

UNAUDITED

SCHEDULE D-5
 UNIVERSITY OF HOUSTON - DOWNTOWN
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 FOR THE YEAR ENDED AUGUST 31, 2001

FEDERAL GRANTOR / PASS THROUGH GRANTOR / PROGRAM TITLE	C F D A NUMBER	IDENTIFYING #	AGENCY #	PASS-THROUGH FROM		
				UNIVERSITY #	AGENCY AMOUNT	UNIVERSITY AMOUNT
DEPARTMENT OF AGRICULTURE						
DIRECT PROGRAMS						
HISPANIC SERVING INSTITUTIONS EDUCATION GRANTS.....	10.223				\$	\$
TOTAL - DEPARTMENT OF AGRICULTURE.....					\$	\$
NATIONAL AERONAUTICS AND SPACE						
ADMINISTRATION						
DIRECT PROGRAMS						
AEROSPACE EDUCATION SERVICES PROGRAM.....	43.001				\$	\$
PASS THROUGH TO TEJANO CENTER FOR COMMUNITY CONCERNS.....						
TECHNOLOGY TRANSFER.....	43.002					
PASS THROUGH FROM UNIVERSITY OF TEXAS AT SAN ANTONIO - AGENCY # 743						
AEROSPACE EDUCATION SERVICES PROGRAM.....	43.001			743		20,437.00
TOTAL - NATIONAL AERONAUTICS AND SPACE ADMINISTRATION.....					\$	\$ 20,437.00
NATIONAL SCIENCE FOUNDATION						
PASS THROUGH FROM UNIVERSITY OF HOUSTON - AGENCY # 730						
EDUCATION AND HUMAN RESOURCES.....	47.076			730	\$	\$ 65,245.73
PASS THROUGH FROM UNIVERSITY OF TEXAS						
AT AUSTIN - AGENCY # 721						
EDUCATION AND HUMAN RESOURCES.....	47.076			721		5,483.08
PASS THROUGH FROM RICE UNIVERSITY						
MATHEMATICAL AND PHYSICAL SCIENCES..	47.049					
TOTAL - NATIONAL SCIENCE FOUNDATION.....					\$	\$ 70,728.81
DEPARTMENT OF EDUCATION						
DIRECT PROGRAMS						
INTERNATIONAL RESEARCH AND STUDIES..	84.017				\$	\$
HIGHER EDUCATION_INSTITUTIONAL AID..	84.031					
MINORITY SCIENCE AND ENGINEERING						

NON-STATE AGENCY AMOUNT	DIRECT PROGRAM AMOUNT	TOTAL PASS- THROUGH FROM & DIRECT PROGRAM	PASS-THROUGH TO			TOTAL PASS-THROUGH TO & EXPENDITURES	
			AGENCY # / UNIVERSITY #	STATE ENTITIES AMOUNT	OTHER ENTITIES AMOUNT		EXPENDITURES
\$	\$ 152,843.19	\$ 152,843.19		\$	\$	\$ 152,843.19	\$ 152,843.19
\$	\$ 152,843.19	\$ 152,843.19		\$	\$	\$ 152,843.19	\$ 152,843.19
\$	\$ 69,747.59	\$ 69,747.59		\$	\$	\$ 69,747.59	\$ 69,747.59
	745.50	745.50			745.50		745.50
	105,585.71	105,585.71				105,585.71	105,585.71
		20,437.00				20,437.00	20,437.00
\$	\$ 176,078.80	\$ 196,515.80		\$	\$ 745.50	\$ 195,770.30	\$ 196,515.80
\$	\$	\$ 65,245.73		\$	\$	\$ 65,245.73	\$ 65,245.73
		5,483.08				5,483.08	5,483.08
13,810.81		13,810.81				13,810.81	13,810.81
\$ 13,810.81	\$	\$ 84,539.62		\$	\$	\$ 84,539.62	\$ 84,539.62
\$	\$ 20,860.00	\$ 20,860.00		\$	\$	\$ 20,860.00	\$ 20,860.00
	277,570.46	277,570.46				277,570.46	277,570.46

