In accordance with Chapter 2.3 of the State Auditor’s Office Report No. 02-058, the following information is submitted:

1. Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution employs outside investment managers and they have authority to make investment decisions within the investment policy guidelines without obtaining prior approval. The investment managers with whom the institution has funds invested as of fiscal year end 2009 are as follows:

   AllianceBernstein  
   Phillips Point-West Tower, 777 South Flagler Drive  
   West Palm Beach, FL 33401

   APM Hedged Global Commodity Fund LDC  
   230 Park Avenue, Ste. 922  
   New York, NY 10169

   Barlow Partners  
   880 Third Avenue  
   New York, NY 10022

   Berwind Property Group  
   770 Township Line Road, Suite 150  
   Yardley, PA 19067

   Columbia Management  
   One Financial Center  
   Boston, MA 02111-2621

   Commonfund Capital  
   15 Old Danbury Road  
   Wilton, CT 06897-0812

   Crestline Management, L.P.  
   201 W. Main, Suite 1900  
   Fort Worth, TX 76102
Cougar Investment Fund
Department of Finance
C.T. Bauer College of Business
University of Houston
Houston, TX 77204-6021

Davidson Kempner Institutional Partners, L.P.
885 Third Avenue, Suite 3300
New York, NY 10022

Dodge & Cox
555 California Street – 4th Floor
San Francisco, CA 94104

Dreyfus
144 Glenn Curtis Boulevard
Uniondale, NY 11556-0144

EnCap Energy Capital Fund VII-B, L.P.
1100 Louisiana Street, Suite 3150
Houston, Texas 77002

Fayez Sarofim & Co.
Two Houston Center, Suite 2907
Houston, TX 77010

Fisher Lynch
One International Place
Boston, MA 02110

HarbourVest Partners
One Financial Center, 44th Floor
Boston, MA 02111

HEDGENERGY Offshore Fund, Ltd.
909 Fannin, Suite 2650
Houston, TX 77010

Hoover Investment Management
650 California Street, 30th Floor
San Francisco, CA 94108

Invesco Aim
11 Greenway Plaza, Suite 100
Houston, TX 77046
JPMorgan Asset Management  
522 Fifth Avenue  
New York, NY 10036

Luther King Capital Management  
301 Commerce Street, Suite 1600  
Ft. Worth, TX 76102

Mondrian Investment Group (U.S.), Inc.  
Mondrian Global Fixed Income Fund, L.P.  
General Partner  
1105 N. Market Street  
Suite 1118  
Wilmington, Delaware 19801

Morgan Stanley Investment Management  
One Tower Bridge  
100 Front Street, Suite 1100  
West Conshohocken, PA 19428

Newlin Realty Partners, LP  
44 Nassau Street, Suite 365  
Princeton, NJ 08542

Robeco - Boston Partners  
28 State Street  
Boston, MA 02109

Salient Partners Sustainable Woodlands Fund  
4265 San Felipe, Suite 900  
Houston, TX 77027

Salient Trust Co. (Pinnacle Trust)  
4265 San Felipe, Suite 900  
Houston, TX 77027

Silchester International Investors  
780 Third Avenue, 42nd Floor  
New York, NY 10017

Smith Asset Management Group, LP  
100 Crescent Court - Suite 1150  
Dallas, TX 75201
2. Does the institution use soft dollar arrangements (a means of paying for services through brokerage commission revenue, rather than through direct payments)? If the answer to this question is yes, the institution must provide a copy of the guidelines that govern the use of soft dollar arrangements. No, the institution does not use soft dollar arrangements.

3. Is the institution associated with an independent endowment or foundation? (If the answer to this question is yes, the institution must provide contact information [name and address] for the individual[s] who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment’s or foundation’s investments.) Following is the name and contact at each foundation and the market value of its investments as of June 30, 2009:

Association for Community Broadcasting
Attn: George Anne Smith 713-743-8420
HoustonPBS
4343 Elgin
Houston, Texas 77204-0008
Market Value of Endowment/Investments: $370,309

Gsmith7@uh.edu
Cullen Engineering Research Foundation
Attn: Gregory Morris 830-598-4094
P.O. Box 8425
Horseshoe Bay, TX 78657
Market Value of Endowment/Investments: Not Applicable

Foundation for Education and Research in Vision
Attn: Elizabeth Mauzy 713-743-1795
College of Optometry
Houston, TX 77204-2020
Market Value of Endowment/Investments: $1,655,366

Houston Alumni Association
Attn: Connie Fox 713-743-9550
P.O. Box 230345
Houston, TX 77223-0345
Market Value of Endowment/Investments: $4,250,011

Houston Athletics Foundation
Attn: Matthew S. Houston 713-821-3065
3100 Cullen, Suite 2004
Houston, TX 77204-6004
Market Value of Endowment/Investments: $4,598,853

UH College of Business Foundation
Attn: Paula Jarrett 713-743-4633
334 Melcher Hall
Houston, TX 77204-6021
Market Value of Endowment/Investments: $12,439,012

UH Foundation
Attn: Terrylin G. Neale 713-622-6061
P.O. Box 27405
Houston, TX 77227-7405
Market Value of Endowment/Investments: $66,010,504

UH Law Foundation
Attn: Mybao Nguyen 713-743-2112
Houston, TX 77204-6391
Market Value of Endowment/Investments: $13,757,205