

Three-Year Audit Schedule, FY 2010 - 2012

Section 6

**UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2010-2012
SCHEDULED AUDITS - FY 2010**

<u>AUDIT ACTIVITY</u>	<u>BUDGET HOURS</u>
<u>ANNUAL AUDIT ACTIVITY (4,600 hours)</u>	
Annual External Audits	
Endowments	300
Liaison	100
Athletics - Football Attendance Audit	100
Athletics - NCAA Rules-Compliance	400
Board of Regents Travel, FY 2010	250
Chancellor/President's Travel, FY 2010	100
Financial Aid (All Components)	900
Follow-up Reviews	500
Foundations	150
Special Projects/Police Investigations	1,600
State Auditor's Office Liaison	
Audit Assistance - General	100
Follow-up Reports	100
<u>ALL COMPONENTS (2,200 hours)</u>	
Cash Handling	200
Executive and Foreign Travel	500
Financial Reporting	500
Student Accounting & Receivables	1,000
<u>COMPONENT SPECIFIC (800 hours)</u>	
ARP Grants, 2007 Awards (UH & UHD)	200
Center for Advanced Materials (UH)	300
Texas Center for Superconductivity and Advanced Materials (UH)	300
<u>DEPARTMENTAL REVIEWS (3,100 hours)</u>	
UH Architecture	100
UH Athletics	100
UH Chancellor/President	100
UH Hotel & Restaurant Management	500
UH Optometry	450
UH Student Affairs	700
UHCL Administration & Finance	400
UHCL Human Sciences & Humanities	100
UHD Sciences & Technology - Engineering Technology, Follow-up	100
UHV Administration & Finance	250
UHV Provost Office	300
<u>INFORMATION TECHNOLOGY (1,200 hours)</u>	
IT - Review and Monitor of IT Systems	700
(PeopleSoft Student & Academic Administration - Post Implementation Review)	
Information Security (UH)	500
<u>INITIATED DURING FY 2009 - TO BE COMPLETED/REPORTED IN FY 2010</u>	1,646
Total Hours Scheduled for Fiscal Year 2010	<u>13,546</u>

**UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2010-2012
FY 2009 AUDITS IN PROGRESS AT YEAR-END**

<u>AUDIT ACTIVITY</u>	<u>Budget Hours</u>
<u>Projects Initiated During FY 2009, But Not Completed</u>	1,646
ARP Grants - 2006 Awards (UH/UHCL)	
Board of Regents Travel, FY 2009	
Chancellor/President's Travel, FY 2009	
Foundations	
Information Security - TAC 202 (UH/UHCL)	
Medical Billings	
Payroll - Leave Accountability	
Privacy Issues	
Scholarships (UH)	
UH CLASS, Departmental Reviews	
UH Law, Departmental Reviews	
UH Honors, Departmental Review	
UHCL Science and Computer Engineering, Departmental Review	
UHCL Business, Departmental Review	

**UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2010-2012
SCHEDULED AUDITS - FY 2011**

<u>AUDIT ACTIVITY</u>	<u>BUDGET HOURS</u>
<u>ANNUAL AUDIT ACTIVITY (4,600 hours)</u>	
Annual External Audits	
Endowments	300
Liaison	100
Athletics - Football Attendance Audit	100
Athletics - NCAA Rules-Compliance	400
Board of Regents Travel, FY 2011	250
Chancellor/President's Travel, FY 2011	100
Financial Aid (All Components)	900
Follow-up Reviews	500
Foundations	150
Special Projects/Police Investigations	1,600
State Auditor's Office Liaison	
Audit Assistance - General	100
Follow-up Reports	100
<u>ALL COMPONENTS (1,400 hours)</u>	
Cash Handling	200
Physical Plant	1,200
<u>COMPONENT SPECIFIC (1,900 hours)</u>	
Formula Funding (UHD & UHV)	500
Internal Auditing Internal Quality Assurance Review	100
Internal Auditing External Quality Assurance Review	100
Office of Sponsored Programs (UHD)	300
Environmental Institute of Houston (UHCL/UH)	300
Texas Institute for Measurement, Evaluation, and Statistics (UH)	300
Texas Learning & Computation Ctr. (UH)	300
<u>DEPARTMENTAL REVIEWS (2,400)</u>	
UH Academic Affairs/Provost Division	600
UH Education	400
UH Graduate School of Social Work	100
UHCL Provost Office	600
UHD Academic Affairs & Provost	300
UHD University College	300
UHV Business Administration	100
<u>INFORMATION TECHNOLOGY (1,900 hours)</u>	
IT - Review and Monitor of IT Systems	500
Desktop Computing Support, User Support Services, Training, Computer Store (UH)	400
Web Support Services (UH)	300
TAC 202 (UHCL, UHD, UHV)	700
<u>INITIATED DURING FY 2010 - TO BE COMPLETED/REPORTED IN FY 2011</u>	1,346
Total Hours Scheduled for Fiscal Year 2011	<u>13,546</u>

**UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2010-2012
SCHEDULED AUDITS - FY 2012**

<u>AUDIT ACTIVITY</u>	<u>BUDGET HOURS</u>
<u>ANNUAL AUDIT ACTIVITY (4,300 hours)</u>	
Annual External Audits	
Endowments	300
Liaison	100
Athletics - Football Attendance Audit	100
Board of Regents Travel, FY 2012	250
Chancellor/President's Travel, FY 2012	100
Financial Aid (All Components)	900
Follow-up Reviews	500
Foundations	150
Special Projects/Police Investigations	1,600
State Auditor's Office Liaison	
Regional Accrediation Review - SACS (UHCL)	100
Audit Assistance - General	100
Follow-up Reports	100
<u>ALL COMPONENTS (2,200 hours)</u>	
Accounts Payable	1,000
Cash Handling	200
General Accounting	1,000
<u>COMPONENT SPECIFIC (900 hours)</u>	
Auxillary Contracts (UH)	300
Center for Materials Chemistry (UH)	300
Institute for Space System Operations (UH/UHCL)	300
<u>DEPARTMENTAL REVIEWS (2,350 hours)</u>	
UH Graduate & Professional Studies	100
UH Research	500
UH University Advancement	300
UHCL Education	100
UHCL President's Office	200
UHD Administration & Finance	300
UHD Employment Services & Operations	100
UHD President's Office	200
UHD Student Services & Enrollment Management	100
UHV Arts & Sciences	100
UHV Education	100
UHV Nursing	100
UHV President's Office	150
<u>INFORMATION TECHNOLOGY (1,900 hours)</u>	
IT - Review and Monitor of IT Systems	500
Enterprise Information Systems (UH)	600
Instructional Technology, Multimedia Services, Student Computing (UH)	400
TAC 202 (UH)	400
<u>INITIATED DURING FY 2011 - TO BE COMPLETED/REPORTED IN FY 2012</u>	1,896
Total Hours Scheduled for Fiscal Year 2012	<u>13,546</u>