

Treasury - S0015 H0166
Baseline Standards
FY 2017

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
2	Updating the Baseline Standards Form.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Fred Burnett - Manager, Treasury Operations	Alicia Fowler - Treasury Analyst II
2	Reviewing cost center verifications.	Fred Burnett - Manager, Treasury Operations	Dan Corcoran - Ass't. Treasurer
3	Approving cost center verifications.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
4	Ensuring all cost centers are verified/approved on a timely basis.	Fred Burnett - Manager, Treasury Operations	Dan Corcoran - Ass't. Treasurer
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Brenda Meade - Administrative Assistant	Fred Burnett - Manager, Treasury Operations
2	Ensuring the validity of travel and expense reimbursements.	Brenda Meade - Administrative Assistant	Roberta Puryear - Treasurer
3	Ensuring that goods and services are received and that timely payment is made.	Brenda Meade - Administrative Assistant	Fred Burnett - Manager, Treasury Operations
4	Ensuring correct account coding on purchases documents.	Brenda Meade - Administrative Assistant	Fred Burnett - Manager, Treasury Operations
5	Primary contact for inquiries to expenditure transactions.	Brenda Meade - Administrative Assistant	Fred Burnett - Manager, Treasury Operations
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	NA	NA
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Brenda Meade - Administrative Assistant	Nancy Tran - Associate Dir. SBS
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Brenda Meade - Administrative Assistant	Nancy Tran - Associate Dir. SBS
4	Completing termination clearance procedures.	Brenda Meade - Administrative Assistant	Dan Corcoran - Ass't. Treasurer
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Brenda Meade - Administrative Assistant	Fred Burnett - Manager, Treasury Operations
6	Maintaining departmental Personnel files.	Brenda Meade - Administrative Assistant	Roberta Puryear - Treasurer
7	Ensuring valid authorization of new hires.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
8	Ensuring valid authorization of changes in compensation rates.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
9	Ensuring the accurate input of changes to the HR System.	Brenda Meade - Administrative Assistant	Dan Corcoran - Ass't. Treasurer
10	Consistent and efficient responses to inquiries.	Brenda Meade - Administrative Assistant	Roberta Puryear - Treasurer

Treasury - S0015 H0166
Baseline Standards
FY 2017

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
CASH HANDLING			
1	Collecting cash, checks, etc.	Juanita Diaz - Senior Cashier	Stephanye Bradley - Treasury Analyst I
2	Reconciling cash, checks, etc. to receipts.	Juanita Diaz - Senior Cashier	Stephanye Bradley - Treasury Analyst I
3	Preparing deposits.	Juanita Diaz - Senior Cashier	Stephanye Bradley - Treasury Analyst I
4	Preparing Journal Entries.	Juanita Diaz - Senior Cashier	Stephanye Bradley - Treasury Analyst I
5	Verifying deposits posted correctly in the Finance System.	Amanda Yim - Financial Analyst II	Roberta Puryear - Treasurer
6	Adequacy of physical safeguards of cash receipts and equivalent.	Fred Burnett - Manager, Treasury Operations	Alicia Fowler - Treasury Analyst II
7	Secure deposits via UHDPS to Student Financial Services.	Juanita Diaz - Senior Cashier	Stephanye Bradley - Treasury Analyst I
8	Ensuring deposits are made timely.	Juanita Diaz - Senior Cashier	Stephanye Bradley - Treasury Analyst I
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Dan Corcoran - Ass't. Treasurer	Roberta Puryear - Treasurer
10	Updating Cash Handling Procedures as needed.	Dan Corcoran - Ass't. Treasurer	Roberta Puryear - Treasurer
11	Distribution of Cash Handling Procedures to employees who handle cash.	Dan Corcoran - Ass't. Treasurer	Roberta Puryear - Treasurer
12	Consistent and efficient responses to inquiries.	Dan Corcoran - Ass't. Treasurer	Fred Burnett - Manager, Treasury Operations
PETTY CASH			
1	Preparing petty cash disbursements.	Petty Cash Closed	NA
2	Ensuring petty cash disbursements are not for more than \$100.	NA	NA
3	Ensuring petty cash disbursements are made for only authorized purposes.	NA	NA
4	Approving petty cash disbursements.	NA	NA
5	Replenishing the petty cash fund timely.	NA	NA
6	Ensuring the petty cash fund is balanced after each disbursement.	NA	NA
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Ajay Rajeev - Application Developer III	Brenda Meade - Administrative Assistant
2	Ensuring the annual inventory was completed correctly.	Ajay Rajeev - Application Developer III	Brenda Meade - Administrative Assistant
3	Tagging equipment.	Ajay Rajeev - Application Developer III	Brenda Meade - Administrative Assistant
4	Approving requests for removal of equipment from campus.	Roberta Puryear - Treasurer	Dan Corcoran - Ass't. Treasurer
DISCLOSURE FORMS			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Brenda Meade - Administrative Assistant	
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Brenda Meade - Administrative Assistant	
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	NA	NA

Treasury - S0015 H0166
Baseline Standards
FY 2017

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
ACCOUNTS RECEIVABLE			
1	Extending of credit.	NA	NA
2	Billing.	NA	NA
3	Collection.	NA	NA
4	Recording.	NA	NA
5	Monitoring credit extended.	NA	NA
6	Approving write-offs.	NA	NA
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Fred Burnett - Manager, Treasury Operations	Dan Corcoran - Ass't. Treasurer
2	Ensuring that research expenditures are covered by funds from sponsors.	NA	NA
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Roberta Puryear - Treasurer	Chris Durham - Functional Analyst
2	Ensuring that critical data back up occurs.	Roberta Puryear - Treasurer	Chris Durham - Functional Analyst
3	Ensuring that procedures such as password controls are followed.	Roberta Puryear - Treasurer	Chris Durham - Functional Analyst
4	Reporting of suspected security violations.	Roberta Puryear - Treasurer	Chris Durham - Functional Analyst