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				UNIVERSITY #	AGENCY AMOUNT	UNIVERSITY AMOUNT
DEPARTMENT OF EDUCATION (CONTINUED)						
DIRECT PROGRAMS (CONTINUED)						
IMPROVEMENT.....	84.120				\$	\$
BILINGUAL EDUCATION PROFESSIONAL DEVELOPMENT.....	84.195					
PASS THROUGH FROM TEXAS HIGHER EDUCATION COORDINATING BOARD - AGENCY # 781						
EISENHOWER PROFESSIONAL DEVELOPMENT STATE GRANTS.....	84.281		781		79,285.94	
PASS THROUGH FROM UNIVERSITY OF HOUSTON - AGENCY # 730						
TEACHER QUALITY ENHANCEMENT GRANTS..	84.336			730		16,273.20
PASS THROUGH FROM HOUSTON INDEPENDENT SCHOOL DISTRICT						
84.000.....	84.000					
TOTAL - DEPARTMENT OF EDUCATION.....					\$ 79,285.94	\$ 16,273.20
RESEARCH AND DEVELOPMENT (R&D) CLUSTER						
DEPARTMENT OF DEFENSE						
DIRECT PROGRAMS						
BASIC AND APPLIED SCIENTIFIC						
RESEARCH.....	12.300				\$	\$
PASS THROUGH FROM GRAMBLING STATE UNIVERSITY						
BASIC SCIENTIFIC RESEARCH.....	12.431					
TOTAL - DEPARTMENT OF DEFENSE.....					\$	\$
NATIONAL AERONAUTICS AND SPACE						
ADMINISTRATION						
DIRECT PROGRAMS						
AEROSPACE EDUCATION SERVICES						
PROGRAM.....	43.001				\$	\$
TECHNOLOGY TRANSFER.....	43.002					
TOTAL - NATIONAL AERONAUTICS AND SPACE ADMINISTRATION.....					\$	\$

NON-STATE AGENCY AMOUNT	DIRECT PROGRAM AMOUNT	TOTAL PASS- THROUGH FROM & DIRECT PROGRAM	PASS-THROUGH TO			TOTAL PASS-THROUGH TO & EXPENDITURES	
			AGENCY # / UNIVERSITY #	STATE ENTITIES AMOUNT	OTHER ENTITIES AMOUNT		EXPENDITURES
\$	\$ 47,364.31	\$ 47,364.31		\$	\$	\$ 47,364.31	\$ 47,364.31
	207,218.86	207,218.86				207,218.86	207,218.86
		79,285.94				79,285.94	79,285.94
		16,273.20				16,273.20	16,273.20
<u>8,252.13</u>		<u>8,252.13</u>				<u>8,252.13</u>	<u>8,252.13</u>
\$ <u>8,252.13</u>	\$ <u>553,013.63</u>	\$ <u>656,824.90</u>		\$	\$	\$ <u>656,824.90</u>	\$ <u>656,824.90</u>
\$	\$ 231,373.20	\$ 231,373.20		\$	\$	\$ 231,373.20	\$ 231,373.20
<u>185,368.05</u>		<u>185,368.05</u>				<u>185,368.05</u>	<u>185,368.05</u>
\$ <u>185,368.05</u>	\$ <u>231,373.20</u>	\$ <u>416,741.25</u>		\$	\$	\$ <u>416,741.25</u>	\$ <u>416,741.25</u>
\$	\$ 18,284.89	\$ 18,284.89		\$	\$	\$ 18,284.89	\$ 18,284.89
	(4,481.83)	(4,481.83)				(4,481.83)	(4,481.83)
\$	\$ 13,803.06	\$ 13,803.06		\$	\$	\$ 13,803.06	\$ 13,803.06

