

Comparative Cultural Studies - H0121
Baseline Standards
FY 2016

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	DBA - NEBORA GRAYS	
2	Updating the Baseline Standards Form.	DBA - NEBORA GRAYS	
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.		
2	Reviewing cost center verifications.	DBA - NEBORA GRAYS	
3	Approving cost center verifications.	CBA - LYNN SMITH	
4	Ensuring all cost centers are verified/approved on a timely basis.	CBA - LYNN SMITH	
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.		DBA - NEBORA GRAYS
2	Ensuring the validity of travel and expense reimbursements.		DBA - NEBORA GRAYS
3	Ensuring that goods and services are received and that timely payment is made.		DBA - NEBORA GRAYS
4	Ensuring correct account coding on purchases documents.		DBA - NEBORA GRAYS
5	Primary contact for inquiries to expenditure transactions.		DBA - NEBORA GRAYS
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	DBA - NEBORA GRAYS	
2	Ensuring all monthly leave is recorded accurately and approved before the deadlines set by Payroll.	DBA - NEBORA GRAYS	
3	Ensuring all TRAM high level exceptions are corrected in a timely manner prior to Payroll deadlines.	DBA - NEBORA GRAYS	
4	Ensuring all Time Reporters (new employees and transfers) are assigned to Time Approvers in TRAM.	DBA - NEBORA GRAYS	
5	Reconciling approved reported time and leave (bi-weekly & monthly employees) and ePARs (biweekly & monthly employees) to the trial and final payroll verification reports and off-cycle payrolls	DBA - NEBORA GRAYS	
6	Completing termination ePAR's within 24 hours of termination and completing the termination clearance form.	DBA - NEBORA GRAYS	
7	Ensuring terminated employees are no longer charged to departmental cost centers.	DBA - NEBORA GRAYS	
8	Maintaining departmental personnel files.	DBA - NEBORA GRAYS	
9	Consistent and efficient responses to inquiries.	DBA - NEBORA GRAYS	
10	Hire ePAR's should be processed at least 1 week prior to start date.	DBA - NEBORA GRAYS	
11	Ensure all security access requests and training courses are completed 48 hours prior to necessary access changes.	DBA - NEBORA GRAYS	

Comparative Cultural Studies - H0121
Baseline Standards
FY 2016

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
CASH HANDLING			
1	Collecting cash, checks, etc.		
2	Reconciling cash, checks, etc. to receipts.		
3	Preparing deposits.		
4	Preparing Journal Entries.		
5	Verifying deposits posted correctly in the Finance System.	DBA - NEBORA GRAYS	
6	Adequacy of physical safeguards of cash receipts and equivalent.	DBA - NEBORA GRAYS	
7	Secure deposits via UHDPS to Student Financial Services.	UH POLICE DEPARTMENT	
8	Ensuring deposits are made timely.		
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	DBA - NEBORA GRAYS	
10	Updating Cash Handling Procedures as needed.	DBA - NEBORA GRAYS	
11	Distribution of Cash Handling Procedures to employees who handle cash.	DBA - NEBORA GRAYS	
12	Consistent and efficient responses to inquiries.		DBA - NEBORA GRAYS
PETTY CASH			
1	Preparing petty cash disbursements.	NA	
2	Ensuring petty cash disbursements are not for more than \$100.	NA	
3	Ensuring petty cash disbursements are made for only authorized purposes.	NA	
4	Approving petty cash disbursements.	NA	
5	Replenishing the petty cash fund timely.	NA	
6	Ensuring the petty cash fund is balanced after each disbursement.	NA	
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	DBA - NEBORA GRAYS	
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	SECRETARY 2 - DEIDRE TUBBS	DBA - NEBORA GRAYS
2	Ensuring the annual inventory was completed correctly.	DBA - LYNN SMITH	
3	Tagging equipment.	SECRETARY 2 - DEIDRE TUBBS	DBA - NEBORA GRAYS
4	Approving requests for removal of equipment from campus.	DBA - NEBORA GRAYS	

Comparative Cultural Studies - H0121
 Baseline Standards
 FY 2016

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DISCLOSURE FORMS			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	DBA - NEBORA GRAYS	
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	DBA - NEBORA GRAYS	
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Division of Research.	DBA - NEBORA GRAYS	
ACCOUNTS RECEIVABLE			
1	Extending of credit.	NA	
2	Billing.	NA	
3	Collection.	NA	
4	Recording.	NA	
5	Monitoring credit extended.	NA	
6	Approving write-offs.		
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	DBA - NEBORA GRAYS	
2	Ensuring that research expenditures are covered by funds from sponsors.	DBA - NEBORA GRAYS	
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	RANDOLPH WIDMER	
2	Ensuring that critical data back up occurs.	CLASS IT	
3	Ensuring that procedures such as password controls are followed.	CLASS IT	
4	Reporting of suspected security violations.	DBA - NEBORA GRAYS	