

H0537 - Student Life  
 Baseline Standards  
 FY 2017

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
<b>DEPARTMENTAL POLICIES &amp; PROCEDURES / BASELINE STANDARDS</b>			
1	Ensuring the Departmental Policy and Procedures manual is current.	Gil Lizalde / DBA	Linda Garza / Director, Business Services
2	Updating the Baseline Standards Form.	Gil Lizalde / DBA	Linda Garza / Director, Business Services
<b>FINANCIAL REPORTING - COST CENTER VERIFICATIONS</b>			
1	Preparing cost center verifications.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II	
2	Reviewing cost center verifications.	Gil Lizalde / DBA	Linda Garza / Director, Business Services
3	Approving cost center verifications.	Designated Cost Center Managers	
4	Ensuring all cost centers are verified/approved on a timely basis.	Gil Lizalde / DBA	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II
<b>FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS</b>			
1	Ensuring valid authorization of purchase documents.	Designated Cost Center Managers	Gil Lizalde/DBA
2	Ensuring the validity of travel and expense reimbursements.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II, Jackie Burkes/Fin Coord II, Vicky Henderson/Fin Coord II, Celeste Fuentes/Fin Asst. II, Dee Ann Canfield/Office Coord.	Gil Lizalde/DBA
3	Ensuring that goods and services are received and that timely payment is made.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II, Jackie Burkes/Fin Coord II, Vicky Henderson/Fin Coord II, Celeste Fuentes/Fin Asst. II, Dee Ann Canfield/Office Coord.	Gil Lizalde/DBA
4	Ensuring correct account coding on purchases documents.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II, Jackie Burkes/Fin Coord II, Vicky Henderson/Fin Coord II, Celeste Fuentes/Fin Asst. II, Dee Ann Canfield/Office Coord.	Gil Lizalde/DBA
5	Primary contact for inquiries to expenditure transactions.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II, Jackie Burkes/Fin Coord II, Vicky Henderson/Fin Coord II, Celeste Fuentes/Fin Asst. II	Gil Lizalde/DBA
<b>PAYROLL / HUMAN RESOURCES</b>			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
4	Completing termination clearance procedures.	Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
6	Maintaining departmental Personnel files.	Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
7	Ensuring valid authorization of new hires.	Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
8	Ensuring valid authorization of changes in compensation rates.	Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA

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9	Ensuring the accurate input of changes to the HR System.	Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
10	Consistent and efficient responses to inquiries.	Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
CASH HANDLING			
1	Collecting cash, checks, etc.	T. Mohwinkel/Spv. Games Room, Alicia Garcia-Valero/Coordinator, Cathy Mahaffey-Cozine/Reservationists, Chris Herrin/ Financial Cord. II, Candy Littleton/Financial Coord II, Vicky Henderson/Financial Coord II, Angela Allen/Exec. Sec., Shenae Champ/Office Coord., Zuleyma Garcia/Office Coord., Michele Tyree/Office Coord , Leona Davis/Office Coord and various student Assts	Gil Lizalde/DBA
2	Reconciling cash, checks, etc. to receipts.	T. Mohwinkel/Spv. Games Room, Alicia Garcia-Valero/Coordinator, Cathy Mahaffey-Cozine/Reservationists, Chris Herrin/ Financial Cord. II, Candy Littleton/Financial Coord II, Vicky Henderson/Financial Coord II, Angela Allen/Exec. Sec., Shenae Champ/Office Coord., Zuleyma Garcia/Office Coord., Michele Tyree/Office Coord , Leona Davis/Office Coord and various student Assts	Gil Lizalde/DBA
3	Preparing deposits.	T. Mohwinkel/Spv. Games Room, Alicia Garcia-Valero/Coordinator, Cathy Mahaffey-Cozine/Reservationists, Chris Herrin/ Financial Cord. II, Candy Littleton/Financial Coord II, Vicky Henderson/Financial Coord II, Angela Allen/Exec. Sec., Shenae Champ/Office Coord., Zuleyma Garcia/Office Coord., Michele Tyree/Office Coord , Leona Davis/Office Coord and various student Assts	Gil Lizalde/DBA
4	Preparing Journal Entries.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II	Gil Lizalde/DBA
5	Verifying deposits posted correctly in the Finance System.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II	Gil Lizalde/DBA
6	Adequacy of physical safeguards of cash receipts and equivalent.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II	Gil Lizalde/DBA
7	Secure deposits via UHDPS to Student Financial Services.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II	Gil Lizalde/DBA
8	Ensuring deposits are made timely.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II	Gil Lizalde/DBA
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II	Department supervisors and Gil Lizalde/DBA
10	Updating Cash Handling Procedures as needed.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II	Change fund custodians