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				UNIVERSITY #	AGENCY AMOUNT	UNIVERSITY AMOUNT
RESEARCH AND DEVELOPMENT (R&D) CLUSTER (CONTINUED)						
NATIONAL SCIENCE FOUNDATION						
DIRECT PROGRAMS						
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING.....	47.070				\$	\$
EDUCATION AND HUMAN RESOURCES.....	47.076					
PASS THROUGH TO GEORGE MASON UNIVERSITY.....						
PASS THROUGH FROM UNIVERSITY OF ILLINOIS						
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING.....	47.070					
TOTAL - NATIONAL SCIENCE FOUNDATION...					\$	\$
DEPARTMENT OF EDUCATION						
PASS THROUGH FROM TEXAS HIGHER EDUCATION COORDINATING BOARD - AGENCY # 781						
EISENHOWER PROFESSIONAL DEVELOPMENT STATE GRANTS.....	84.281		781		\$	36,363.77 \$
TOTAL - DEPARTMENT OF EDUCATION.....					\$	36,363.77 \$
TOTAL - RESEARCH AND DEVELOPMENT CLUSTER					\$	36,363.77 \$
STUDENT FINANCIAL ASSISTANCE CLUSTER						
DEPARTMENT OF EDUCATION						
DIRECT PROGRAMS						
FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS.....	84.007				\$	\$
FEDERAL FAMILY EDUCATION LOANS....	84.032					
FEDERAL WORK-STUDY PROGRAM.....	84.033					
FEDERAL PELL GRANT PROGRAM.....	84.063					
TOTAL - DEPARTMENT OF EDUCATION.....					\$	\$
TOTAL - STUDENT FINANCIAL ASSIST CLUSTER					\$	\$

NON-STATE AGENCY AMOUNT	DIRECT PROGRAM AMOUNT	TOTAL PASS- THROUGH FROM & DIRECT PROGRAM	PASS-THROUGH TO			TOTAL PASS-THROUGH TO & EXPENDITURES	
			AGENCY # / UNIVERSITY #	STATE ENTITIES AMOUNT	OTHER ENTITIES AMOUNT		EXPENDITURES
\$	\$ 144,823.59	\$ 144,823.59		\$	\$	\$ 144,823.59	\$ 144,823.59
	600.00	600.00				600.00	600.00
	21,390.14	21,390.14			21,390.14		21,390.14
<u>11,613.08</u>		<u>11,613.08</u>				<u>11,613.08</u>	<u>11,613.08</u>
\$ <u>11,613.08</u>	\$ <u>166,813.73</u>	\$ <u>178,426.81</u>		\$	\$ <u>21,390.14</u>	\$ <u>157,036.67</u>	\$ <u>178,426.81</u>
\$	\$	\$ <u>36,363.77</u>		\$	\$	\$ <u>36,363.77</u>	\$ <u>36,363.77</u>
\$	\$	\$ <u>36,363.77</u>		\$	\$	\$ <u>36,363.77</u>	\$ <u>36,363.77</u>
\$ <u>196,981.13</u>	\$ <u>411,989.99</u>	\$ <u>645,334.89</u>		\$	\$ <u>21,390.14</u>	\$ <u>623,944.75</u>	\$ <u>645,334.89</u>
\$	\$ 288,358.00	\$ 288,358.00		\$	\$	\$ 288,358.00	\$ 288,358.00
	7,174,080.00	7,174,080.00				7,174,080.00	7,174,080.00
	258,117.76	258,117.76				258,117.76	258,117.76
	<u>5,592,401.20</u>	<u>5,592,401.20</u>				<u>5,592,401.20</u>	<u>5,592,401.20</u>
\$	\$ <u>13,312,956.96</u>	\$ <u>13,312,956.96</u>		\$	\$	\$ <u>13,312,956.96</u>	\$ <u>13,312,956.96</u>
\$	\$ <u>13,312,956.96</u>	\$ <u>13,312,956.96</u>		\$	\$	\$ <u>13,312,956.96</u>	\$ <u>13,312,956.96</u>

