

H0090 - Moores School of Music
Baseline Standards
FY 2013

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Elizabeth A. Shepard/ Dept. Business Administrator	Isaac Davis/ Asst CBA - Finance
2	Updating the Baseline Standards Form.	Elizabeth A. Shepard/ Dept. Business Administrator	Isaac Davis/ Asst CBA - Finance
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
2	Reviewing cost center verifications.	Elizabeth A. Shepard/ Dept. Business Administrator	Isaac Davis/ Asst CBA - Finance
3	Approving cost center verifications.	David A. White/ Director, MSM	Lynn Lamkin/ Assoc. Director, MSM
4	Ensuring all cost centers are verified/approved on a timely basis.	Elizabeth A. Shepard/ Dept. Business Administrator	Isaac Davis/ Asst CBA - Finance
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
2	Ensuring the validity of travel and expense reimbursements.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
3	Ensuring that goods and services are received and that timely payment is made.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
4	Ensuring correct account coding on purchases documents.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
5	Primary contact for inquiries to expenditure transactions.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
PAYROLL / HUMAN RESOURCES			
1	Reconciling approved bi-weekly leave requests to time and effort reports.	Nikea McGee/ Office Coordinator	Nikea McGee/ Office Coordinator
2	Reconciling bi-weekly leave accruals to the HR System.	Elizabeth A. Shepard/ Dept. Business Administrator	Mary Duncan, College Business Administrator
3	Ensuring all bi-weekly time and effort reports are submitted to Payroll.	Nikea McGee/ Office Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
4	Ensuring all monthly leave is recorded and approved in the HR System.	Elizabeth A. Shepard/ Dept. Business Administrator	Lynn Lamkin/ Assoc. Director, MSM
5	Reconciling time and effort reports (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Nikea McGee/ Office Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
6	Completing termination clearance procedures.	Nikea McGee/ Office Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
7	Ensuring terminated employees are no longer charged to departmental cost centers.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
8	Paycheck distribution.	Nikea McGee/ Office Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
9	Maintaining departmental Personnel files.	Nikea McGee/ Office Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
10	Ensuring valid authorization of new hires.	Elizabeth A. Shepard/ Dept. Business Administrator	Mary Duncan, College Business Administrator
11	Ensuring valid authorization of changes in compensation rates.	Elizabeth A. Shepard/ Dept. Business Administrator	Mary Duncan, College Business Administrator
12	Ensuring the accurate input of changes to the HR System.	Elizabeth A. Shepard/ Dept. Business Administrator	Mary Duncan, College Business Administrator
13	Propriety of leave account classification on time records.	Elizabeth A. Shepard/ Dept. Business Administrator	Mary Duncan, College Business Administrator
14	Consistent and efficient responses to inquiries.	Elizabeth A. Shepard/ Dept. Business Administrator	Nikea McGee/ Office Coordinator
CASH HANDLING			

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1	Collecting cash, checks, etc.	Jill Bays-Purtill/ Box Office Manager	Cara Spinks/ Director, PCS
2	Reconciling cash, checks, etc. to receipts.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
3	Preparing deposits.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
4	Preparing Journal Entries.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
5	Verifying deposits posted correctly in the Finance System.	Elizabeth A. Shepard/ Dept. Business Administrator	Omar Sheikh/ Financial Coordinator
6	Adequacy of physical safeguards.	Elizabeth A. Shepard/ Dept. Business Administrator	Omar Sheikh/ Financial Coordinator
7	Transporting deposits to Student Financial Services.		
8	Ensuring deposits are made timely.	Omar Sheikh/ Financial Coordinator	Elizabeth A. Shepard/ Dept. Business Administrator
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Elizabeth A. Shepard/ Dept. Business Administrator	Isaac Davis/ Asst CBA - Finance
10	Updating Cash Handling Procedures as needed.	Elizabeth A. Shepard/ Dept. Business Administrator	Isaac Davis/ Asst CBA - Finance
11	Distribution of Cash Handling Procedures to employees who handle cash.	Elizabeth A. Shepard/ Dept. Business Administrator	Isaac Davis/ Asst CBA - Finance
12	Consistent and efficient responses to inquiries.	Elizabeth A. Shepard/ Dept. Business Administrator	Omar Sheikh/ Financial Coordinator
PETTY CASH			
1	Preparing petty cash disbursements.	N/A	
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4	Approving petty cash disbursements.	N/A	
5	Replenishing the petty cash fund timely.	N/A	
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	
LONG DISTANCE CHARGES			
1	Manager review of long distance charges for unusual activity.	Elizabeth A. Shepard/ Dept. Business Administrator	Omar Sheikh/ Financial Coordinator
2	Ensuring personal calls are reimbursed within 10 days from the billing date.	Elizabeth A. Shepard/ Dept. Business Administrator	Omar Sheikh/ Financial Coordinator
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Elizabeth A. Shepard/ Dept. Business Administrator	Isaac Davis/ Asst CBA - Finance
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Edwin Richardson/ CLASS Technology Services	Elizabeth A. Shepard/ Dept. Business Administrator
2	Ensuring the annual inventory was completed correctly.	Edwin Richardson/ CLASS Technology Services	Elizabeth A. Shepard/ Dept. Business Administrator
3	Tagging equipment.	Edwin Richardson/ CLASS Technology Services	Elizabeth A. Shepard/ Dept. Business Administrator
4	Approving requests for removal of equipment from campus.	Edwin Richardson/ CLASS Technology Services	
DISCLOSURE FORMS			

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1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Lynn Lamkin/ Assoc. Director, MSM	Elizabeth A. Shepard/ Dept. Business Administrator
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Lynn Lamkin/ Assoc. Director, MSM	Elizabeth A. Shepard/ Dept. Business Administrator
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	Lynn Lamkin/ Assoc. Director, MSM	Elizabeth A. Shepard/ Dept. Business Administrator
ACCOUNTS RECEIVABLE			
1	Extending of credit.	N/A	
2	Billing.	N/A	
3	Collection.	N/A	
4	Recording.	N/A	
5	Monitoring credit extended.	N/A	
6	Approving write-offs.	N/A	
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	David A. White/ Director, MSM	Elizabeth A. Shepard/ Dept. Business Administrator
2	Ensuring that research expenditures are covered by funds from sponsors.	David A. White/ Director, MSM	Elizabeth A. Shepard/ Dept. Business Administrator
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Edwin Richardson/ CLASS Technology Services	CLASS IT
2	Ensuring that critical data back up occurs.	Edwin Richardson/ CLASS Technology Services	CLASS IT
3	Ensuring that procedures such as password controls are followed.	Edwin Richardson/ CLASS Technology Services	CLASS IT
4	Reporting of suspected security violations.	Edwin Richardson/ CLASS Technology Services	CLASS IT