

Charter School H0441
Baseline Standards
FY 2019

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Paul Roch, Director, Business Operations	use Business Managers Survival Guide ; MAPP; supplemented as
2	Updating the Baseline Standards Form.	Paul Roch, Director, Business Operations	
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Aimee Young, Financial Coordinator II	
2	Reviewing cost center verifications.	Miranda Jasso, Department Business Administrator	
3	Approving cost center verifications.	Paul Roch, Director of Business Operations (As Delegate)	
4	Ensuring all cost centers are verified/approved on a timely basis.	Paul Roch, Director of Business Operations (As Delegate)	
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Patricia Paquin, Principal/Superintendent	
2	Ensuring the validity of travel and expense reimbursements.		
3	Ensuring that goods and services are received and that timely payment is made.	Ethel Monroy, School Administrator	
4	Ensuring correct account coding on purchases documents.	Miranda Jasso, Department Business Administrator	
5	Primary contact for inquiries to expenditure transactions.	Miranda Jasso, Department Business Administrator	
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Aimee Young, Financial Coordinator II	
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Aimee Young, Financial Coordinator II	
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Aimee Young, Financial Coordinator II	
4	Completing termination clearance procedures.	Miranda Jasso, Department Business Administrator	
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Miranda Jasso, Department Business Administrator	
6	Maintaining departmental Personnel files.	Miranda Jasso, Department Business Administrator	
7	Ensuring valid authorization of new hires.	Miranda Jasso, Department Business Administrator	
8	Ensuring valid authorization of changes in compensation rates.	Miranda Jasso, Department Business Administrator	
9	Ensuring the accurate input of changes to the HR System.	Miranda Jasso, Department Business Administrator	
10	Consistent and efficient responses to inquiries.	Paul Roch, Director of Business Operations	

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CASH HANDLING			
1	Collecting cash, checks, etc.	Jessica Aguilar, Program Coordinator 1	
2	Reconciling cash, checks, etc. to receipts.	Ethel Monroy, School Administrator	
3	Preparing deposits.	Ethel Monroy, School Administrator	
4	Preparing Journal Entries.	Ethel Monroy, School Administrator	
5	Verifying deposits posted correctly in the Finance System.	Miranda Jasso, Department Business Administrator	
6	Adequacy of physical safeguards of cash receipts and equivalent.	Patricia Paquin, Principal/Superintendent	Paul Roch, Director of Business Operations
7	Secure deposits via UHDPS to Student Financial Services.	Ethel Monroy, School Administrator	
8	Ensuring deposits are made timely.	Patricia Paquin, Principal/Superintendent	Paul Roch, Director of Business Operations
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Paul Roch, Director of Business Operations	
10	Updating Cash Handling Procedures as needed.	Paul Roch, Director of Business Operations	
11	Distribution of Cash Handling Procedures to employees who handle cash.	Paul Roch, Director of Business Operations	
12	Consistent and efficient responses to inquiries.	Paul Roch, Director of Business Operations	
PETTY CASH			
1	Preparing petty cash disbursements.	NA	
2	Ensuring petty cash disbursements are not for more than \$100.	NA	
3	Ensuring petty cash disbursements are made for only authorized purposes.	NA	
4	Approving petty cash disbursements.	NA	
5	Replenishing the petty cash fund timely.	NA	
6	Ensuring the petty cash fund is balanced after each disbursement.	NA	
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Paul Roch, Director, Business Operations	
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Daniel Pineda, Mgr, Coll/Div Info Svcs 1	
2	Ensuring the annual inventory was completed correctly.	Timothy Rosas, Mgr, Coll/Div Info Svcs 2	
3	Tagging equipment.	Daniel Pineda, Mgr, Coll/Div Info Svcs 1	
4	Approving requests for removal of equipment from campus.	Timothy Rosas, Mgr, Coll/Div Info Svcs 2	
DISCLOSURE FORMS			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Paul Roch, Director, Business Operations	
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Paul Roch, Director, Business Operations	
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	Lori Armstrong, College Research Liaison Officer	

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ACCOUNTS RECEIVABLE			
1	Extending of credit.	NA	
2	Billing.	NA	
3	Collection.	NA	
4	Recording.	NA	
5	Monitoring credit extended.	NA	
6	Approving write-offs.	NA	
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Paul Roch, Director, Business Operations	
2	Ensuring that research expenditures are covered by funds from sponsors.	Lena Mitchell, Research Administrator	
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Timothy Rosas, Mgr, Coll/Div Info Svcs 2	
2	Ensuring that critical data back up occurs.	Timothy Rosas, Mgr, Coll/Div Info Svcs 2	
3	Ensuring that procedures such as password controls are followed.	UH Systems	
4	Reporting of suspected security violations.	Timothy Rosas, Mgr, Coll/Div Info Svcs 2	