

Military Sciences
Baseline Standards
FY2016

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
2	Updating the Baseline Standards Form.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
2	Reviewing cost center verifications.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
3	Approving cost center verifications.	Executive Officer (Gerardo Rodriguez)	DBA (Angela Williams)
4	Ensuring all cost centers are verified/approved on a timely basis.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
2	Ensuring the validity of travel and expense reimbursements.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
3	Ensuring that goods and services are received and that timely payment is made.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
4	Ensuring correct account coding on purchases documents.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
5	Primary contact for inquiries to expenditure transactions.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
PAYROLL / HUMAN RESOURCES			
1	Reconciling approved bi-weekly leave requests to time and effort reports.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
2	Reconciling bi-weekly leave accruals to the HR System.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
3	Ensuring all bi-weekly time and effort reports are submitted to Payroll.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
4	Ensuring all monthly leave is recorded and approved in the HR System.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
5	Reconciling time and effort reports (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
6	Completing termination clearance procedures.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
7	Ensuring terminated employees are no longer charged to departmental cost centers.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
8	Paycheck distribution.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
9	Maintaining departmental Personnel files.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
10	Ensuring valid authorization of new hires.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
11	Ensuring valid authorization of changes in compensation rates.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
12	Ensuring the accurate input of changes to the HR System.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
13	Propriety of leave account classification on time records.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
14	Consistent and efficient responses to inquiries.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)

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CASH HANDLING			
1	Collecting cash, checks, etc.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
2	Reconciling cash, checks, etc. to receipts.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
3	Preparing deposits.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
4	Preparing Journal Entries.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
5	Verifying deposits posted correctly in the Finance System.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
6	Adequacy of physical safeguards.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
7	Transporting deposits to Student Financial Services.	UHPD	
8	Ensuring deposits are made timely.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
10	Updating Cash Handling Procedures as needed.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
11	Distribution of Cash Handling Procedures to employees who handle cash.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
12	Consistent and efficient responses to inquiries.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
PETTY CASH			
1	Preparing petty cash disbursements.	N/A	
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4	Approving petty cash disbursements.	N/A	
5	Replenishing the petty cash fund timely.	N/A	
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	
LONG DISTANCE / CELL PHONE CHARGES			
1	Forwarding employees their long distance and cell phone charge reports for verification.	N/A	
2	Ensuring employees review their long distance and/or cell phone charge reports.	N/A	
3	Ensuring personal calls are reimbursed within 10 days from the billing date.	N/A	
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Office Coordinator (Susan Mangum)	DBA (Angela Williams)
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Office Coordinator (Susan Mangum)	College/Division Information Technology Manager (Frank)
2	Ensuring the annual inventory was completed correctly.	Office Coordinator (Susan Mangum)	College/Division Information Technology Manager (Frank)
3	Tagging equipment.	Office Coordinator (Susan Mangum)	College/Division Information Technology Manager (Frank)
4	Approving requests for removal of equipment from campus.	Office Coordinator (Susan Mangum)	College/Division Information Technology Manager (Frank)

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DISCLOSURE FORMS			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Office Coordinator (Susan Mangum)	Director Budgets & Administration (Pat Sayles)
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online	Office Coordinator (Susan Mangum)	Director Budgets & Administration (Pat Sayles)
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	Office Coordinator (Susan Mangum)	Director Budgets & Administration (Pat Sayles)
ACCOUNTS RECEIVABLE			
1	Extending of credit.	N/A	
2	Billing.	N/A	
3	Collection.	N/A	
4	Recording.	N/A	
5	Monitoring credit extended.	N/A	
6	Approving write-offs.	N/A	
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Ex. Director College Business Operations (Micki Miles)	DBA (Angela Williams)
2	Ensuring that research expenditures are covered by funds from sponsors.	N/A	N/A
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	College/Division Information Technology Manager (Frank Mangum)	Office Coordinator (Susan Mangum)
2	Ensuring that critical data back up occurs.	College/Division Information Technology Manager (Frank Mangum)	Office Coordinator (Susan Mangum)
3	Ensuring that procedures such as password controls are followed.	College/Division Information Technology Manager (Frank Mangum)	Office Coordinator (Susan Mangum)
4	Reporting of suspected security violations.	Office Coordinator (Susan Mangum)	College/Division Information Technology Manager (Frank Mangum)