

**Electrical Computer Engineering Department -- H0070**  
**Baseline Standards**  
**FY 2018**

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
<b>DEPARTMENTAL POLICIES &amp; PROCEDURES / BASELINE STANDARDS</b>			
1	Ensuring the Departmental Policy and Procedures manual is current.	Stacie Spikes/DBA	Mary Carter/ABA
2	Updating the Baseline Standards Form.	Stacie Spikes/DBA	Mary Carter/ABA
<b>FINANCIAL REPORTING - COST CENTER VERIFICATIONS</b>			
1	Preparing cost center verifications.	Mary Carter/ABA	Stacie Spikes/DBA
2	Reviewing cost center verifications.	Mary Carter/ABA	Stacie Spikes/DBA
3	Approving cost center verifications.	Stacie Spikes/DBA	Mary Carter/ABA
4	Ensuring all cost centers are verified/approved on a timely basis.	Stacie Spikes/DBA	Mary Carter/ABA
<b>FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS</b>			
1	Ensuring valid authorization of purchase documents.	Mary Carter/ABA	Stacie Spikes/DBA
2	Ensuring the validity of travel and expense reimbursements.	Mary Carter/ABA	Stacie Spikes/DBA
3	Ensuring that goods and services are received and that timely payment is made.	Mary Carter/ABA	Stacie Spikes/DBA
4	Ensuring correct account coding on purchases documents.	Mary Carter/ABA	Stacie Spikes/DBA
5	Primary contact for inquiries to expenditure transactions.	Mary Carter/ABA	Stacie Spikes/DBA
<b>PAYROLL / HUMAN RESOURCES</b>			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Dept HR/Payroll Coordinator	Stacie Spikes/DBA
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Dept HR/Payroll Coordinator	Stacie Spikes/DBA
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Dept HR/Payroll Coordinator	Stacie Spikes/DBA
4	Completing termination clearance procedures.	Dept HR/Payroll Coordinator	Stacie Spikes/DBA
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Dept HR/Payroll Coordinator	Stacie Spikes/DBA
6	Maintaining departmental Personnel files.	Dept HR/Payroll Coordinator	Stacie Spikes/DBA
7	Ensuring valid authorization of new hires.	Dept HR/Payroll Coordinator	Stacie Spikes/DBA
8	Ensuring valid authorization of changes in compensation rates.	Dept HR/Payroll Coordinator	Stacie Spikes/DBA
9	Ensuring the accurate input of changes to the HR System.	Dept HR/Payroll Coordinator	Stacie Spikes/DBA
10	Consistent and efficient responses to inquiries.	Dept HR/Payroll Coordinator	Stacie Spikes/DBA

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<b>CASH HANDLING</b>			
1	Collecting cash, checks, etc.	Dean's Office	Stacie Spikes/DBA
2	Reconciling cash, checks, etc. to receipts.	Mary Carter/ABA	Delvina Branch/Front Desk Coord
3	Preparing deposits.	Delvina Branch/Front Desk Coord	Mary Carter/ABA
4	Preparing Journal Entries.	Delvina Branch/Front Desk Coord	Mary Carter/ABA
5	Verifying deposits posted correctly in the Finance System.	Stacie Spikes/DBA	Mary Carter/ABA
6	Adequacy of physical safeguards of cash receipts and equivalent.	Stacie Spikes/DBA	Mary Carter/ABA
7	Secure deposits via UHDPS to Student Financial Services.	Dean's Office	Mary Carter/ABA
8	Ensuring deposits are made timely.	Delvina Branch/Front Desk Coord	Stacie Spikes/DBA
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Stacie Spikes/DBA	Stacie Spikes/DBA
10	Updating Cash Handling Procedures as needed.	Steve Bangerter, Exec Dir of Busn Ops	Stacie Spikes/DBA
11	Distribution of Cash Handling Procedures to employees who handle cash.	Stacie Spikes/DBA	Mary Carter/ABA
12	Consistent and efficient responses to inquiries.	Delvina Branch/Front Desk Coord	Mary Carter/ABA
<b>PETTY CASH</b>			
1	Preparing petty cash disbursements.	N/A	
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4	Approving petty cash disbursements.	N/A	
5	Replenishing the petty cash fund timely.	N/A	
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	
<b>CONTRACT ADMINISTRATION</b>			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Stacie Spikes/DBA	Mary Carter/ABA
<b>PROPERTY MANAGEMENT</b>			
1	Performing the annual inventory.	Robert Dial (Electrical Tech II)	Ralph Brown (Elec. Tech)
2	Ensuring the annual inventory was completed correctly.	Robert Dial (Electrical Tech II)	Ralph Brown (Elec. Tech)
3	Tagging equipment.	Robert Dial (Electrical Tech II)	Ralph Brown (Elec. Tech)
4	Approving requests for removal of equipment from campus.	Robert Dial (Electrical Tech II)	Ralph Brown (Elec. Tech)
<b>DISCLOSURE FORMS</b>			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Stacie Spikes/DBA	Mary Carter/ABA
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Stacie Spikes/DBA	Mary Carter/ABA
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	Stacie Spikes/DBA	Mary Carter/ABA

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ACCOUNTS RECEIVABLE			
1	Extending of credit.	N/A	
2	Billing.	Mary Carter/ABA	Stacie Spikes/DBA
3	Collection.	Mary Carter/ABA	Stacie Spikes/DBA
4	Recording.	Mary Carter/ABA	Stacie Spikes/DBA
5	Monitoring credit extended.	N/A	
6	Approving write-offs.	N/A	
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Stacie Spikes/DBA	Mary Carter/ABA
2	Ensuring that research expenditures are covered by funds from sponsors.	Principle Investigators	Stacie Spikes/DBA
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Ralph Brown (Elec. Tech)	Kiet Luong/IT College Manager
2	Ensuring that critical data back up occurs.	Ralph Brown (Elec. Tech)	Kiet Luong/IT College Manager
3	Ensuring that procedures such as password controls are followed.	Ralph Brown (Elec. Tech)	Kiet Luong/IT College Manager
4	Reporting of suspected security violations.	Ralph Brown (Elec. Tech)	Kiet Luong/IT College Manager