ltd.
909 Fannin, Suite 2650
Houston, TX 77010

Highline Capital International, Ltd.
c/o Goldman Sachs (Cayman) Trust, Limited
P.O. Box 896
Gardenia Court, Suite 3307
45 Market Street, Camana Bay
Grand Cayman KY1-1103
Cayman Islands, B.W.I.

Indus Europe Fund, Ltd.
c/o Goldman Sachs Administration Services Co.
Hardwicke House, 2nd Floor
Hatch Street
Dublin 2
Ireland

Institutional Capital LLC
225 West Wacker Drive, Suite 2400
Chicago, IL 60606

Invesco Aim
11 Greenway Plaza, Suite 100
Houston, TX 77046

J.H. Whitney VII, L.P.
c/o J.H. Whitney Capital Partners, LLC
130 Main Street
New Canaan, CT 06840

JPMorgan Asset Management
522 Fifth Avenue
New York, NY 10036

Luther King Capital Management
301 Commerce Street, Suite 1600
Ft. Worth, TX 76102

Mason Capital Management, LLC.
110 East 59th Street, 30th Floor
New York, NY 10022

Maverick Fund, Ltd.
c/o Citco (Canada) Inc.
2 Bloor Street East, Suite 2700
Toronto, Ontario M4W 1A8
Canada

Mayo Investment Advisers LLC
30 Rowes Wharf, Suite 500
Boston, MA 02110

Mondrian Investment Group (U.S.), Inc.
Mondrian Global Fixed Income Fund, L.P.
General Partner
1105 N. Market Street
Suite 1118
Wilmington, Delaware 19801

Morgan Stanley Investment Management
One Tower Bridge
100 Front Street, Suite 1100
West Conshohocken, PA 19428

Newlin Realty Partners, LP
44 Nassau Street, Suite 365
Princeton, NJ 08542

OZ Overseas Fund II
c/o Goldman Sachs Administration Services
P.O. Box 896
Gardenia Court, Suite 3307
45 market Street, Camana Bay
Grand Cayman, KY1-1103
Cayman Islands

PFM Diversified Offshore Fund, Ltd.
c/o Goldman Sachs Administration Services (Canada) Co.
Royal Trust Tower
77 King Street West, Suite 3400
P.O. Box 38, Toronto – Dominion Centre
Toronto, Ontario M5K 1B7
Canada

Robeco - Boston Partners
28 State Street
Boston, MA 02109

Salient Trust Co. (Pinnacle Trust)
4265 San Felipe, Suite 900
Houston, TX 77027

Samlyn Offshore, Ltd.
c/o Goldman Sachs (Cayman) Trust, Limited
P.O. Box 896, Suite 3307, Gardenia Court
45 Market Street
Camana Bay, Grand Cayman KY1-1103
Cayman Islands

Scout Capital
c/o Citigroup Fund Services Canada, Inc.
2920 Matheson Blvd. East
Mississauga, Ontario L4W 5J4
Canada

Silchester International Investors
780 Third Avenue, 42nd Floor
New York, NY 10017

Smith Graham & Company
6900 Chase Tower
600 Travis Street
Houston, TX 77002-3007

State Street Global Advisors
One Lincoln Street, SFC/33
Boston, MA 02111-2900

Sustainable Woodlands Fund, LP
4265 San Felipe, Suite 900
Houston, TX 77027

Taconic Opportunity Offshore Fund Ltd.
c/o International Fund Services (Ireland) Limited
Bishop's Square, Third Floor
Redmond's Hill
Dublin 2
Ireland

TrueBridge-Kauffman
33 Arch Street, 26th Floor
Boston, MA 02110-1447

Wellington Trust Company, NA
75 State Street
Boston, MA 02109

Whippoorwill
c/o Citco Fund Services (Cayman Islands) Limited
89 Nexus Way
Camana Bay
PO Box 31106
Grand Cayman KY1-1205
Cayman Islands

William Blair Funds
222 West Adams Street
Chicago, IL 60606

York Institutional Partners
390 Park Avenue, 15th Floor
New York, NY 10022

2. *Does the institution use soft dollar arrangements (a means of paying for services through brokerage commission revenue, rather than through direct payments)? If the answer to this question is yes, the institution must provide a copy of the guidelines that govern the use of soft dollar arrangements. No, the institution does not use soft dollar arrangements.*
3. Is the institution associated with an independent endowment or foundation? (If the answer to this question is yes, the institution must provide contact information [name and address] for the individual[s] who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment's or foundation's investments.) Following is the name and contact at each foundation and the market value of its investments as of August 31, 2011:

Association for Community Broadcasting
Attn: Georgeann Smith 713-743-8420
Houston PBS
4343 Elgin
Houston, Texas 77204-0008
Market Value of Endowment/Investments: \$857,549

Gsmith7@uh.edu

Cullen Engineering Research Foundation
Attn: Gregory Morris 830-598-4094
P.O. Box 8425
Horseshoe Bay, TX 78657
Market Value of Endowment/Investments: Not Applicable

Gjmorris@tstar.net

Foundation for Education and Research in Vision emaury@optometry.uh.edu
Attn: Elizabeth Mauzy 713-743-1795
College of Optometry
Houston, TX 77204-2020
Market Value of Endowment/Investments: \$3,133,275

Houston Alumni Association jrobert@central.uh.edu
Attn: Jessica Roberts 713-743-0825
P.O. Box 230345
Houston, TX 77223-0345
Market Value of Endowment/Investments: \$5,843,561

Houston Athletics Foundation Darryls@lonestar-usa.net
Attn: Darryl Schroeder #713-799-1001
Address: 3100 Cullen, Suite 2004
Houston, Texas 77204-6004
Market Value of Endowment/Investments: \$3,132,872
([Matthew Houston](#) is the contact for investment reporting)

UH College of Business Foundation dbrennan@bauer.uh.edu
Attn: Dan Brennan 713-743-4633
334 Melcher Hall
Houston, TX 77204-6021
Market Value of Endowment/Investments: \$ 17,092,375

UH Foundation TGNeale@aol.com
Attn: Terrylin G. Neale 713-622-6061
P.O. Box 27405
Houston, TX 77227-7405
Market Value of Endowment/Investments: \$81,629,963

UH Law Foundation MNguyen@Central.UH.edu
Attn: Mybao Nguyen 713-743-2112
100 Law Center
Houston, TX 77204-6371
Market Value of Endowment/Investments: \$18,245,742