

Risk Exposure Action Plan

Compliance Section: _____ Preparation Date: _____

Sub-Section: _____ Prepared By: _____

Responsible Person: _____

Compliance Item:

Risk Exposure Rating Before Control Measures:

Potential Impact _____ Probability of Occurrence _____

Control Measures:

Operational Controls:

Oversight Controls:

Rank after Controls

Action Plan (Include specific action items, assigned parties and target dates):