

Printing, Postal Services H0179, H0180
Baseline Standards
FY 2018

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Sally J. Rowland-Ketley, Director	Kathryn Smith
2	Updating the Baseline Standards Form.	Rosa B. Garcia, Finan. Coord 2	Sally J. Rowland-Ketley, Director
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
2	Reviewing cost center verifications.	Rosa B. Garcia, Financial Coord 2	Martha Noriega, Fin. Asst. 1
3	Approving cost center verifications.	Sally J. Rowland-Ketley, Director	N/A
4	Ensuring all cost centers are verified/approved on a timely basis.	Rosa B. Garcia, Financial Coord 2	Juanita Samuels, Dept. Bus. Administrator
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Rosa B. Garcia, Financial Coord 2	Sally J. Rowland-Ketley, Director
2	Ensuring the validity of travel and expense reimbursements.	Rosa B. Garcia, Financial Coord 2	Sally J. Rowland-Ketley, Director
3	Ensuring that goods and services are received and that timely payment is made.	Rosa B. Garcia, Financial Coord 2	Juanita Samuels, Dept. Bus. Administrator
4	Ensuring correct account coding on purchases documents.	Rosa B. Garcia, Financial Coord 2	Juanita Samuels, Dept. Bus. Administrator
5	Primary contact for inquiries to expenditure transactions.	Rosa B. Garcia, Financial Coord 2	Sally J. Rowland-Ketley, Director
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
4	Completing termination clearance procedures.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
6	Maintaining departmental Personnel files.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
7	Ensuring valid authorization of new hires.	Rosa B. Garcia, Financial Coord 2	Martha Noriega, Fin. Asst. 1
8	Ensuring valid authorization of changes in compensation rates.	Rosa B. Garcia, Financial Coord 2	Martha Noriega, Fin. Asst. 1
9	Ensuring the accurate input of changes to the HR System.	Rosa B. Garcia, Financial Coord 2	Martha Noriega, Fin. Asst. 1
10	Consistent and efficient responses to inquiries.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2

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CASH HANDLING			
1	Collecting cash, checks, etc.	Martha Noriega, Fin. Asst. 1	Sally J. Rowland-Ketley, Director
2	Reconciling cash, checks, etc. to receipts.	Martha Noriega, Fin. Asst. 1	Sally J. Rowland-Ketley, Director
3	Preparing deposits.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
4	Preparing Journal Entries.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
5	Verifying deposits posted correctly in the Finance System.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
6	Adequacy of physical safeguards of cash receipts and equivalent.	Martha Noriega, Fin. Asst. 1	Sally J. Rowland-Ketley, Director
7	Secure deposits via UHDPS to Student Financial Services.	UH Police Officer	N/A
8	Ensuring deposits are made timely.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Rosa B. Garcia, Financial Coord 2	Sally J. Rowland-Ketley, Director
10	Updating Cash Handling Procedures as needed.	Rosa B. Garcia, Financial Coord 2	Sally J. Rowland-Ketley, Director
11	Distribution of Cash Handling Procedures to employees who handle cash.	Rosa B. Garcia, Financial Coord 2	Sally J. Rowland-Ketley, Director
12	Consistent and efficient responses to inquiries.	Sally J. Rowland-Ketley, Director	Rosa B. Garcia, Financial Coord 2
PETTY CASH			
1	Preparing petty cash disbursements.	N/A	N/A
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	N/A
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	N/A
4	Approving petty cash disbursements.	N/A	N/A
5	Replenishing the petty cash fund timely.	N/A	N/A
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	N/A
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Sally J. Rowland-Ketley, Director	Rosa B. Garcia, Financial Coord 2
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Sami Snelling, Asst. Prtg Mgr.	Nalan Giannukos, Prtg. Mgr.
2	Ensuring the annual inventory was completed correctly.	Rosa B. Garcia, Financial Coord 2	Sally J. Rowland-Ketley, Director
3	Tagging equipment.	Sami Snelling, Asst. Prtg Mgr.	Nalan Giannukos, Prtg. Mgr.
4	Approving requests for removal of equipment from campus.	Nalan Giannukos, Prtg. Mgr.	Sally J. Rowland-Ketley, Director
DISCLOSURE FORMS			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Sally J. Rowland-Ketley, Director	Rosa B. Garcia, Financial Coord 2
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Sally J. Rowland-Ketley, Director	Rosa B. Garcia, Financial Coord 2
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	Sally J. Rowland-Ketley, Director	Rosa B. Garcia, Financial Coord 2

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ACCOUNTS RECEIVABLE			
1	Extending of credit.	N/A	N/A
2	Billing.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
3	Collection.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
4	Recording.	Martha Noriega, Fin. Asst. 1	Rosa B. Garcia, Financial Coord 2
5	Monitoring credit extended.	N/A	N/A
6	Approving write-offs.	N/A	N/A
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Sally J. Rowland-Ketley, Director	Rosa B. Garcia, Financial Coord 2
2	Ensuring that research expenditures are covered by funds from sponsors.	N/A	N/A
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Dan Olivarez	Nalan Giannukos, Prtg. Mgr.
2	Ensuring that critical data back up occurs.	Dan Olivarez	Nalan Giannukos, Prtg. Mgr. Robert Poole, Postal Mgr
3	Ensuring that procedures such as password controls are followed.	Dan Olivarez	Nalan Giannukos, Prtg. Mgr. Robert Poole, Postal Mgr
4	Reporting of suspected security violations.	Dan Olivarez	Nalan Giannukos, Prtg. Mgr. Robert Poole, Postal Mgr