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11	Distribution of Cash Handling Procedures to employees who handle cash.	Department Supervisors and/or Managers of Cash Handling	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II
12	Consistent and efficient responses to inquiries.	All members of the business services team.	Gil Lizalde/DBA
<b>PETTY CASH</b>			
1	Preparing petty cash disbursements.	N/A	
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4	Approving petty cash disbursements.	N/A	
5	Replenishing the petty cash fund timely.	N/A	
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	
<b>CONTRACT ADMINISTRATION</b>			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Keith T. Kowalka / Assistant VP of Student Affairs - Student Life	Gil Lizalde/DBA and the Business Services contracts team
<b>PROPERTY MANAGEMENT</b>			
1	Performing the annual inventory.	DSAES IT and Designated Department Property Custodian	
2	Ensuring the annual inventory was completed correctly.	DSAES IT and Designated Department Property Custodian	
3	Tagging equipment.	DSAES IT and Designated Department Property Custodian	
4	Approving requests for removal of equipment from campus.	Devi Bala / Executive Director, Assistant VP Business Services	
<b>DISCLOSURE FORMS</b>			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Keith T. Kowalka / Assistant VP of Student Affairs - Student Life, Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Keith T. Kowalka / Assistant VP of Student Affairs - Student Life, Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	Keith T. Kowalka / Assistant VP of Student Affairs - Student Life, Britney Hart/HR/Payroll Coord I, Vicky Henderson/Fin Coord II	Gil Lizalde/DBA
<b>ACCOUNTS RECEIVABLE</b>			
1	Extending of credit.	N/A	
2	Billing.	N/A	
3	Collection.	N/A	
4	Recording.	N/A	
5	Monitoring credit extended.	N/A	
6	Approving write-offs.	N/A	
<b>NEGATIVE BALANCES</b>			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II	Gil Lizalde/DBA

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2	Ensuring that research expenditures are covered by funds from sponsors.	Chris Herrin/Fin Coord II, Candy Littleton/Fin Coord II	Gil Lizalde/DBA
<b>DEPARTMENTAL COMPUTING</b>			
1	Management of the departments' information technology resources.	DSAES IT	UIT
2	Ensuring that critical data back up occurs.	DSAES IT	UIT
3	Ensuring that procedures such as password controls are followed.	DSAES IT	UIT
4	Reporting of suspected security violations.	All staff members	DSAES IT / UIT
<b>FOR DEPARTMENT LISTED BELOW:</b>			
<b>H0224</b>	<b>Center for Student Involvement</b>	<b>Chris Herrin / Candy Littleton</b>	<b>Gil Lizalde/DBA</b>
<b>H0226</b>	<b>Center for Student Media</b>	<b>Candy Littleton/Chris Herrin</b>	<b>Gil Lizalde/DBA</b>
<b>H0227</b>	<b>Student Centers</b>	<b>Chris Herrin / Candy Littleton</b>	<b>Gil Lizalde/DBA</b>
<b>H0229</b>	<b>Childrens' Learning Centers</b>	<b>Chris Herrin / Candy Littleton</b>	<b>Gil Lizalde/DBA</b>
<b>H0232</b>	<b>A.D. Bruce Religion Center</b>	<b>Candy Littleton/Chris Herrin</b>	<b>Gil Lizalde/DBA</b>
<b>H0553</b>	<b>Center for Fraternity &amp; Sorority Life</b>	<b>Chris Herrin / Candy Littleton</b>	<b>Gil Lizalde/DBA</b>
<b>H0616</b>	<b>DSAES IT</b>	<b>Chris Herrin / Candy Littleton</b>	<b>Gil Lizalde/DBA</b>
<b>H0677</b>	<b>LGBTQ Resource Center</b>	<b>Candy Littleton/Chris Herrin</b>	<b>Gil Lizalde/DBA</b>
<b>H0573</b>	<b>Center for Diversity &amp; Inclusion</b>	<b>Candy Littleton/Chris Herrin</b>	<b>Gil Lizalde/DBA</b>