

Graduate School
Baseline Standards
FY 2015

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	E. Craig Ness, Associate Provost Finance and Administration	Use Business Managers Survival Guide; MAPP; supplemented as needed
2	Updating the Baseline Standards Form.	E. Craig Ness, Associate Provost Finance and Administration	Sabrina George, Dept. Business Admin.
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Vivianne Do, Senior Financial Analyst	
2	Reviewing cost center verifications.	E. Craig Ness, Associate Provost Finance and Administration	
3	Approving cost center verifications.	E. Craig Ness, Associate Provost Finance and Administration (as delegate)	
4	Ensuring all cost centers are verified/approved on a timely basis.	Vivianne Do, Senior Financial Analyst	
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Sabrina George, Dept. Business Admin.	
2	Ensuring the validity of travel and expense reimbursements.	Sabrina George, Dept. Business Admin.	
3	Ensuring that goods and services are received and that timely payment is made.	Sabrina George, Dept. Business Admin.	
4	Ensuring correct account coding on purchases documents.	Sabrina George, Dept. Business Admin.	
5	Primary contact for inquiries to expenditure transactions.	Sabrina George, Dept. Business Admin.	
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Sabrina George, Dept. Business Admin.	Vanessa Torres, Dept. Business Admin.
2	Ensuring all monthly leave is recorded accurately and approved before the deadlines set by Payroll.	Vanessa Torres, Dept. Business Admin.	Sabrina George, Dept. Business Admin.
3	Ensuring all TRAM high level exceptions are corrected in a timely manner prior to Payroll deadlines.	Sabrina George, Dept. Business Admin.	
4	Ensuring all Time Reporters (new employees and transfers) are assigned to Time Approvers in TRAM.	Sabrina George, Dept. Business Admin.	
5	Reconciling approved reported time and leave (bi-weekly & monthly employees) and ePARs (biweekly & monthly employees) to the trial and final payroll verification reports and off-cycle payrolls	Sabrina George, Dept. Business Admin. Vanessa Torres, Dept. Buiness Admin.	Sabrina George, Dept. Business Admin.
6	Completing termination ePAR's within 24 hours of termination and completing the termination clearance form.	Princess Ephriam, Admin. Assistant	
7	Ensuring terminated employees are no longer charged to departmental cost centers.	Vivianne Do, Senior Financial Analyst	Sabrina George, Dept. Business Admin.
8	Maintaining departmental personnel files.	Sabrina George, Dept. Business Admin.	
9	Consistent and efficient responses to inquiries.	Sabrina George, Dept. Business Admin.	E. Craig Ness, Associate Provost Finance and Administration
10	Hire ePAR's should be processed at least 1 week prior to start date.	Sabrina George, Dept. Business Admin.	
11	Ensure all security access requests and training courses are completed 48 hours prior to necessary access changes.	Sabrina George, Dept. Business Admin.	

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CASH HANDLING			
1	Collecting cash, checks, etc.	Stephanie Shock, Assoc. Dir, Graduate School	
2	Reconciling cash, checks, etc. to receipts.	Stephanie Shock, Assoc. Dir, Graduate School	
3	Preparing deposits.	Stephanie Shock, Assoc. Dir, Graduate School	
4	Preparing Journal Entries.	Stephanie Shock, Assoc. Dir, Graduate School	
5	Verifying deposits posted correctly in the Finance System.	Stephanie Shock, Assoc. Dir, Graduate School	
6	Adequacy of physical safeguards of cash receipts and equivalent.	Stephanie Shock, Assoc. Dir, Graduate School	
7	Secure deposits via UHDPS to Student Financial Services.	Stephanie Shock, Assoc. Dir, Graduate School	
8	Ensuring deposits are made timely.	Stephanie Shock, Assoc. Dir, Graduate School	
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Sabrina George, Dept. Business Admin.	E. Craig Ness, Associate Provost Finance and Administration
10	Updating Cash Handling Procedures as needed.	Sabrina George, Dept. Business Admin.	E. Craig Ness, Associate Provost Finance and Administration
11	Distribution of Cash Handling Procedures to employees who handle cash.	Sabrina George, Dept. Business Admin.	E. Craig Ness, Associate Provost Finance and Administration
12	Consistent and efficient responses to inquiries.	Sabrina George, Dept. Business Admin.	E. Craig Ness, Associate Provost Finance and Administration
PETTY CASH			
1	Preparing petty cash disbursements.	N/A	N/A
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	N/A
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	N/A
4	Approving petty cash disbursements.	N/A	N/A
5	Replenishing the petty cash fund timely.	N/A	N/A
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	N/A
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Sabrina George, Dept. Business Admin.	E. Craig Ness, Associate Provost Finance and Administration
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Princess Ephriam, Admin. Assistant	
2	Ensuring the annual inventory was completed correctly.	Princess Ephriam, Admin. Assistant	
3	Tagging equipment.	Princess Ephriam, Admin. Assistant	
4	Approving requests for removal of equipment from campus.	Princess Ephriam, Admin. Assistant	
DISCLOSURE FORMS			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	E. Craig Ness, Associate Provost Finance and Administration	
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	E. Craig Ness, Associate Provost Finance and Administration	
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	N/A	N/A

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ACCOUNTS RECEIVABLE			
1	Extending of credit.	N/A	N/A
2	Billing.	N/A	N/A
3	Collection.	N/A	N/A
4	Recording.	N/A	N/A
5	Monitoring credit extended.	N/A	N/A
6	Approving write-offs.	N/A	N/A
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Sabrina George, Dept. Business Admin.	E. Craig Ness, Associate Provost Finance and Administration
2	Ensuring that research expenditures are covered by funds from sponsors.	N/A	N/A
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	UH IT Executive Support	UH IT Help Desk
2	Ensuring that critical data back up occurs.	UH IT Executive Support	UH IT Help Desk
3	Ensuring that procedures such as password controls are followed.	UH Systems	
4	Reporting of suspected security violations.	UH IT Executive Support	UH IT Help Desk