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					AGENCY AMOUNT	UNIVERSITY AMOUNT
CHILD NUTRITION CLUSTER						
DEPARTMENT OF AGRICULTURE						
PASS THROUGH FROM TEXAS DEPARTMENT OF HUMAN SERVICES - AGENCY # 324 SUMMER FOOD SERVICE PROGRAM FOR CHILDREN.....	10.559		324		\$ 24,905.02	\$
TOTAL - DEPARTMENT OF AGRICULTURE.....					\$ 24,905.02	\$
DEPARTMENT OF TRANSPORTATION						
PASS THROUGH FROM TEXAS DEPARTMENT OF TRANSPORTATION - AGENCY # 601 STATE AND COMMUNITY HIGHWAY SAFETY.....	20.600		601		\$ 35,199.21	\$
TOTAL - DEPARTMENT OF TRANSPORTATION..					\$ 35,199.21	\$
DEPARTMENT OF EDUCATION						
DIRECT PROGRAMS						
TRIO_TALENT SEARCH.....	84.044				\$	\$
TRIO_UPWARD BOUND.....	84.047					
TOTAL - DEPARTMENT OF EDUCATION.....					\$	\$
TOTAL - CHILD NUTRITION CLUSTER.....					\$ 60,104.23	\$
TOTAL - FEDERAL FINANCIAL ASSISTANCE....					\$ 175,753.94	\$ 107,439.01

NON-STATE AGENCY AMOUNT	DIRECT PROGRAM AMOUNT	TOTAL PASS- THROUGH FROM & DIRECT PROGRAM	PASS-THROUGH TO			TOTAL PASS-THROUGH TO & EXPENDITURES
			AGENCY # / UNIVERSITY #	STATE ENTITIES AMOUNT	OTHER ENTITIES AMOUNT	
\$	\$	\$ 24,905.02		\$	\$	\$ 24,905.02 \$ 24,905.02
\$	\$	\$ 24,905.02		\$	\$	\$ 24,905.02 \$ 24,905.02
\$	\$	\$ 35,199.21		\$	\$	\$ 35,199.21 \$ 35,199.21
\$	\$	\$ 35,199.21		\$	\$	\$ 35,199.21 \$ 35,199.21
\$	\$ 291,906.83	\$ 291,906.83		\$	\$	\$ 291,906.83 \$ 291,906.83
	442,538.98	442,538.98				442,538.98 442,538.98
\$	\$ 734,445.81	\$ 734,445.81		\$	\$	\$ 734,445.81 \$ 734,445.81
\$	\$ 734,445.81	\$ 794,550.04		\$	\$	\$ 794,550.04 \$ 794,550.04
\$ 219,044.07	\$ 15,341,328.38	\$ 15,843,565.40		\$	\$ 22,135.64	\$ 15,821,429.76 \$ 15,843,565.40

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FEDERAL GRANTOR / PASS THROUGH GRANTOR / PROGRAM TITLE	C F D A NUMBER	IDENTIFYING #	PASS-THROUGH FROM			
			AGENCY #	UNIVERSITY #	AGENCY AMOUNT	UNIVERSITY AMOUNT
NOTE 1: NON-MONETARY ASSISTANCE NONE RECEIVED BY THE UNIVERSITY						
NOTE 2: RECONCILIATION						
FEDERAL REVENUES PER EXHIBIT C						
FEDERAL GRANTS AND CONTRACTS.....						
FEDERAL PASS THRU GRANTS						
FROM OTHER STATE AGENCIES.....						
INDIRECT COST RECOVERIES						
FEDERAL GRANTS.....						
FED PASS THRU FROM STATE AGENCIES.						
TOTAL FEDERAL REVENUES PER EXHIBIT C..						
RECONCILING ITEMS						
ADDITIONS						
PASS THROUGH TO OTHER ENTITIES....						
NEW STU LOANS PROCESSED/ADM COSTS						
FED FAMILY EDUC LOAN PROGRAM....						
TOTAL ADDITIONS.....						
TOTAL PASS THROUGH & EXPENDITURES PER FEDERAL SCHEDULE.....						

