

Air Force ROTC - H0428
Baseline Standards
FY 2019

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Lisa Duran/Office Supervisor	
2	Updating the Baseline Standards Form.	Lisa Duran/Office Supervisor	
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Lisa Duran/Office Supervisor	
2	Reviewing cost center verifications.	Lisa Duran/Office Supervisor	
3	Approving cost center verifications.	Lisa Duran/Office Supervisor	
4	Ensuring all cost centers are verified/approved on a timely basis.	Lisa Duran/Office Supervisor	
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Lisa Duran/Office Supervisor	
2	Ensuring the validity of travel and expense reimbursements.	Lisa Duran/Office Supervisor	
3	Ensuring that goods and services are received and that timely payment is made.	Lisa Duran/Office Supervisor	
4	Ensuring correct account coding on purchases documents.	Lisa Duran/Office Supervisor	
5	Primary contact for inquiries to expenditure transactions.	Lisa Duran/Office Supervisor	
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	N/A	
2	Ensuring all monthly leave is recorded accurately and approved before the deadlines set by Payroll.	Lisa Duran/Office Supervisor	
3	Ensuring all TRAM high level exceptions are corrected in a timely manner prior to Payroll deadlines.	Lisa Duran/Office Supervisor	
4	Ensuring all Time Reporters (new employees and transfers) are assigned to Time Approvers in TRAM.	Lisa Duran/Office Supervisor	
5	Reconciling approved reported time and leave (bi-weekly & monthly employees) and ePARs (biweekly & monthly employees) to the trial and final payroll verification reports and off-cycle payrolls.	Lisa Duran/Office Supervisor	
6	Completing termination ePAR's within 24 hours of termination and completing the termination clearance form.	Lisa Duran/Office Supervisor	
7	Ensuring terminated employees are no longer charged to departmental cost centers.	Lisa Duran/Office Supervisor	
8	Maintaining departmental personnel files.	Lisa Duran/Office Supervisor	
9	Consistent and efficient responses to inquiries.	Lisa Duran/Office Supervisor	

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10 Hire ePAR's should be processed at least 1 week prior to start date.	Lisa Duran/Office Supervisor	
11 Ensure all security access requests and training courses are completed 48 hours prior to necessary access changes.	Lisa Duran/Office Supervisor	
CASH HANDLING		
1 Collecting cash, checks, etc.	Lisa Duran/Office Supervisor	
2 Reconciling cash, checks, etc. to receipts.	Lisa Duran/Office Supervisor	
3 Preparing deposits.	Lisa Duran/Office Supervisor	
4 Preparing Journal Entries.	Lisa Duran/Office Supervisor	
5 Verifying deposits posted correctly in the Finance System.	Lisa Duran/Office Supervisor	
6 Adequacy of physical safeguards of cash receipts and equivalent.	Lisa Duran/Office Supervisor	
7 Secure deposits via UHDPS to Student Financial Services.	Lisa Duran/Office Supervisor	
8 Ensuring deposits are made timely.	Lisa Duran/Office Supervisor	
9 Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Lisa Duran/Office Supervisor	
10 Updating Cash Handling Procedures as needed.	Lisa Duran/Office Supervisor	
11 Distribution of Cash Handling Procedures to employees who handle cash.	Lisa Duran/Office Supervisor	
12 Consistent and efficient responses to inquiries.	Lisa Duran/Office Supervisor	
PETTY CASH		
1 Preparing petty cash disbursements.	N/A	
2 Ensuring petty cash disbursements are not for more than \$100.	N/A	
3 Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4 Approving petty cash disbursements.	N/A	
5 Replenishing the petty cash fund timely.	N/A	
6 Ensuring the petty cash fund is balanced after each disbursement.	N/A	
CONTRACT ADMINISTRATION		
1 Ensuring departmental personnel comply with contract administration policies/procedures.	Lisa Duran/Office Supervisor	
PROPERTY MANAGEMENT		

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1 Performing the annual inventory.	Lisa Duran/Office Supervisor	
2 Ensuring the annual inventory was completed correctly.	Lisa Duran/Office Supervisor	
3 Tagging equipment.	Lisa Duran/Office Supervisor	
4 Approving requests for removal of equipment from campus.	Lisa Duran/Office Supervisor	
DISCLOSURE FORMS		
1 Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Lisa Duran/Office Supervisor	
2 Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Lisa Duran/Office Supervisor	
3 Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	Lisa Duran/Office Supervisor	
ACCOUNTS RECEIVABLE		
1 Extending of credit.	N/A	
2 Billing.	N/A	
3 Collection.	N/A	
4 Recording.	N/A	
5 Monitoring credit extended.	N/A	
6 Approving write-offs.	N/A	
NEGATIVE BALANCES		
1 Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Lisa Duran/Office Supervisor	
2 Ensuring that research expenditures are covered by funds from sponsors.	N/A	
DEPARTMENTAL COMPUTING		
1 Management of the departments' information technology resources.	Lisa Duran/Office Supervisor	
2 Ensuring that critical data back up occurs.	Lisa Duran/Office Supervisor	Joel Estevez (CLASS IT)
3 Ensuring that procedures such as password controls are followed.	Lisa Duran/Office Supervisor	
4 Reporting of suspected security violations.	Lisa Duran/Office Supervisor	