UNANTICIPATED PROBLEMS/PROTOCOL DEVIATIONS/ADVERSE EVENTS FORM
For use in reporting unanticipated problems, protocol deviations, or adverse events associated with animals used in research, testing, or teaching

**PROTOCOL # _____**

**BUILDING & ROOM # _____**

**PROTOCOL TITLE:**

**PRINCIPAL INVESTIGATOR:**

<table>
<thead>
<tr>
<th>Investigator's Name</th>
<th>Investigator's Signature</th>
<th>Date</th>
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**Definition of an unanticipated or adverse event:** Any event that specificity and severity are not accurately reflected in the protocol-related documents that result in unexpected animal welfare issues (death, disease, distress).

| Description of the Unanticipated Problem, Protocol Deviation, or Adverse Event |
| Date of Event/ Problem: | Date Identified: |
|Species of Animal | Number of animals involved |
|Location of Event: | |

Outcome
- [ ] Treated/Recovered
- [ ] Treated/Euthanized
- [ ] Fatal

Was a ACO Veterinarian consulted? [ ] Yes [ ] No

Is this event related to the research? [ ] Related [ ] Possibly Related [ ] Not Related

Is the possibility of this event noted in the current approved protocol? [ ] Yes [ ] No

Does this event require a change to the protocol? If yes, please submit an amendment with this report. [ ] Yes [ ] No
1. **Provide a description (include dates and details) of the adverse event or unanticipated outcome/problem:**

2. **Provide a description of how this event or unanticipated outcome/problem was managed:**

3. **Provide a description of the corrective actions taken to ensure that this type of event or unanticipated outcome/problem does not occur in the future:**

It is the University of Houston’s policy that the procurement, housing, care and use of animals should conform to the Guide for the Care and Use of Laboratory Animals and other relevant federal or state policies and procedures. The policy applies to all research and teaching involving the use of laboratory animals whether funded from external or internal sources.

*Adverse events affecting USDA regulated species need a separate report per affected animal*

Please email this form immediately to the IACUC:  [IACUC@uh.edu](mailto:IACUC@uh.edu)