

Faculty Senate H0008  
Baseline Standards  
FY 2019

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
<b>DEPARTMENTAL POLICIES &amp; PROCEDURES / BASELINE STANDARDS</b>			
1	Ensuring the Departmental Policy and Procedures manual is current.	Vanessa Torres, CBA	Use Business Managers Survival Guide; MAPP; supplemented as needed
2	Updating the Baseline Standards Form.	Deidra Perry, DBA	Vanessa Torres, CBA
<b>FINANCIAL REPORTING - COST CENTER VERIFICATIONS</b>			
1	Preparing cost center verifications.	Student Workers	
2	Reviewing cost center verifications.	Deidra Perry, DBA	
3	Approving cost center verifications.	Deidra Perry, DBA	
4	Ensuring all cost centers are verified/approved on a timely basis.	Vanessa Torres, CBA	
<b>FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS</b>			
1	Ensuring valid authorization of purchase documents.	Deidra Perry, DBA	
2	Ensuring the validity of travel and expense reimbursements.	Deidra Perry, DBA	
3	Ensuring that goods and services are received and that timely payment is made.	Deidra Perry, DBA	
4	Ensuring correct account coding on purchases documents.	Deidra Perry, DBA	
5	Primary contact for inquiries to expenditure transactions.	Deidra Perry, DBA	
<b>PAYROLL / HUMAN RESOURCES</b>			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Direct supervisor of employees	Sabrina York, DBA
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Vanessa Torres, CBA	
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Sabrina York, DBA Vanessa Torres, CBA	
4	Completing termination clearance procedures.	Deidra Perry, DBA	
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Deidra Perry, DBA	
6	Maintaining departmental Personnel files.	Deidra Perry, DBA	
7	Ensuring valid authorization of new hires.	Deidra Perry, DBA	
8	Ensuring valid authorization of changes in compensation rates.	Deidra Perry, DBA	Vanessa Torres, CBA
9	Ensuring the accurate input of changes to the HR System.	Deidra Perry, DBA	
10	Consistent and efficient responses to inquiries.	Deidra Perry, DBA	

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<b>CASH HANDLING</b>			
1	Collecting cash, checks, etc.	Jeanette Morales, Exec. Admin. to Faculty Senate	
2	Reconciling cash, checks, etc. to receipts.	Sabrina York, DBA	Deidra Perry, DBA
3	Preparing deposits.	Sabrina York, DBA	Deidra Perry, DBA
4	Preparing Journal Entries.	Sabrina York, DBA	Deidra Perry, DBA
5	Verifying deposits posted correctly in the Finance System.	Sabrina York, DBA	Deidra Perry, DBA
6	Adequacy of physical safeguards of cash receipts and equivalent.	Sabrina York, DBA	Deidra Perry, DBA
7	Secure deposits via UHDPS to Student Financial Services.	Deidra Perry, DBA	
8	Ensuring deposits are made timely.	Sabrina York, DBA	Deidra Perry, DBA
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Deidra Perry, DBA	Vanessa Torres, CBA
10	Updating Cash Handling Procedures as needed.	Deidra Perry, DBA	Vanessa Torres, CBA
11	Distribution of Cash Handling Procedures to employees who handle cash.	Deidra Perry, DBA	Vanessa Torres, CBA
12	Consistent and efficient responses to inquiries.	Jeanette Morales, Exec. Admin. to Faculty Senate	
<b>PETTY CASH</b>			
1	Preparing petty cash disbursements.	N/A	
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4	Approving petty cash disbursements.	N/A	
5	Replenishing the petty cash fund timely.	N/A	
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	
<b>CONTRACT ADMINISTRATION</b>			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Deidra Perry, DBA	Vanessa Torres, CBA
<b>PROPERTY MANAGEMENT</b>			
1	Performing the annual inventory.	Jeanette Morales, Exec. Admin. to Faculty Senate	Deidra Perry, DBA
2	Ensuring the annual inventory was completed correctly.	Jeanette Morales, Exec. Admin. to Faculty Senate	Deidra Perry, DBA
3	Tagging equipment.	Jeanette Morales, Exec. Admin. to Faculty Senate	
4	Approving requests for removal of equipment from campus.	Jeanette Morales, Exec. Admin. to Faculty Senate	
<b>DISCLOSURE FORMS</b>			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Deidra Perry, DBA	Vanessa Torres, CBA
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Deidra Perry, DBA	Vanessa Torres, CBA
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	N/A	

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ACCOUNTS RECEIVABLE			
1	Extending of credit.	N/A	
2	Billing.	N/A	
3	Collection.	N/A	
4	Recording.	N/A	
5	Monitoring credit extended.	N/A	
6	Approving write-offs.	N/A	
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Deidra Perry, DBA	Vanessa Torres, CBA
2	Ensuring that research expenditures are covered by funds from sponsors.	N/A	
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	UH IT Executive Support	UH IT Help Desk
2	Ensuring that critical data back up occurs.	UH IT Executive Support	UH IT Help Desk
3	Ensuring that procedures such as password controls are followed.	UH Systems	
4	Reporting of suspected security violations.	UH IT Executive Support	UH IT Help Desk