

GCSW Dean's Office
Baseline Standards Form
FY 2013

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Marsha Christ, College Business Administrator	
2	Updating the Baseline Standards Form.	Marsha Christ, CBA	
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
2	Reviewing cost center verifications.	Luis Torres, Faculty; Danielle Parrish, Faculty; Suzanne Pritker, Faculty; Monit Cheung, Faculty; McClain Sampson, Faculty; Dennis Kao, Faculty; Paul Raffoul, Assoc Dean of Admin; Ira Colby, Dean	
3	Approving cost center verifications.	Ira Colby, Dean	
4	Ensuring all cost centers are verified/approved on a timely basis.	Marsha Christ, CBA	Ira Colby, Dean
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
2	Ensuring the validity of travel and expense reimbursements.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
3	Ensuring that goods and services are received and that timely payment is made.	Yolanda Williams, Asst College Business Administrator; Sheila Lindsay, Secretary 2	Marsha Christ, CBA
4	Ensuring correct account coding on purchases documents.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
5	Primary contact for inquiries to expenditure transactions.	Yolanda Williams, Asst College Business Administrator; Sheila Lindsay, Secretary 2	Marsha Christ, CBA
PAYROLL / HUMAN RESOURCES			
1	Reconciling leave records to time and effort reports.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
2	Reconciling leave accruals to the payroll system.	Yolanda Williams, Asst College Business Administrator	Sheila Lindsay, Secretary 2
3	Ensuring all time and effort reports are submitted to Payroll.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
4	Reconciling time and effort reports to check registers.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
5	Completing termination clearance procedures.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
6	Ensuring terminated employees are no longer charged to departmental cost centers.	Marsha Christ, CBA	
7	Paycheck distribution.	Yolanda Williams, Asst College Business Administrator	
8	Maintaining departmental personnel files	Yolanda Williams, Asst College Business Administrator	Sheila Lindsay, Secretary 2
9	Ensuring valid authorization of new hires.	Marsha Christ, CBA	
10	Ensuring valid authorization of changes in compensation rates.	Marsha Christ, CBA	
11	Ensuring the accurate input of changes to the payroll system.	Marsha Christ, CBA	
12	Propriety of leave account classification on time records.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
13	Consistent and efficient responses to inquiries.	Marsha Christ, CBA	

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CASH HANDLING			
1	Collecting cash, checks, etc.	Sonia Ewing, Administrative Assistant	Connie Loyd, Director of Development
2	Reconciling cash, checks, etc. to receipts.	Sheila Lindsay, Secretary 2	Yolanda Williams, Asst College Business Administrator
3	Preparing deposits.	Sheila Lindsay, Secretary 2	Yolanda Williams, Asst College Business Administrator
4	Preparing Journal Entries.	Sheila Lindsay, Secretary 2	Yolanda Williams, Asst College Business Administrator
5	Verifying deposits to the financial system.	Marsha Christ, CBA	
6	Adequacy of physical safeguards.	Marsha Christ, CBA	
7	Transporting deposits to Student Financial Services.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
8	Ensuring deposits are made timely.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
9	Ensuring "Acknowledgement of Cash Handling Duties" form is completed by all appropriate employees.	Marsha Christ, CBA	
10	Updating Cash Handling Procedures as needed.	Marsha Christ, CBA	
11	Consistent and efficient responses to inquiries.	Marsha Christ, CBA	
PETTY CASH			
1	Preparing petty cash disbursements.	N/A	
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4	Approving petty cash disbursements.	N/A	
5	Replenishing the petty cash fund timely.	N/A	
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	
LONG DISTANCE / CELL PHONE CHARGES			
1	Forwarding employees long distance and cell phone charge reports.	Yolanda Williams, Asst College Business Administrator	
2	Ensuring employees review their long distance and/or cell phone charge reports.	Yolanda Williams, Asst College Business Administrator	
3	Ensuring personal calls are reimbursed within 10 days from the billing date.	Yolanda Williams, Asst College Business Administrator	Marsha Christ, CBA
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	David Nguyen, User Services Specialist 2	Paul Raffoul, Associate Dean of Administration
2	Ensuring the annual inventory was completed correctly.	Paul Raffoul, Associate Dean of Administration	
3	Tagging equipment.	David Nguyen, User Services Specialist 2	Paul Raffoul, Associate Dean of Administration
4	Approving requests for removal of equipment from campus.	Paul Raffoul, Associate Dean of Administration	David Nguyen, User Services Specialist 2
DISCLOSURE FORMS			

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1	Ensuring all employees with purchasing influence complete a Related Party Disclosure Statement.	Marsha Christ, CBA	
2	Ensuring all full time, benefits eligible, exempt staff complete a Consulting Disclosure Statement.	Marsha Christ, CBA	
3	Ensuring that all Principal and Co-Principal Investigators complete a Conflict of Interest Disclosure Statement.	Lisa Martinez, Research Administrator	Marsha Christ, CBA
ACCOUNTS RECEIVABLE			
1	Extending of credit.	Marsha Christ, CBA	
2	Billing.	Marsha Christ, CBA	
3	Collection.	Marsha Christ, CBA	
4	Recording.	Marsha Christ, CBA	
5	Monitoring credit extended.	Marsha Christ, CBA	
6	Approving write-offs.	Ira Colby, Dean	Marsha Christ, CBA
NEGATIVE BALANCES			
1	Ensuring that all fund groups have positive fund equity at year-end.	Marsha Christ, CBA	
2	Ensuring that research expenditures are covered by funds from sponsors.	Marsha Christ, CBA	
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Paul Raffoul, Associate Dean for Administration	Ira Colby, Dean
2	Ensuring that critical data back up occurs.	David Nguyen, User Services Specialist 2	Paul Raffoul, Associate Dean for Administration
3	Ensuring that procedures such as password controls are followed.	David Nguyen, User Services Specialist 2	Paul Raffoul, Associate Dean for Administration
4	Reporting of suspected security violations.	David Nguyen, User Services Specialist 2	Paul Raffoul, Associate Dean for Administration