NON-STATE AGENCY AMOUNT	DIRECT PROGRAM AMOUNT	TOTAL PASS- THROUGH FROM & DIRECT PROGRAM	PASS-THROUGH TO			TOTAL PASS-THROUGH TO & EXPENDITURES
			AGENCY # / UNIVERSITY #	STATE ENTITIES AMOUNT	OTHER ENTITIES AMOUNT	
						\$ 8,256,114.54
						161,982.71
						215,481.28
						<u>13,771.23</u>
						\$ <u>8,647,349.76</u>
						\$ 22,135.64
						<u>7,174,080.00</u>
						\$ <u>7,196,215.64</u>
						<u><u>\$ 15,843,565.40</u></u>

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 FOR THE YEAR ENDED AUGUST 31, 2001

FEDERAL AGENCY	NEW LOANS PROCESSED	ADMINISTRATIVE COSTS RECOVERED	TOTAL LOANS	LOAN	LOAN
			PROCESSED AND ADMINISTRATIVE COST RECOVERED	RECEIVABLE ENDING BALANCES	RECEIVABLE ENDING BALANCES
				8-31-01	8-31-00
NOTE 3: STU LOAN PROC & ADM COST RECOVER					
DEPARTMENT OF EDUCATION					
84.032 FEDERAL FAMILY EDUCATION PROG					
LOAN PROGRAM.....	\$ 7,174,080.00	\$	\$ 7,174,080.00	\$	
84.038 FEDERAL PERKINS LOAN PROGRAM.				79,501.56	80,145.46
TOTAL DEPARTMENT OF EDUCATION.....	\$ 7,174,080.00	\$	\$ 7,174,080.00	\$ 79,501.56	\$ 80,145.46
TOTAL STU LOANS PROC & ADMIN COSTS RECOV	\$ 7,174,080.00	\$	\$ 7,174,080.00	\$ 79,501.56	\$ 80,145.46

NOTE 4: PETROLEUM VIOLATION ESCROW (PVE)
 FUNDS SUBJECT TO A-133 AUDITS
 NONE RECEIVED BY THE UNIVERSITY

NOTE 5: DEPOSITORY LIBRARIES FOR
 GOVERNMENT PUBLICATIONS
 VARIOUS UNIVERSITY OF HOUSTON
 SYSTEM LIBRARIES PARTICIPATE AS A
 DEPOSITORY LIBRARY IN THE GOVERNMENT
 PUBLICATIONS PROGRAM, CFDA NUMBER
 40.001. THE UNIVERSITY IS THE LEGAL
 CUSTODIAN OF GOVT PUBLICATIONS,
 WHICH REMAIN THE PROPERTY OF THE
 FEDERAL GOVT. THE PUBLICATIONS ARE
 NOT ASSIGNED A VALUE BY THE
 GOVERNMENT PRINTING OFFICE. THE
 UNIVERSITY OF HOUSTON SYSTEM
 LIBRARIES THAT PARTICIPATE AS A
 DEPOSITORY LIBRARY ARE THE
 UNIVERSITY OF HOUSTON M. D. ANDERSON
 LIBRARY, THE UNIVERSITY OF HOUSTON
 O'QUINN LAW LIBRARY, AND THE
 UNIVERSITY OF HOUSTON - CLEAR LAKE
 ALFRED R. NEUMANN LIBRARY.

NOTE 6: EXPENDITURES FROM FEDERAL
 ASSISTANCE THAT ARE NOT SUBJECT TO
 A-133 AUDITS
 NONE RECEIVED BY THE UNIVERSITY

NOTE 7: EXPENDITURES OF FUNDS FROM U.S.
 DEPARTMENT OF DEFENSE
 EXPENDITURES OF DOD FUNDS THAT ARE NOT
 ASSIGNED A CFDA NUMBER ARE IDENTIFIED
 BY DOD CONTRACT NUMBER AND ARE
 REPORTED IN SCHEDULE D-5-T, SUPPLEMENT
 TO THE SCHEDULE OF EXPENDITURES OF
 FEDERAL AWARDS.

