

Health and Human Performance
Baseline Standards
FY 2019

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Randi Betts, Director	Dr. Daniel O'Connor, Chair
2	Updating the Baseline Standards Form.	Randi Betts, Director	Dr. Daniel O'Connor, Chair
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Stephanie Davis, DBA	Randi Betts, Director
2	Reviewing cost center verifications.	Stephanie Davis, DBA	Randi Betts, Director
3	Approving cost center verifications.	Stephanie Davis, DBA	Randi Betts, Director
4	Ensuring all cost centers are verified/approved on a timely basis.	Randi Betts, Director	Dr. Daniel O'Connor, Chair
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Randi Betts, Director	Dr. Daniel O'Connor, Chair
2	Ensuring the validity of travel and expense reimbursements.	Randi Betts, Director	Dr. Daniel O'Connor, Chair
3	Ensuring that goods and services are received and that timely payment is made.	Stephanie Davis, DBA	Randi Betts, Director
4	Ensuring correct account coding on purchases documents.	Stephanie Davis, DBA	Randi Betts, Director
5	Primary contact for inquiries to expenditure transactions.	Stephanie Davis, DBA	Randi Betts, Director
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Randi Betts, Director	Stephanie Davis, DBA
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Randi Betts, Director	Stephanie Davis, DBA
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Stephanie M. Davis, Payroll Coordinator	Stephanie Davis, DBA
4	Completing termination clearance procedures.	Randi Betts, Director	Stephanie Davis, DBA
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Stephanie M. Davis, Payroll Coordinator	Stephanie Davis, DBA
6	Maintaining departmental Personnel files.	Randi Betts, Director	Stephanie Davis, DBA
7	Ensuring valid authorization of new hires.	Randi Betts, Director	Stephanie Davis, DBA
8	Ensuring valid authorization of changes in compensation rates.	Randi Betts, Director	Stephanie Davis, DBA
9	Ensuring the accurate input of changes to the HR System.	Stephanie M. Davis, Payroll Coordinator	Stephanie Davis, DBA
10	Consistent and efficient responses to inquiries.	Stephanie M. Davis, Payroll Coordinator	Randi Betts, Director

Health and Human Performance
Baseline Standards
FY 2019

Description of Responsibility	Responsible Person(s) (Name/Title)	
	Primary (Required)	Secondary (Optional)
CASH HANDLING		
1	Collecting cash, checks, etc.	Stephanie M. Davis, Payroll Coordinator Stephanie Davis, DBA
2	Reconciling cash, checks, etc. to receipts.	Stephanie M. Davis, Payroll Coordinator Stephanie Davis, DBA
3	Preparing deposits.	Stephanie M. Davis, Payroll Coordinator Stephanie Davis, DBA
4	Preparing Journal Entries.	Stephanie M. Davis, Payroll Coordinator Stephanie Davis, DBA
5	Verifying deposits posted correctly in the Finance System.	Stephanie M. Davis, Payroll Coordinator Stephanie Davis, DBA
6	Adequacy of physical safeguards of cash receipts and equivalent.	Stephanie Davis, DBA Randi Betts, Director
7	Secure deposits via UHDPS to Student Financial Services.	Stephanie M. Davis, Payroll Coordinator Stephanie Davis, DBA
8	Ensuring deposits are made timely.	Stephanie Davis, DBA Randi Betts, Director
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Randi Betts, Director Stephanie Davis, DBA
10	Updating Cash Handling Procedures as needed.	Randi Betts, Director Stephanie Davis, DBA
11	Distribution of Cash Handling Procedures to employees who handle cash.	Randi Betts, Director Stephanie Davis, DBA
12	Consistent and efficient responses to inquiries.	Stephanie Davis, DBA Randi Betts, Director
PETTY CASH		
1	Preparing petty cash disbursements.	N/A N/A
2	Ensuring petty cash disbursements are not for more than \$100.	N/A N/A
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A N/A
4	Approving petty cash disbursements.	N/A N/A
5	Replenishing the petty cash fund timely.	N/A N/A
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A N/A
CONTRACT ADMINISTRATION		
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Stephanie Davis, DBA Dr. Daniel O'Connor, Chair
PROPERTY MANAGEMENT		
1	Performing the annual inventory.	Jessica Wheeler, Program Coordinator 2 Randi Betts, Director
2	Ensuring the annual inventory was completed correctly.	Randi Betts, Director Dr. Daniel O'Connor, Chair
3	Tagging equipment.	Jessica Wheeler, Program Coordinator 2 Randi Betts, Director
4	Approving requests for removal of equipment from campus.	Randi Betts, Director Dr. Daniel O'Connor, Chair
DISCLOSURE FORMS		
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Stephanie Davis, DBA Randi Betts, Director
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Stephanie Davis, DBA Randi Betts, Director
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	Stephanie Davis, DBA Randi Betts, Director

Health and Human Performance
Baseline Standards
FY 2019

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
ACCOUNTS RECEIVABLE			
1	Extending of credit.	N/A	N/A
2	Billing.	N/A	N/A
3	Collection.	N/A	N/A
4	Recording.	N/A	N/A
5	Monitoring credit extended.	N/A	N/A
6	Approving write-offs.	N/A	N/A
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Randi Betts, Director	Stephanie Davis, DBA
2	Ensuring that research expenditures are covered by funds from sponsors.	Stephanie Davis, DBA	Randi Betts, Director
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Randi Betts, Director	Dr. Daniel O'Connor, Chair
2	Ensuring that critical data back up occurs.	CLASS IT	Randi Betts, Director
3	Ensuring that procedures such as password controls are followed.	CLASS IT	Randi Betts, Director
4	Reporting of suspected security violations.	Randi Betts, Director	Dr. Daniel O'Connor, Chair