

Office of the Chancellor President - S0003 H0001  
Baseline Standards  
FY 2018

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
<b>DEPARTMENTAL POLICIES &amp; PROCEDURES / BASELINE STANDARDS</b>			
1	Ensuring the Departmental Policy and Procedures manual is current.	TaShawna Wilson, Dir. Business Office Operations	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Bus. Admin.
2	Updating the Baseline Standards Form.	TaShawna Wilson, Dir. Business Office Operations	Justin Brown, Dept. Bus. Admin.; Vanessa Adams/Dept. Bus. Admin.
<b>FINANCIAL REPORTING - COST CENTER VERIFICATIONS</b>			
1	Preparing cost center verifications.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	
2	Reviewing cost center verifications.	TaShawna Wilson, Dir. Business Office Operations	
3	Approving cost center verifications.	TaShawna Wilson, Dir. Business Office Operations	
4	Ensuring all cost centers are verified/approved on a timely basis.	TaShawna Wilson, Dir. Business Office Operations	
<b>FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS</b>			
1	Ensuring valid authorization of purchase documents.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
2	Ensuring the validity of travel and expense reimbursements.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
3	Ensuring that goods and services are received and that timely payment is made.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
4	Ensuring correct account coding on purchases documents.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
5	Primary contact for inquiries to expenditure transactions.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
<b>PAYROLL / HUMAN RESOURCES</b>			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business Admin.	TaShawna Wilson, Dir. Business Office Operations
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business Admin.	TaShawna Wilson, Dir. Business Office Operations
4	Completing termination clearance procedures.	Department Liaisons	Justin Brown/Dept. Bus. Admin.; Vanessa Adams/Dept. Bus. Admin.
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
6	Maintaining departmental Personnel files.	Vanessa Adams, Dept. Bus. Admin.	Justin Brown/Dept. Bus. Admin.
7	Ensuring valid authorization of new hires.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
8	Ensuring valid authorization of changes in compensation rates.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
9	Ensuring the accurate input of changes to the HR System.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
10	Consistent and efficient responses to inquiries.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations

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<b>CASH HANDLING</b>			
1	Collecting cash, checks, etc.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	
2	Reconciling cash, checks, etc. to receipts.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	
3	Preparing deposits.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	
4	Preparing Journal Entries.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	
5	Verifying deposits posted correctly in the Finance System.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
6	Adequacy of physical safeguards of cash receipts and equivalent.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	
7	Secure deposits via UHDPS to Student Financial Services.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	
8	Ensuring deposits are made timely.	UHDPS	
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	TaShawna Wilson, Dir. Business Office Operations	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business Admin.
10	Updating Cash Handling Procedures as needed.	TaShawna Wilson, Dir. Business Office Operations	
11	Distribution of Cash Handling Procedures to employees who handle cash.	TaShawna Wilson, Dir. Business Office Operations	
12	Consistent and efficient responses to inquiries.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
<b>PETTY CASH</b>			
1	Preparing petty cash disbursements.	NA	
2	Ensuring petty cash disbursements are not for more than \$100.	NA	
3	Ensuring petty cash disbursements are made for only authorized purposes.	NA	
4	Approving petty cash disbursements.	NA	
5	Replenishing the petty cash fund timely.	NA	
6	Ensuring the petty cash fund is balanced after each disbursement.	NA	
<b>CONTRACT ADMINISTRATION</b>			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business	TaShawna Wilson, Dir. Business Office Operations
<b>PROPERTY MANAGEMENT</b>			
1	Performing the annual inventory.	Vanessa Adams, Dept. Bus. Admin.	Justin Brown, Dept. Bus. Admin.
2	Ensuring the annual inventory was completed correctly.	Vanessa Adams, Dept. Bus. Admin.	Justin Brown, Dept. Bus. Admin.
3	Tagging equipment.	Vanessa Adams, Dept. Bus. Admin.	Justin Brown, Dept. Bus. Admin.
4	Approving requests for removal of equipment from campus.	Michael Johnson, Chief of Staff; TaShawna Wilson, Dir. Bus.	
<b>DISCLOSURE FORMS</b>			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	TaShawna Wilson, Dir. Business Office Operations	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	TaShawna Wilson, Dir. Business Office Operations	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	TaShawna Wilson, Dir. Business Office Operations	Justin Brown, Dept. Bus. Admin.; Vanessa Adams, Dept. Business Admin.

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ACCOUNTS RECEIVABLE			
1	Extending of credit.	NA	
2	Billing.	NA	
3	Collection.	NA	
4	Recording.	NA	
5	Monitoring credit extended.	NA	
6	Approving write-offs.	NA	
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	TaShawna Wilson, Dir. Business Office Operations	
2	Ensuring that research expenditures are covered by funds from sponsors.	NA	
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	TaShawna Wilson, Dir. Business Office Operations	
2	Ensuring that critical data back up occurs.	IT Executive Support	
3	Ensuring that procedures such as password controls are followed.	IT Executive Support	
4	Reporting of suspected security violations.	All Staff	