

UH M.D. Anderson Library Administration
Baseline Standards
FY 2017

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Mark Cooper - CBDA	
2	Updating the Baseline Standards Form.	Mark Cooper - CBDA	
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Pam Allen - Financial Analyst 2	
2	Reviewing cost center verifications.	Mark Cooper - CBDA	
3	Approving cost center verifications.	Mark Cooper - CBDA	
4	Ensuring all cost centers are verified/approved on a timely basis.	Mark Cooper - CBDA	
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Marissa Aiello - Financial Assistant	
2	Ensuring the validity of travel and expense reimbursements.	Cindy Barringer - Administrative Assistant	Mark Cooper - CBDA
3	Ensuring that goods and services are received and that timely payment is made.	Marissa Aiello - Financial Assistant	
4	Ensuring correct account coding on purchases documents.	Marissa Aiello - Financial Assistant	Mark Cooper - CBDA
5	Primary contact for inquiries to expenditure transactions.	Marissa Aiello - Financial Assistant	
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Shanequea White - Department Business Administrator	Mark Cooper - CBDA
2	Ensuring all monthly leave is recorded accurately and approved before the deadlines set by Payroll.	Shanequea White - Department Business Administrator	Mark Cooper - CBDA
3	Ensuring all TRAM high level exceptions are corrected in a timely manner prior to Payroll deadlines.	Shanequea White - Department Business Administrator	Mark Cooper - CBDA
4	Ensuring all Time Reporters (new employees and transfers) are assigned to Time Approvers in TRAM.	Shanequea White - Department Business Administrator	Mark Cooper - CBDA
5	Reconciling approved reported time and leave (bi-weekly & monthly employees) and ePARs (biweekly & monthly employees) to the trial and final payroll verification reports and off-cycle payrolls.	Shanequea White - Department Business Administrator	
6	Completing termination ePAR's within 24 hours of termination and completing the termination clearance form.	Shanequea White - Department Business Administrator	
7	Ensuring terminated employees are no longer charged to departmental cost centers.	Shanequea White - Department Business Administrator	
8	Maintaining departmental personnel files.	Shanequea White - Department Business Administrator	
9	Consistent and efficient responses to inquiries.	Shanequea White - Department Business Administrator	
10	Hire ePAR's should be processed at least 1 week prior to start date.	Shanequea White - Department Business Administrator	
11	Ensure all security access requests and training courses are completed 48 hours prior to necessary access changes.	Shanequea White - Department Business Administrator	

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CASH HANDLING		
1 Collecting cash, checks, etc.	Mark Cooper - CBDA	Free Lane - Secretary 2/Susan Ryan
2 Reconciling cash, checks, etc. to receipts.	Susan Ryan/Chris Galloway	Pam Allen - Financial Analyst 2
3 Preparing deposits.	Susan Ryan/Chris Galloway	Pam Allen - Financial Analyst 2
4 Preparing Journal Entries.	Susan Ryan/Chris Galloway	Marissa Aiello - Financial Assistant/Pam Allen - Financial Analyst 2
5 Verifying deposits posted correctly in the Finance System.	Mark Cooper - CBDA	
6 Adequacy of physical safeguards of cash receipts and equivalent.	Mark Cooper - CBDA	
7 Secure deposits via UHDPS to Student Financial Services.	UH Police Department	
8 Ensuring deposits are made timely.	Susan Ryan/Chris Galloway	Pam Allen - Financial Analyst 2
9 Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Mark Cooper - CBDA	
10 Updating Cash Handling Procedures as needed.	Mark Cooper - CBDA	
11 Distribution of Cash Handling Procedures to employees who handle cash.	Mark Cooper - CBDA	
12 Consistent and efficient responses to inquiries.	Susan Ryan/Chris Galloway	Pam Allen - Financial Analyst 2
PETTY CASH		
1 Preparing petty cash disbursements.	N/A	N/A
2 Ensuring petty cash disbursements are not for more than \$100.	N/A	N/A
3 Ensuring petty cash disbursements are made for only authorized purposes.	N/A	N/A
4 Approving petty cash disbursements.	N/A	N/A
5 Replenishing the petty cash fund timely.	N/A	N/A
6 Ensuring the petty cash fund is balanced after each disbursement.	N/A	N/A
CONTRACT ADMINISTRATION		
1 Ensuring departmental personnel comply with contract administration policies/procedures.	Mark Cooper - CBDA	
PROPERTY MANAGEMENT		
1 Performing the annual inventory.	Susan Houston - Building Maintenance Manager	
2 Ensuring the annual inventory was completed correctly.	Susan Houston - Building Maintenance Manager	Mark Cooper - CBDA
3 Tagging equipment.	Susan Houston - Building Maintenance Manager	
4 Approving requests for removal of equipment from campus.	Mark Cooper - CBDA	Rob Spragg - Associate Librarian/Networking Manager
DISCLOSURE FORMS		
1 Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Shanequea White - Department Business Administrator	Mark Cooper - CBDA
2 Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Shanequea White - Department Business Administrator	Mark Cooper - CBDA
3 Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	N/A	N/A

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ACCOUNTS RECEIVABLE			
1	Extending of credit.	N/A	N/A
2	Billing.	N/A	N/A
3	Collection.	N/A	N/A
4	Recording.	N/A	N/A
5	Monitoring credit extended.	N/A	N/A
6	Approving write-offs.	N/A	N/A
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Mark Cooper - CBDA	
2	Ensuring that research expenditures are covered by funds from sponsors.	N/A	N/A
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Rob Spragg - Associate Librarian/Networking Manager	
2	Ensuring that critical data back up occurs.	Rob Spragg - Associate Librarian/Networking Manager	
3	Ensuring that procedures such as password controls are followed.	Rob Spragg - Associate Librarian/Networking Manager	
4	Reporting of suspected security violations.	Rob Spragg - Associate Librarian/Networking Manager	