

Curriculum and Instruction H0062
Baseline Standards
FY 2018

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Paul Roch, Dir, Coll/Div Business Operations	use Business Managers Survival Guide ; MAPP; supplemented as needed
2	Updating the Baseline Standards Form.	Paul Roch, Dir, Coll/Div Business Operations	
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Miranda Jasso, Financial Coordinator 2	Lena Mitchell, Research Admin 1
2	Reviewing cost center verifications.	Karen Tran, Department Business Administrator	Lena Mitchell, Research Admin 1
3	Approving cost center verifications.	Paul Roch, Dir, Coll/Div Business Operations (as delegate)	
4	Ensuring all cost centers are verified/approved on a timely basis.	Paul Roch, Dir, Coll/Div Business Operations (as delegate)	
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Karen Tran, Department Business Administrator	Lena Mitchell, Research Admin 1
2	Ensuring the validity of travel and expense reimbursements.	Karen Tran, Department Business Administrator	Lena Mitchell, Research Admin 1
3	Ensuring that goods and services are received and that timely payment is made.	Karen Tran, Department Business Administrator	
4	Ensuring correct account coding on purchases documents.	Karen Tran, Department Business Administrator	Lena Mitchell, Research Admin 1
5	Primary contact for inquiries to expenditure transactions.	Karen Tran, Department Business Administrator	Lena Mitchell, Research Admin 1
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Aimee Young, Financial Coordinator 2	Miranda Jasso, Financial Coordinator 2
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Aimee Young, Financial Coordinator 2	Miranda Jasso, Financial Coordinator 2
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Aimee Young, Financial Coordinator 2	Miranda Jasso, Financial Coordinator 2
4	Completing termination clearance procedures.	Karen Tran, Department Business Administrator	
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Karen Tran, Department Business Administrator	
6	Maintaining departmental Personnel files.	Karen Tran, Department Business Administrator	Aimee Young, Financial Coordinator 2 and Miranda Jasso, Financial
7	Ensuring valid authorization of new hires.	Karen Tran, Department Business Administrator	
8	Ensuring valid authorization of changes in compensation rates.	Karen Tran, Department Business Administrator	
9	Ensuring the accurate input of changes to the HR System.	Karen Tran, Department Business Administrator	
10	Consistent and efficient responses to inquiries.	Paul Roch, Dir, Coll/Div Business Operations	

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CASH HANDLING			
1	Collecting cash, checks, etc.	Pei Hsieh, Office Assistant 1	Aimee Young, Financial Coordinator 2 and Miranda Jasso, Financial
2	Reconciling cash, checks, etc. to receipts.	Pei Hsieh, Office Assistant 1	Aimee Young, Financial Coordinator 2 and Miranda Jasso, Financial
3	Preparing deposits.	Miranda Jasso, Financial Coordinator 2	Aimee Young, Financial Coordinator 2, Pei Hsieh, Office Assistant 1
4	Preparing Journal Entries.	Miranda Jasso, Financial Coordinator 2	Aimee Young, Financial Coordinator 2, Pei Hsieh, Office Assistant 1
5	Verifying deposits posted correctly in the Finance System.	Miranda Jasso, Financial Coordinator 2	Aimee Young, Financial Coordinator 2, Pei Hsieh, Office Assistant 1
6	Adequacy of physical safeguards of cash receipts and equivalent.	Miranda Jasso, Financial Coordinator 2	Aimee Young, Financial Coordinator 2, Pei Hsieh, Office Assistant 1
7	Secure deposits via UHDPS to Student Financial Services.	Miranda Jasso, Financial Coordinator 2	Aimee Young, Financial Coordinator 2, Pei Hsieh, Office Assistant 1
8	Ensuring deposits are made timely.	Miranda Jasso, Financial Coordinator 2	Paul Roch, Dir, Coll/Div Business Operations
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Paul Roch, Dir, Coll/Div Business Operations	
10	Updating Cash Handling Procedures as needed.	Paul Roch, Dir, Coll/Div Business Operations	
11	Distribution of Cash Handling Procedures to employees who handle cash.	Paul Roch, Dir, Coll/Div Business Operations	
12	Consistent and efficient responses to inquiries.	Paul Roch, Dir, Coll/Div Business Operations	
PETTY CASH			
1	Preparing petty cash disbursements.	NA	
2	Ensuring petty cash disbursements are not for more than \$100.	NA	
3	Ensuring petty cash disbursements are made for only authorized purposes.	NA	
4	Approving petty cash disbursements.	NA	
5	Replenishing the petty cash fund timely.	NA	
6	Ensuring the petty cash fund is balanced after each disbursement.	NA	
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Paul Roch, Dir, Coll/Div Business Operations	
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Daniel Pineda, Mgr, Asst, Computing Oper	
2	Ensuring the annual inventory was completed correctly.	Timothy Rosas, Mgr, Coll/Div Info Svcs 2	Paul Roch, College Business Administrator
3	Tagging equipment.	Daniel Pineda, Mgr, Asst, Computing Oper	
4	Approving requests for removal of equipment from campus.	Timothy Rosas, Mgr, Coll/Div Info Svcs 2	
DISCLOSURE FORMS			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Paul Roch, Dir, Coll/Div Business Operations	
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Paul Roch, Dir, Coll/Div Business Operations	
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	Lori Armstrong, College Research Liaison Officer	

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ACCOUNTS RECEIVABLE			
1	Extending of credit.	NA	
2	Billing.	NA	
3	Collection.	NA	
4	Recording.	NA	
5	Monitoring credit extended.	NA	
6	Approving write-offs.	NA	
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Paul Roch, Dir, Coll/Div Business Operations	
2	Ensuring that research expenditures are covered by funds from sponsors.	Lena Mitchell, Research Administrator	Amy Jones, Research Administrator
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Timothy Rosas, Mgr, Coll/Div Info Svcs 2	
2	Ensuring that critical data back up occurs.	Timothy Rosas, Mgr, Coll/Div Info Svcs 2	
3	Ensuring that procedures such as password controls are followed.	UH Systems	
4	Reporting of suspected security violations.	Timothy Rosas, Mgr, Coll/Div Info Svcs 2	