

Arte Publico Press
Baseline Standards
FY2013

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Nellie Gonzalez - DBA	Marina Tristan - Asst. Director
2	Updating the Baseline Standards Form.	Nellie Gonzalez - DBA	
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Nellie Gonzalez - DBA	
2	Reviewing cost center verifications.	Nellie Gonzalez - DBA	
3	Approving cost center verifications.	Nicolas Kanellos - Director	
4	Ensuring all cost centers are verified/approved on a timely basis.	Nellie Gonzalez - DBA	
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Nellie Gonzalez - DBA	
2	Ensuring the validity of travel and expense reimbursements.	Nellie Gonzalez - DBA	
3	Ensuring that goods and services are received and that timely payment is made.	Nellie Gonzalez - DBA	
4	Ensuring correct account coding on purchases documents.	Nellie Gonzalez - DBA	
5	Primary contact for inquiries to expenditure transactions.	Nellie Gonzalez - DBA	
PAYROLL / HUMAN RESOURCES			
1	Reconciling approved bi-weekly leave requests to time and effort reports.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
2	Reconciling bi-weekly leave accruals to the HR System.	Nellie Gonzalez - DBA	
3	Ensuring all bi-weekly time and effort reports are submitted to Payroll.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
4	Ensuring all monthly leave is recorded and approved in the HR System.	Nellie Gonzalez - DBA	
5	Reconciling time and effort reports (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Nellie Gonzalez - DBA	
6	Completing termination clearance procedures.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
7	Ensuring terminated employees are no longer charged to departmental cost centers.	Nellie Gonzalez - DBA	
8	Paycheck distribution.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
9	Maintaining departmental Personnel files.	Nellie Gonzalez - DBA	
10	Ensuring valid authorization of new hires.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
11	Ensuring valid authorization of changes in compensation rates.	Nellie Gonzalez - DBA	
12	Ensuring the accurate input of changes to the HR System.	Nellie Gonzalez - DBA	
13	Propriety of leave account classification on time records.	Nellie Gonzalez - DBA	
14	Consistent and efficient responses to inquiries.	Nellie Gonzalez - DBA	
CASH HANDLING			

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1	Collecting cash, checks, etc.	Sylvia Rodriguez - Financial Asst	Maxine Moore-Allen - Sales Supervisor
2	Reconciling cash, checks, etc. to receipts.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
3	Preparing deposits.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
4	Preparing Journal Entries.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
5	Verifying deposits posted correctly in the Finance System.	Nellie Gonzalez - DBA	
6	Adequacy of physical safeguards.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
7	Transporting deposits to Student Financial Services.	UHPD	Matthew Hall - Office Coordinator
8	Ensuring deposits are made timely.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Nellie Gonzalez - DBA	
10	Updating Cash Handling Procedures as needed.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
11	Distribution of Cash Handling Procedures to employees who handle cash.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
12	Consistent and efficient responses to inquiries.	Nellie Gonzalez - DBA	
PETTY CASH			
1	Preparing petty cash disbursements.	N/A	
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4	Approving petty cash disbursements.	N/A	
5	Replenishing the petty cash fund timely.	N/A	
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	
LONG DISTANCE CHARGES			
1	Manager review of long distance charges for unusual activity.	Nellie Gonzalez - DBA	
2	Ensuring personal calls are reimbursed within 10 days from the billing date.	Nellie Gonzalez - DBA	
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
2	Ensuring the annual inventory was completed correctly.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
3	Tagging equipment.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
4	Approving requests for removal of equipment from campus.	Nellie Gonzalez - DBA	
DISCLOSURE FORMS			

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1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Nellie Gonzalez - DBA	
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Nellie Gonzalez - DBA	
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	Nellie Gonzalez - DBA	
ACCOUNTS RECEIVABLE			
1	Extending of credit.	Maxine Moore-Allen - Sales Supervisor	Nellie Gonzalez - DBA
2	Billing.	Sylvia Rodriguez - Financial Asst	Maxine Moore-Allen - Sales Supervisor
3	Collection.	Maxine Moore-Allen - Sales Supervisor	Nellie Gonzalez - DBA
4	Recording.	Sylvia Rodriguez - Financial Asst	Maxine Moore-Allen - Sales Supervisor
5	Monitoring credit extended.	Maxine Moore-Allen - Sales Supervisor	Nellie Gonzalez - DBA
6	Approving write-offs.	Nicolas Kanellos - Director	
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Nellie Gonzalez - DBA	
2	Ensuring that research expenditures are covered by funds from sponsors.	Nellie Gonzalez - DBA	
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
2	Ensuring that critical data back up occurs.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
3	Ensuring that procedures such as password controls are followed.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA
4	Reporting of suspected security violations.	Matthew Hall - Office Coordinator	Nellie Gonzalez - DBA