

Urban Experience Program  
Baseline Standards  
FY 2013

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
<b>DEPARTMENTAL POLICIES &amp; PROCEDURES / BASELINE STANDARDS</b>			
1	Ensuring the Departmental Policy and Procedures manual is current.	Robbie Evans-Director	Kim Barrow-Dept Bus Admin
2	Updating the Baseline Standards Form.	Kim Barrow-Dept Bus Admin	
<b>FINANCIAL REPORTING - COST CENTER VERIFICATIONS</b>			
1	Preparing cost center verifications.	Kim Barrow-Dept Bus Admin	Linda Garza-Dir Bus Svcs
2	Reviewing cost center verifications.	Robbie Evans-Director	Kim Barrow-Dept Bus Admin
3	Approving cost center verifications.	Linda Garza-Dir Bus Svcs	
4	Ensuring all cost centers are verified/approved on a timely basis.	Kim Barrow-Dept Bus Admin	Linda Garza-Dir Bus Svcs
<b>FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS</b>			
1	Ensuring valid authorization of purchase documents.	Kim Barrow-Dept Bus Admin	Linda Garza-Dir Bus Svcs
2	Ensuring the validity of travel and expense reimbursements.	Kim Barrow-Dept Bus Admin	Linda Garza-Dir Bus Svcs
3	Ensuring that goods and services are received and that timely payment is made.	Kim Barrow-Dept Bus Admin	Linda Garza-Dir Bus Svcs
4	Ensuring correct account coding on purchases documents.	Kim Barrow-Dept Bus Admin	Linda Garza-Dir Bus Svcs
5	Primary contact for inquiries to expenditure transactions.	Kim Barrow-Dept Bus Admin	
<b>PAYROLL / HUMAN RESOURCES</b>			
1	Reconciling approved bi-weekly leave requests to time and effort reports.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
2	Reconciling bi-weekly leave accruals to the HR System.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
3	Ensuring all bi-weekly time and effort reports are submitted to Payroll.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
4	Ensuring all monthly leave is recorded and approved in the HR System.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
5	Reconciling time and effort reports (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
6	Completing termination clearance procedures.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
7	Ensuring terminated employees are no longer charged to departmental cost centers.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
8	Paycheck distribution.	UH Treasury	
9	Maintaining departmental Personnel files.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
10	Ensuring valid authorization of new hires.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
11	Ensuring valid authorization of changes in compensation rates.	Kim Barrow-Dept Bus Admin	Robbie Evans-Director
12	Ensuring the accurate input of changes to the HR System.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
13	Propriety of leave account classification on time records.	Kim Barrow-Dept Bus Admin	Nancy Palomo-Asst Bus Admin
14	Consistent and efficient responses to inquiries.	Kim Barrow-Dept Bus Admin	
<b>CASH HANDLING</b>			

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1	Collecting cash, checks, etc.	Robbie Evans-Director	Kim Barrow-Dept Bus Admin
2	Reconciling cash, checks, etc. to receipts.	Kim Barrow-Dept Bus Admin	
3	Preparing deposits.	Kim Barrow-Dept Bus Admin	
4	Preparing Journal Entries.	Kim Barrow-Dept Bus Admin	
5	Verifying deposits posted correctly in the Finance System.	Kim Barrow-Dept Bus Admin	
6	Adequacy of physical safeguards.	Kim Barrow-Dept Bus Admin	
7	Transporting deposits to Student Financial Services.	Kim Barrow-Dept Bus Admin	
8	Ensuring deposits are made timely.	Kim Barrow-Dept Bus Admin	
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Kim Barrow-Dept Bus Admin	
10	Updating Cash Handling Procedures as needed.	Kim Barrow-Dept Bus Admin	
11	Distribution of Cash Handling Procedures to employees who handle cash.	Kim Barrow-Dept Bus Admin	
12	Consistent and efficient responses to inquiries.	Kim Barrow-Dept Bus Admin	
PETTY CASH			
1	Preparing petty cash disbursements.	NA	
2	Ensuring petty cash disbursements are not for more than \$100.	NA	
3	Ensuring petty cash disbursements are made for only authorized purposes.	NA	
4	Approving petty cash disbursements.	NA	
5	Replenishing the petty cash fund timely.	NA	
6	Ensuring the petty cash fund is balanced after each disbursement.	NA	
LONG DISTANCE CHARGES			
1	Manager review of long distance charges for unusual activity.	Kim Barrow-Dept Bus Admin	
2	Ensuring personal calls are reimbursed within 10 days from the billing date.	Kim Barrow-Dept Bus Admin	
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Kim Barrow-Dept Bus Admin	Linda Garza-Director
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Kim Barrow-Dept Bus Admin	Robbie Evans-Director
2	Ensuring the annual inventory was completed correctly.	Kim Barrow-Dept Bus Admin	Robbie Evans-Director
3	Tagging equipment.	Kim Barrow-Dept Bus Admin	Robbie Evans-Director
4	Approving requests for removal of equipment from campus.	Kim Barrow-Dept Bus Admin	Dan Maxwell--Assoc. VC/VP for Student Affairs
DISCLOSURE FORMS			

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1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Kim Barrow-Dept Bus Admin	
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Kim Barrow-Dept Bus Admin	
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	NA	
ACCOUNTS RECEIVABLE			
1	Extending of credit.	NA	
2	Billing.	NA	
3	Collection.	NA	
4	Recording.	NA	
5	Monitoring credit extended.	NA	
6	Approving write-offs.	NA	
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Kim Barrow-Dept Bus Admin	Robbie Evans-Director
2	Ensuring that research expenditures are covered by funds from sponsors.	NA	
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Sam Nguyen-Lan Admin	
2	Ensuring that critical data back up occurs.	Sam Nguyen-Lan Admin	
3	Ensuring that procedures such as password controls are followed.	Sam Nguyen-Lan Admin	
4	Reporting of suspected security violations.	All Staff.	