

Treasury - S0015 H0166  
Baseline Standards  
FY 2019

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
<b>DEPARTMENTAL POLICIES &amp; PROCEDURES / BASELINE STANDARDS</b>			
1	Ensuring the Departmental Policy and Procedures manual is current.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
2	Updating the Baseline Standards Form.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
<b>FINANCIAL REPORTING - COST CENTER VERIFICATIONS</b>			
1	Preparing cost center verifications.	Fred Burnett - Manager, Treasury Operations	Juanita Diaz - Treasury Analyst
2	Reviewing cost center verifications.	Fred Burnett - Manager, Treasury Operations	Dan Corcoran - Ass't. Treasurer
3	Approving cost center verifications.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
4	Ensuring all cost centers are verified/approved on a timely basis.	Fred Burnett - Manager, Treasury Operations	Dan Corcoran - Ass't. Treasurer
<b>FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS</b>			
1	Ensuring valid authorization of purchase documents.	Dan Corcoran - Ass't. Treasurer	Fred Burnett - Manager, Treasury Operations
2	Ensuring the validity of travel and expense reimbursements.	Dan Corcoran - Ass't. Treasurer	Roberta Puryear - Treasurer
3	Ensuring that goods and services are received and that timely payment is made.	Sheryl Smith - Manager, Cash & Bank Admin.	Fred Burnett - Manager, Treasury Operations
4	Ensuring correct account coding on purchases documents.	Dan Corcoran - Ass't. Treasurer	Fred Burnett - Manager, Treasury Operations
5	Primary contact for inquiries to expenditure transactions.	Dan Corcoran - Ass't. Treasurer	Fred Burnett - Manager, Treasury Operations
<b>PAYROLL / HUMAN RESOURCES</b>			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Val Hines, Asst Business Services	Diane Sylvester, Asst. Business Admin.
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Val Hines, Asst Business Services	Diane Sylvester, Asst. Business Admin.
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Val Hines, Asst Business Services	Diane Sylvester, Asst. Business Admin.
4	Completing termination clearance procedures.	Val Hines, Asst Business Services	Dan Corcoran - Ass't. Treasurer / Diane Sylvester, Asst. Business
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Roberta Puryear - Treasurer/	Fred Burnett - Manager, Treasury Operations / Diane Sylvester, Asst.
6	Maintaining departmental Personnel files.	Dan Corcoran - Ass't. Treasurer	Roberta Puryear - Treasurer /Diane Sylvester, Asst. Business Admin.
7	Ensuring valid authorization of new hires.	Roberta Puryear - Treasurer / Val Hines, Asst Business Services	Dan Corcoran - Ass't. Treasurer / Diane Sylvester, Asst. Business
8	Ensuring valid authorization of changes in compensation rates.	Roberta Puryear - Treasurer / Val Hines, Asst Business Services	Dan Corcoran - Ass't. Treasurer / Diane Sylvester, Asst. Business
9	Ensuring the accurate input of changes to the HR System.	Val Hines, Asst Business Services	Dan Corcoran - Ass't. Treasurer / Diane Sylvester, Asst. Business

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10 Consistent and efficient responses to inquiries.	Dan Corcoran - Ass't. Treasurer /Val Hines, Asst Business	Roberta Puryear - Treasurer / Diane Sylvester, Asst. Business Admin.
<b>CASH HANDLING</b>		
1 Collecting cash, checks, etc.	Atiner Stills - Senior Cashier	Stephanye Bradley - Treasury Analyst I
2 Reconciling cash, checks, etc. to receipts.	Atiner Stills - Senior Cashier	Stephanye Bradley - Treasury Analyst I
3 Preparing deposits.	Atiner Stills - Senior Cashier	Stephanye Bradley - Treasury Analyst I
4 Preparing Journal Entries.	Atiner Stills - Senior Cashier	Stephanye Bradley - Treasury Analyst I
5 Verifying deposits posted correctly in the Finance System.	Amanda Yim - Financial Analyst II	Roberta Puryear - Treasurer
6 Adequacy of physical safeguards of cash receipts and equivalent.	Fred Burnett - Manager, Treasury Operations	Juanita Diaz - Treasury Analyst
7 Secure deposits via UHDPS to Student Financial Services.	Atiner Stills - Senior Cashier	Stephanye Bradley - Treasury Analyst I
8 Ensuring deposits are made timely.	Atiner Stills - Senior Cashier	Stephanye Bradley - Treasury Analyst I
9 Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Dan Corcoran - Ass't. Treasurer	Roberta Puryear - Treasurer
10 Updating Cash Handling Procedures as needed.	Dan Corcoran - Ass't. Treasurer	Roberta Puryear - Treasurer
11 Distribution of Cash Handling Procedures to employees who handle cash.	Dan Corcoran - Ass't. Treasurer	Roberta Puryear - Treasurer
12 Consistent and efficient responses to inquiries.	Dan Corcoran - Ass't. Treasurer	Fred Burnett - Manager, Treasury Operations
<b>PETTY CASH</b>		
1 Preparing petty cash disbursements.	Petty Cash Closed	NA
2 Ensuring petty cash disbursements are not for more than \$100.	NA	NA
3 Ensuring petty cash disbursements are made for only authorized purposes.	NA	NA
4 Approving petty cash disbursements.	NA	NA
5 Replenishing the petty cash fund timely.	NA	NA
6 Ensuring the petty cash fund is balanced after each disbursement.	NA	NA
<b>CONTRACT ADMINISTRATION</b>		
1 Ensuring departmental personnel comply with contract administration policies/procedures.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
<b>PROPERTY MANAGEMENT</b>		
1 Performing the annual inventory.	Ajay Rajeev - Application Developer III	Chris Durham - Functional Analyst 4

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2	Ensuring the annual inventory was completed correctly.	Ajay Rajeev - Application Developer III	Chris Durham - Functional Analyst 4
3	Tagging equipment.	Ajay Rajeev - Application Developer III	Chris Durham - Functional Analyst 4
4	Approving requests for removal of equipment from campus.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
<b>DISCLOSURE FORMS</b>			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Dan Corcoran - Ass't. Treasurer	
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Dan Corcoran - Ass't. Treasurer	
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	NA	NA
<b>ACCOUNTS RECEIVABLE</b>			
1	Extending of credit.	NA	NA
2	Billing.	NA	NA
3	Collection.	NA	NA
4	Recording.	NA	NA
5	Monitoring credit extended.	NA	NA
6	Approving write-offs.	NA	NA
<b>NEGATIVE BALANCES</b>			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Fred Burnett - Manager, Treasury Operations	Dan Corcoran - Ass't. Treasurer
2	Ensuring that research expenditures are covered by funds from sponsors.	NA	NA
<b>DEPARTMENTAL COMPUTING</b>			
1	Management of the departments' information technology resources.	Roberta Puryear - Treasurer	Chris Durham - Functional Analyst
2	Ensuring that critical data back up occurs.	Roberta Puryear - Treasurer	Chris Durham - Functional Analyst
3	Ensuring that procedures such as password controls are followed.	Roberta Puryear - Treasurer	Chris Durham - Functional Analyst
4	Reporting of suspected security violations.	Roberta Puryear - Treasurer	Chris Durham - Functional Analyst